Lecture 3: From dualities to full and strong dualities

Brian A. Davey

TACL 2015 School Campus of Salerno (Fisciano) 15–19 June 2015 Natural dualities: the basics

A Natural duality for Kleene algebras

Full and strong dualities

Outline

Natural dualities: the basics

The standard assumptions Duality The NU Duality Theorem Priestley duality via the Lattice-based Duality Theorem Entailment

A Natural duality for Kleene algebras

Full and strong dualities

- Let <u>M</u> be a finite algebra let A := ISP(<u>M</u>) be the prevariety (= quasivariety) it generates.
- Let $\mathbf{M} = \langle M; G, H, R, T \rangle$ be an alter ego of \mathbf{M} , that is,
 - ► G is a set of operations on M, each of which is a homomorphism with respect to M,
 - ► H is a set of partial operations on M, each of which is a homomorphism with respect to M,
 - *R* is a set of relations on *M*, each of which is a subuniverse of the appropriate power of <u>M</u>, and
 - T is the discrete topology on *M*.
- Define $\mathcal{A} := \mathsf{ISP}(\underline{\mathbf{M}})$: the algebraic category of interest.
- Define $\mathfrak{X} := IS_c P^+(\mathbf{M})$: the potential dual category for \mathcal{A} .

► The natural hom-functors D: A → X and E: X → A are defined by

 $D(\mathbf{A}) := \mathcal{A}(\mathbf{A}, \underline{\mathbf{M}}) \leqslant \underline{\mathbf{M}}^{\mathcal{A}} \text{ and } E(\mathbf{X}) := \mathfrak{X}(\mathbf{X}, \underline{\mathbf{M}}) \leqslant \underline{\mathbf{M}}^{\mathcal{X}}.$

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For all $\mathbf{A} \in \mathcal{A}$, the naturally embedding

$$e_{\mathsf{A}} \colon \mathsf{A} o ED(\mathsf{A}) = \mathfrak{X}(\mathcal{A}(\mathsf{A}, \underline{\mathsf{M}}), \underline{\mathsf{M}})$$

is defined by evaluation: $(\forall a \in A) \ e_A(a) \colon \mathcal{A}(A, \underline{M}) \to \underbrace{M}_{\sim}$ is given by

 $(\forall x \in \mathcal{A}(\mathsf{A}, \underline{\mathsf{M}})) \ e_{\mathsf{A}}(a)(x) := x(a)$

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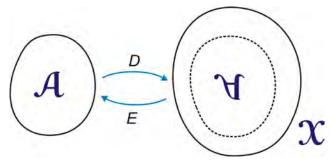
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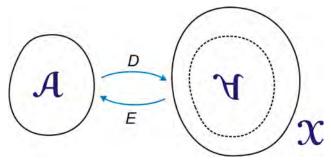
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If $e_{\mathbf{A}} : \mathbf{A} \to ED(\mathbf{A})$ is surjective and therefore an isomorphism, for all \mathbf{A} in \mathcal{A} , then we say that \underline{M} yields a duality on \mathcal{A} (or that \underline{M} dualises \underline{M}).



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Theorem (2.2.7 Second Duality Theorem)

Assume that $\underline{M} = \langle M; G, R, T \rangle$ is a total structure with R finite. If (IC) holds, then \underline{M} yields a duality on \mathcal{A} and is injective in \mathfrak{X} .

For $\ell \ge 1$, define $R_{\ell} := S(\underline{M}^{\ell})$ and define $R_{\omega} := \bigcup_{\ell < \omega} R_{\ell}$.

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Brute force yields a duality on \mathcal{A}_{fin} . Indeed, if $\underline{M} = \langle M; R_{\omega}, \mathfrak{T} \rangle$, then (IC) holds and therefore \underline{M} yields a duality on \mathcal{A}_{fin} and \underline{M} is injective in \mathfrak{X}_{fin} .

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For $k \ge 2$, a (k+1)-ary term $n(v_1, \ldots, v_{k+1})$ is called a near unanimity term or NU term for an algebra \underline{M} if \underline{M} satisfies

$$n(y, x, \ldots, x) \approx n(x, y, x, \ldots, x) \approx \cdots \approx n(x, \ldots, x, y) \approx x.$$

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Lemma (2.3.3 NU Lemma)

(K. Baker and A. Pixley) Let $k \ge 2$ and assume that $\underline{\mathbf{M}}$ has a (k+1)-ary NU term. Let X be a subset of M^m and let $\alpha \colon X \to M$ be a map that preserves every relation in \mathbf{R}_k . Then α preserves every relation in \mathbf{R}_{ω} .

