

Lecture 1: an invitation to Priestley duality

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Outline

Bounded distributive lattices

Priestley duality for finite distributive lattices

Priestley duality via homsets

Priestley duality for infinite distributive lattices

Examples of Priestley spaces

The translation industry: restricted Priestley duals

Useful facts about Priestley spaces

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Concrete examples of bounded distributive lattices

1. The two-element chain

$$\underline{2} = \langle \{0, 1\}; \vee, \wedge, 0, 1 \rangle.$$



2. All subsets of a set S :

$$\langle \mathcal{P}(S); \cup, \cap, \emptyset, S \rangle.$$

3. Finite or cofinite subsets of \mathbb{N} :

$$\langle \mathcal{P}_{\text{Fc}}(\mathbb{N}); \cup, \cap, \emptyset, \mathbb{N} \rangle.$$

4. Open subsets of a topological space \mathbf{X} :

$$\langle \mathcal{O}(\mathbf{X}); \cup, \cap, \emptyset, X \rangle.$$

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More examples of bounded distributive lattices

5. $\langle \{T, F\}; \text{or, and, } F, T \rangle$.

6. $\langle \mathbb{N} \cup \{0\}; \text{lcm, gcd, } 1, 0 \rangle$.

(Use the fact that, $\text{lcm}(m, n) \cdot \text{gcd}(m, n) = mn$, for all $m, n \in \mathbb{N} \cup \{0\}$, and that a lattice is distributive iff it satisfies $x \vee z = y \vee z \ \& \ x \wedge z = y \wedge z \implies x = y$.)

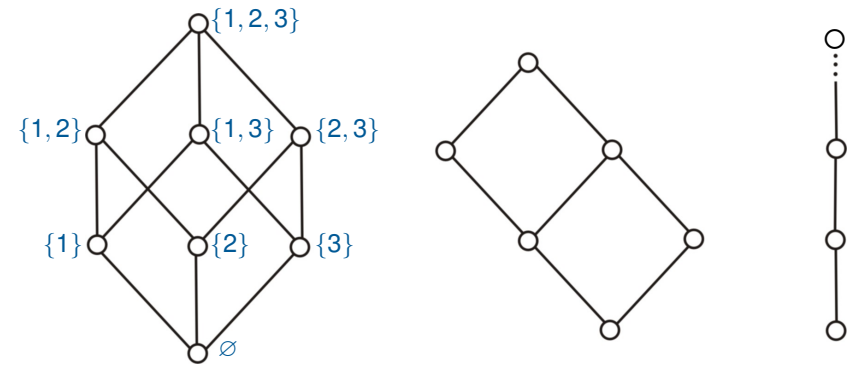
7. Subgroups of a cyclic group \mathbf{G} ,

$\langle \text{Sub}(\mathbf{G}); \vee, \cap, \{e\}, G \rangle$, where $H \vee K := \text{sg}_{\mathbf{G}}(H \cup K)$.

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Drawing distributive lattices

Any distributive lattice $\langle L; \vee, \wedge, 0, 1 \rangle$ has a **natural order** corresponding to **set inclusion**: $a \leq b \iff a \vee b = b$.