The following useful result is an immediate corollary.

Theorem (NU Duality Theorem)

Assume that \underline{M} is a finite algebra that has a (k+1)-ary NU term. Then $\underline{M} := \langle M; R_k, T \rangle$ yields a duality on \mathcal{A} and is injective in \mathfrak{X} . The following useful result is an immediate corollary.

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Lattices have a ternary NU term, namely the median

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Thus we obtain the most widely used result in the theory.

Theorem (Lattice-based Duality Theorem)

Let \underline{M} be a finite lattice-based algebra. Then $\underline{M} := \langle M; R_2, \mathfrak{T} \rangle$ yields a duality on \mathcal{A} and is injective in \mathfrak{X} .

In Lecture 2 we saw how to obtain (half of) Priestley duality from the Second Duality Theorem. As an application of the Lattice-based Duality Theorem, it is almost immediate.

$$\blacktriangleright \ \underline{\mathbf{D}} = \langle \{0,1\}; \lor, \land, 0,1 \rangle \quad \text{ and } \quad \underline{\mathbf{D}} = \langle \{0,1\}; \leqslant, \mathfrak{T} \rangle.$$

Theorem (Half of Priestley duality)

 $\stackrel{\mathsf{D}}{\sim}$ yields a duality on the class $\mathfrak{D} := \mathsf{ISP}(\underline{\mathsf{D}})$ of bounded distributive lattices, i.e., $e_{\mathsf{A}} : \mathsf{A} \to \mathsf{ED}(\mathsf{A})$ is an isomorphism, for all $\mathsf{A} \in \mathfrak{D}$.

We must show that, for all $\mathbf{A} \in \mathfrak{D}$, the evaluation maps

 $e_{\mathbf{A}}(a) \colon \mathfrak{D}(\mathbf{A}, \underline{\mathbf{D}}) \to \{0, 1\},$

for $a \in A$, are the only continuous order-preserving maps. Proof.

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Let $\alpha : \mathcal{D}(\mathbf{A}, \underline{\mathbf{D}}) \to \{0, 1\}$ be a continuous order-preserving map. [To prove: α is an evaluation map, $e_{\mathbf{A}}(a)$, for some $a \in A$.]

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- Note that $R_2 = \{\Delta_{\{0,1\}}, \leqslant, \geqslant, \{0,1\}^2\}.$
- But α: D(A, D) → {0, 1} certainly preserves the trivial relations Δ_{0,1} and {0, 1}², and α preserves ≥ since it preserves ≤. Hence α preserve the four relations in R₂.

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Definition (Entainment)

Let $\underline{M} = \langle M; G, H, R, \mathfrak{T} \rangle$, let $\mathbf{A} \in \mathcal{A}$ and let *s* be an algebraic relation or (partial) operation on \underline{M} .

- $G \cup H \cup R$ entails *s* on $D(\mathbf{A})$ if every continuous $G \cup H \cup R$ -preserving map $\alpha : D(\mathbf{A}) \to M$ preserves *s*.
- $G \cup H \cup R$ entails *s* if $G \cup H \cup R$ entails *s* on $D(\mathbf{A})$ for all $\mathbf{A} \in \mathcal{A}$.

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The following lemma is trivial but useful.

Lemma

Let $\underline{M} = \langle M; G, H, R, T \rangle$ and $\underline{M}' = \langle M; G', H', R', T \rangle$ be alter egos of \underline{M} . If \underline{M}' yields a duality of \mathcal{A} and $G \cup H \cup R$ entails s, for all $s \in G' \cup H' \cup R'$, then \underline{M} yields a duality on \mathcal{A} .

Constructs for entailment

On pages 25–27 of *The Lonely Planet Guide to the Theory of Natural Dualities* there is a list of 15 constructs for entailment. Some are:

- (1) **Trivial relations** If θ is an equivalence relation on $\{1, \ldots, n\}$ then any $G \cup H \cup R$ entails the relation $\Delta^{\theta} := \{(c_1, \ldots, c_n) \mid i \, \theta \, j \Rightarrow c_i = c_j \}$. Special cases are Δ_M and M^2 .
- (4) **Permutation** r entails $r^{\sigma} := \{(c_1, \ldots, c_n) \mid (c_{\sigma(1)}, \ldots, c_{\sigma(n)}) \in r\}.$ Converse $r^{\checkmark} := \{(c_1, c_2) \mid (c_2, c_1) \in r\}$ is a special case.
- (6) **Intersection** If *r* and *s* are *n*-ary, the $\{r, s\}$ entails $r \cap s$.
- (7) **Product** $\{r, s\}$ entails $r \times s$.
- N.B. A construct that is not allowed is the relational product $r \cdot s$ of two binary relations!