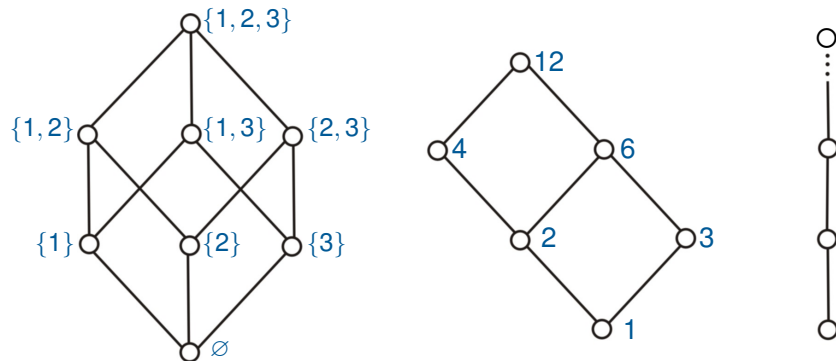


\vee union
 \wedge intersection
 \leq inclusion

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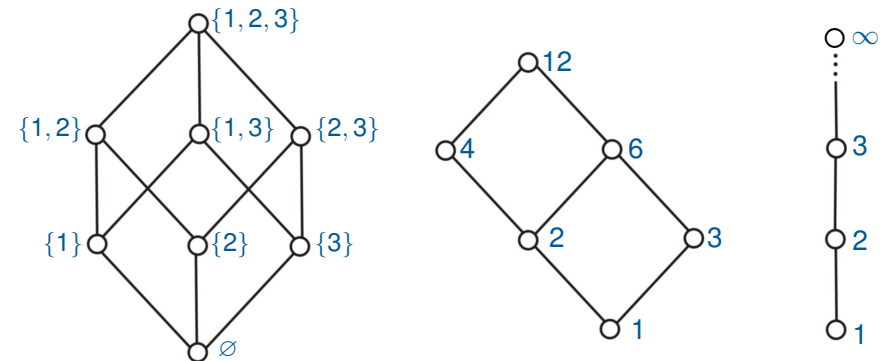
\vee union
 \wedge intersection
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\vee lcm
 \wedge gcd
 \leq division

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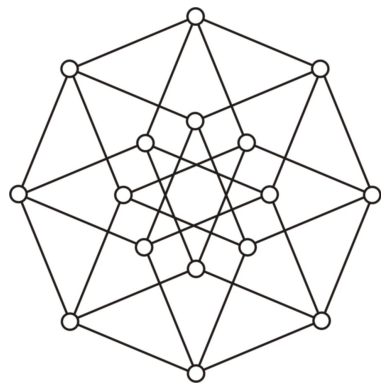
\vee union
 \wedge intersection
 \leq inclusion

\vee lcm
 \wedge gcd
 \leq division

\vee max
 \wedge min
 \leq usual

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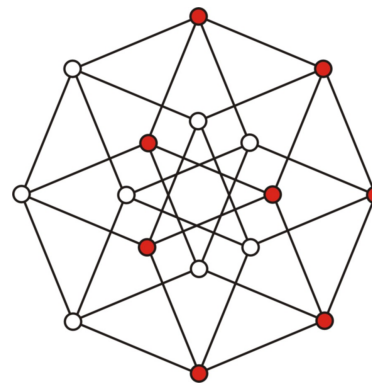
A sublattice L of $\underline{2}^4$



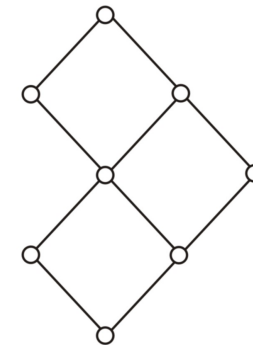
$\underline{2}^4$

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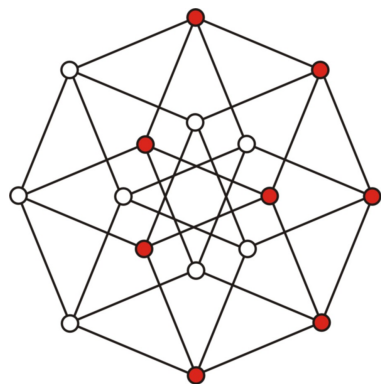
$\underline{2}^4$



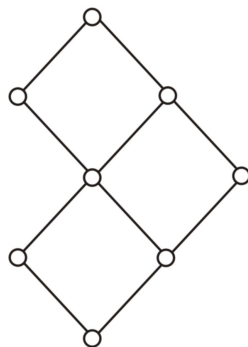
L

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A sublattice L of $\underline{2}^4$



$\underline{2}^4$



Note: Every distributive lattice embeds into $\underline{2}^S$, for some set S .

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Representing finite distributive lattices

Birkhoff's representation for a finite distributive lattice \mathbf{L}

Let \mathbf{L} be a finite distributive lattice.

\mathbf{L} is isomorphic to the collection $\mathcal{O}(\mathbf{P})$ of all **down-sets of an ordered set** $\mathbf{P} = \langle P; \leq \rangle$, under union, intersection, \emptyset and P .

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In fact, we can choose \mathbf{P} to be the ordered set $\langle \mathcal{J}(\mathbf{L}); \leq \rangle$ of **join-irreducible** elements of \mathbf{L} .

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In fact, we can choose \mathbf{P} to be the ordered set $\langle \mathcal{J}(\mathbf{L}); \leq \rangle$ of **join-irreducible** elements of \mathbf{L} .

Theorem [G. Birkhoff]

Let \mathbf{L} be a finite distributive lattice and let \mathbf{P} be a finite ordered set. Then

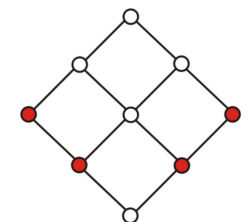
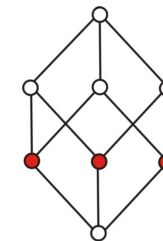
- ▶ \mathbf{L} is isomorphic to $\mathcal{O}(\mathcal{J}(\mathbf{L}))$, and
- ▶ \mathbf{P} is isomorphic to $\mathcal{J}(\mathcal{O}(\mathbf{P}))$.

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More examples

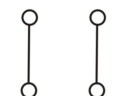
Distributive lattice

$$\mathbf{L} \cong \mathcal{O}(\mathcal{J}(\mathbf{L}))$$



Ordered set

$$\mathbf{P} \cong \mathcal{J}(\mathcal{O}(\mathbf{P}))$$

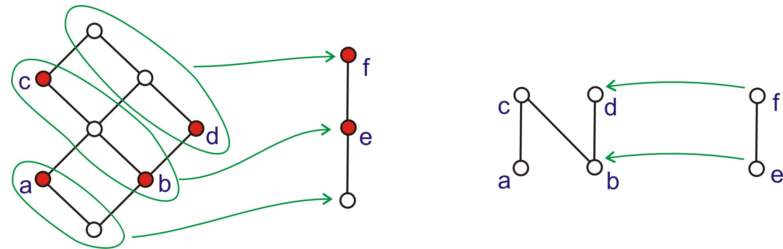


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Duality for finite distributive lattices

The classes of

finite distributive lattices and finite ordered sets are dually equivalent.

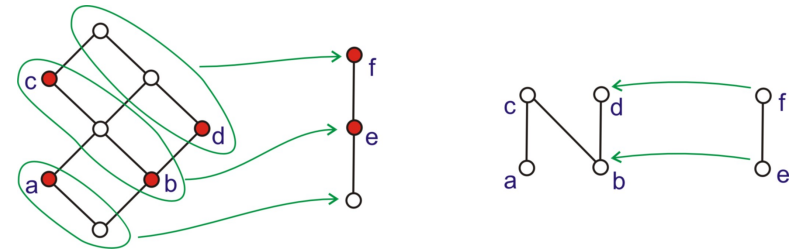


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surjections \longleftrightarrow embeddings
 embeddings \longleftrightarrow surjections
 products \longleftrightarrow disjoint unions

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Duals of finite bounded distributive lattices

Let $\mathbf{L} = \langle L; \vee, \wedge, 0, 1 \rangle$ be a finite bounded distributive lattice.

We can define its dual $D(\mathbf{L})$ to be either

► $\mathcal{J}(\mathbf{L})$ — the ordered set of join-irreducible elements of \mathbf{L}

or

► $\mathcal{D}(\mathbf{L}, \mathbf{2})$ — the ordered set of $\{0, 1\}$ -homomorphisms from \mathbf{L} to the two-element bounded lattice $\mathbf{2} = \langle \{0, 1\}; \vee, \wedge, 0, 1 \rangle$.

Here \mathcal{D} denotes the category of bounded distributive lattices.

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In fact, we have the following dual order-isomorphism:

$$\mathcal{J}(\mathbf{L}) \cong^{\partial} \mathcal{D}(\mathbf{L}, \mathbf{2}).$$

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Duals of finite ordered sets

Let $\mathbf{P} = \langle P; \leq \rangle$ be a finite ordered set.

We can define its **dual** $E(\mathbf{P})$ to be either

- ▶ $\mathcal{O}(\mathbf{P})$ — the lattice of down-sets (= order ideals) of \mathbf{P}

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- ▶ $\mathcal{P}(\mathbf{P}, \mathbf{2})$ — the lattice of order-preserving maps from \mathbf{P} to the two-element ordered set $\mathbf{2} = \langle \{0, 1\}; \leq \rangle$.