Natural dualities: the basics

A Natural duality for Kleene algebras Applying the Lattice-based Duality Theorem The Test Algebra Lemma The duality for Kleene algebras is optimal

Full and strong dualities

An algebra $\underline{\mathbf{K}} = \langle K; \lor, \land, \neg, 0, 1 \rangle$ is called a Kleene algebra if it is a bounded distributive lattice satisfying the axioms

$$eg (x \wedge y) pprox
eg x \lor
eg y, \quad
eg (x \lor y) pprox
eg x \land
eg y, \quad
eg 0 pprox 1,$$

$$\neg \neg x \approx x, \quad x \land \neg x \leq y \lor \neg y.$$

The models of these axioms form a variety $\mathcal{K} = \mathsf{ISP}(\underline{K})$ generated by the three-element chain

$$\mathbf{\underline{K}}=\langle \{\mathbf{0}, \pmb{a}, \mathbf{1}\}; \lor, \land, \neg, \mathbf{0}, \mathbf{1}
angle:$$



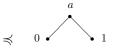


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- By the Lattice-based Duality Theorem, K' := ⟨{0, a, 1}; R₂, ℑ⟩ yields a duality on 𝔆.
- We must find all subuniverses of $\underline{\mathbf{K}}^2$.
- ► Let $K_0 = \{0, 1\}$, let $\preccurlyeq = \{00, aa, 11, 0a, 1a\}$ and let $\sim = K^2 \setminus \{01, 10\}$.



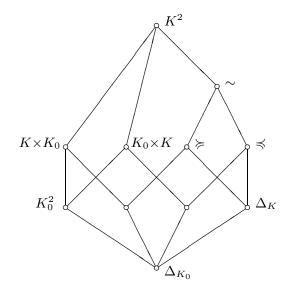


Figure: 8.1 The lattice $\langle R_2; \subseteq \rangle$ of subuniverses of \underline{K}^2

Let $R = \{K_0, \preccurlyeq, \sim\}$. Then R entails every relation in R_2 since

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Thus *R* entails every meet-irreducible relation in the lattice $\langle R_2; \subseteq \rangle$ and so entails every relation in R_2 via intersection.

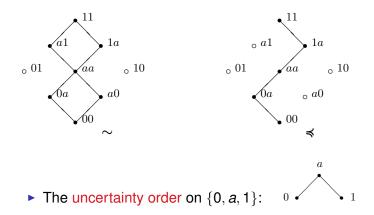
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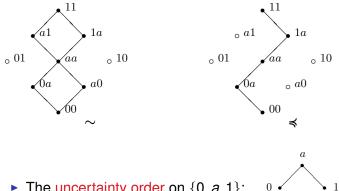
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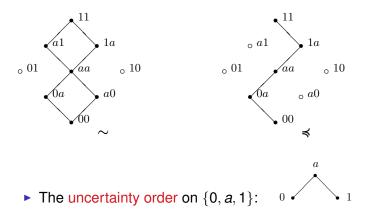
Theorem (Part of 4.3.10)

 $\underbrace{\textbf{K}}=\langle K;K_0,\preccurlyeq,\sim, \mathbb{T}\rangle$ yields a duality on the class $\mathfrak K$ of Kleene algabras.

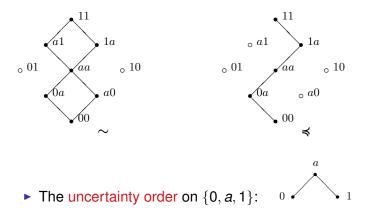




- ► The uncertainty order on {0, *a*, 1}:
- Note that $\sim = \succcurlyeq \cdot \preccurlyeq$.



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- We will now see that removing ~ will destroy the duality.
- In fact, the duality is optimal.

Our claim is that, while K = ⟨K; K₀, ≼, ∼, ℑ⟩ yields a duality on the class 𝔅 of Kleene algebras, the alter ego K* = ⟨K; K₀, ≼, ℑ⟩ does not.

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In fact, there is a canonical choice for **A**.

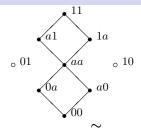
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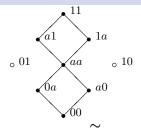
Lemma (Test Algebra Lemma)

Let $\underline{M} = \langle M; G, H, R, T \rangle$ and let s be an algebraic relation or (partial) operation on \underline{M} and let s be the corresponding subalgebra of \underline{M}^n . Then the following are equivalent:

- (i) $G \cup H \cup R$ entails s;
- (ii) $G \cup H \cup R$ entails s on $D(\mathbf{s})$.

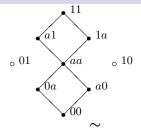


 $D(\sim) = \mathcal{K}(\sim, \underline{\mathbf{K}}) = \{\rho_1, \rho_2\},$ where $\rho_i : \sim \rightarrow \underline{\mathbf{K}},$ for $i \in \{1, 2\},$ are the two projections.



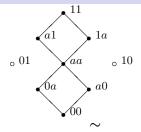
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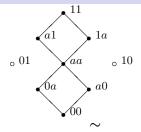
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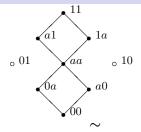
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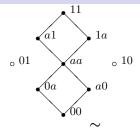
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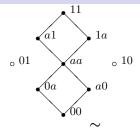
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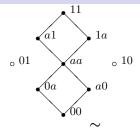
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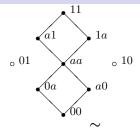
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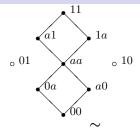
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Natural dualities: the basics

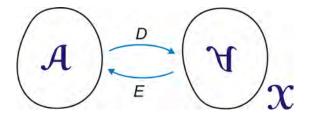
A Natural duality for Kleene algebras

Full and strong dualities

Full duality Strong duality The CD Strong Duality Theorem Distributive lattices and Kleene algebras revisited Partial operations can't be avoided Further examples

Full Duality

If \underline{M} yields a duality on \mathcal{A} and , in addition, $\varepsilon_{\mathbf{X}} : \mathbf{X} \to DE(\mathbf{X})$ is a surjection and therefore an isomorphism, for all \mathbf{X} in \mathfrak{X} , then \underline{M} yields a full duality on \mathcal{A} (or \underline{M} fully dualises \underline{M}).



Equivalently, \underline{M} yields a full duality on \mathcal{A} if the dual adjunction $\langle D, E, e, \varepsilon \rangle$ is a dual category equivalence between \mathcal{A} and \mathfrak{X} .

Strong duality

Let \underline{M} be any alter ego of an algebra \underline{M} , and let

 $D: \mathcal{A} \to \mathfrak{X}$ and $E: \mathfrak{X} \to \mathcal{A}$

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• $\underset{\varphi}{\mathsf{M}}$ is injective in the category \mathfrak{X} if, for every embedding $\varphi : \mathsf{X} \rightarrow \mathsf{Y}$ and every morphism $\alpha : \mathsf{X} \rightarrow \mathsf{M}$ in \mathfrak{X} , there is a morphism $\beta : \mathsf{Y} \rightarrow \mathsf{M}$ such that $\beta \circ \varphi = \alpha$.



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Strong duality

If \underline{M} fully dualises \underline{M} and \underline{M} is injective in \mathcal{X} (so that surjections in \mathcal{A} correspond to embeddings in \mathcal{X}), we say that \underline{M} yields a strong duality on \mathcal{A} (or that \underline{M} strongly dualises \underline{M}).

Let $\underline{\mathbf{M}}$ be a finite algebra.

For all N ≤ M define irr(N) to be the least ℓ such that 0_N in Con(N) is a meet of ℓ meet-irreducible congruences.

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Theorem (3.3.7 CD Strong Duality Theorem)

Assume that $\underline{\mathbf{M}}$ is a finite algebra and that $\underline{\mathbf{M}} := \langle \mathbf{M}; \mathbf{R}, \mathfrak{T} \rangle$ dualises $\underline{\mathbf{M}}$. If $\operatorname{Var}(\underline{\mathbf{M}})$ is congruence distributive and $\operatorname{Irr}(\underline{\mathbf{M}}) = n$, then $\underline{\mathbf{M}} := \langle \mathbf{M}; \mathbf{C} \cup \mathbf{H}_n, \mathbf{R}, \mathfrak{T} \rangle$ strongly dualises $\underline{\mathbf{M}}$.

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N.B. $Var(\underline{M})$ is congruence distributive if \underline{M} is lattice based.

Distributive lattices revisited

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Theorem (Priestley duality is strong)

 $\stackrel{\textbf{D}}{\sim}$ yields a strong duality between the class $\mathfrak{D}:=\mathsf{ISP}(\stackrel{\textbf{D}}{\underline{\mathsf{D}}})$ of bounded distributive lattices and the class $\mathfrak{P}=\mathsf{IS}_c\mathsf{P}^+(\stackrel{\textbf{D}}{\underline{\mathsf{D}}})$ of Priestley spaces, i.e., $\stackrel{\textbf{D}}{\underline{\mathsf{D}}}$ is injective in \mathfrak{P} and, for all $\textbf{A}\in\mathfrak{D}$ and $\textbf{X}\in\mathfrak{P},$

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$$\begin{split} & \underline{K} = \langle \{0, a, 1\}; \lor, \land, \neg, 0, 1 \rangle \\ & \text{and} \\ & \underline{K} = \langle K; \mathit{K}_0, \preccurlyeq, \sim, \mathfrak{T} \rangle. \end{split}$$



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- ► id_K and id_{K₀} can be removed without affecting the result.
- Hence \underline{K} yields a strong duality on \mathcal{K} .

Assume that $\mathbf{M} = \langle M; G, H, R, T \rangle$ yields a strong duality on \mathcal{A} . The following are equivalent:

- (i) some total structure \underline{M}' yields a strong duality on \mathcal{A} ;
- (ii) for each natural number n, every n-ary partial operation $h \in H$ extends to a homomorphism $g: \underline{\mathbf{M}}^n \to \underline{\mathbf{M}};$
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- there is an alter ego \mathbf{M} that yields a strong duality on \mathcal{A} ,
- but any such \underline{M} must include partial operations in its type.

Further examples

Some exercises for you. Use the Lattice-based Duality Theorem and the CD Strong Duality Theorem to find a strong duality for $\mathcal{A} := ISP(\underline{M})$ in each of the following cases. Is your duality optimal?

- 1. Median algebras. $\underline{\mathbf{M}} = \langle \{0, 1\}; m \rangle$, where $m: \{0, 1\}^3 \rightarrow \{0, 1\}$ is the median operation.
- 2. Stone algebras. $\underline{\mathbf{M}} = \langle \{0, a, 1\}; \lor, \land, ^*, 0, 1 \rangle$, where $\langle \{0, a, 1\}; \lor, \land, 0, 1 \rangle$ is a chain with 0 < a < 1 and * is given by $0^* = 1$ and $a^* = 1^* = 0$.
- 3. Double Stone algebras. $\underline{\mathbf{M}} = \langle \{0, a, b, 1\}; \lor, \land, *, ^+, 0, 1 \rangle$, where $\langle \{0, a, b, 1\}; \lor, \land, 0, 1 \rangle$ is a chain with 0 < a < b < 1and * and + are given by $0^* = 1$ and $a^* = b^* = 1^* = 0$, and $1^+ = 0$ and $0^+ = a^+ = b^+ = 1$.
- 4. 3-valued Gödel algebras. $\underline{\mathbf{M}} = \langle \{0, a, 1\}; \lor, \land, \rightarrow, 0, 1 \rangle$, where $\langle \{0, a, 1\}; \lor, \land, 0, 1 \rangle$ is a chain with 0 < a < 1 and $x \rightarrow y = 1$, if $x \leq y$, and $x \rightarrow y = y$, if x > y.

Hom-closed and term-closed sets

It is easy to prove the following claims, for all $\textbf{A}\in\mathcal{A}.$

► The set D(A) = A(A, M) is closed under every *I*-ary algebraic partial operation on M, for all non-empty sets *I*. We say that D(A) is hom-closed in M^A.

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Theorem (3.2.4 First Strong Duality Theorem)

Assume \underline{M} yields a duality on \mathcal{A} . The following are equivalent:

- (1) \mathbf{M} yields a strong duality on \mathcal{A} ,
- (2) for every non-empty set S, each closed substructure of M^S is hom-closed,
- (3) for every non-empty set S, each closed substructure of M^S is term-closed.