Here \mathcal{P} denotes the category of ordered sets.

(Warning! The definitions of $\mathbf{2}$ and \mathcal{P} will change once we consider the infinite case.)

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Duals of morphisms

Let \mathbf{L} and \mathbf{K} be finite distributive lattices and let \mathbf{P} and \mathbf{Q} be finite ordered sets.

- ▶ There is a bijection between the $\{0, 1\}$ -homomorphisms from \mathbf{L} to \mathbf{K} and the order-preserving maps from $D(\mathbf{K})$ to $D(\mathbf{L})$. Given $f: \mathbf{L} \rightarrow \mathbf{K}$, we define

$$\varphi: \mathcal{J}(\mathbf{K}) \rightarrow \mathcal{J}(\mathbf{L}) \text{ by } \varphi(x) := \min(f^{-1}(\uparrow x)),$$

$$\varphi: \mathcal{D}(\mathbf{K}, \mathbf{2}) \rightarrow \mathcal{D}(\mathbf{L}, \mathbf{2}) \text{ by } \varphi(x) := x \circ f.$$

We denote the map φ by $D(f)$.

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$$f: \mathcal{O}(\mathbf{Q}) \rightarrow \mathcal{O}(\mathbf{P}) \text{ by } f(A) := \varphi^{-1}(A),$$

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We denote the map f by $E(\varphi)$.

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The duality at the finite level

- ▶ $\underline{2} = \langle \{0, 1\}; \vee, \wedge, 0, 1 \rangle$ is the two-element lattice,
- ▶ $\underline{2} = \langle \{0, 1\}; \leq \rangle$ is the two-element ordered set with $0 \leq 1$.

Define either

$$D(\mathbf{L}) := \mathcal{J}(\mathbf{L}) \quad \text{and} \quad E(\mathbf{P}) := \mathcal{O}(\mathbf{P})$$

or

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Theorem [G. Birkhoff, H. A. Priestley]

Every finite distributive lattice is encoded by an ordered set:

$$\mathbf{L} \cong ED(\mathbf{L}) \quad \text{and} \quad \mathbf{P} \cong DE(\mathbf{P}),$$

for each finite distributive lattice \mathbf{L} and finite ordered set \mathbf{P} .

Indeed, the categories of finite bounded distributive lattices and finite ordered sets are *dually equivalent*.

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Infinite distributive lattices

Example

The finite-cofinite lattice $\mathbf{L} = \langle \mathcal{P}_{\text{FC}}(\mathbb{N}); \cup, \cap, \emptyset, \mathbb{N} \rangle$ cannot be obtained as the down-sets of an ordered set.

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Proof.

- ▶ Since \mathbf{L} is complemented, the ordered set would have to be an anti-chain.

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- ▶ So there would be at least $2^{\mathbb{N}}$ down-sets.

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- ▶ But $\mathcal{P}_{\text{FC}}(\mathbb{N})$ is countable. \square

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Infinite distributive lattices

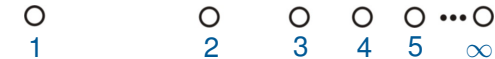
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But it can be obtained as the **clopen down-sets** of a topological ordered set.



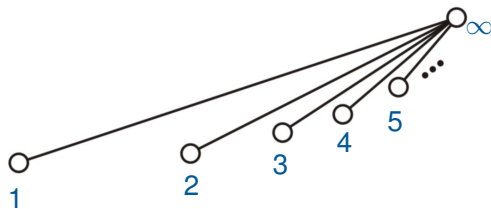
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More examples

Distributive lattice:

All finite subsets of \mathbb{N} , as well as \mathbb{N} itself,
 $\langle \mathcal{P}_{\text{fin}}(\mathbb{N}) \cup \{\mathbb{N}\}; \cup, \cap, \emptyset, \mathbb{N} \rangle$.

Topological ordered set:



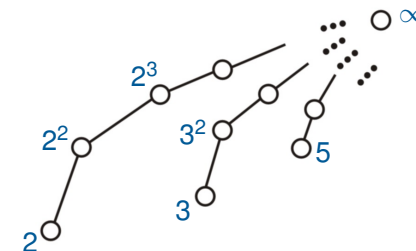
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Topological ordered set:



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The duality in general

- ▶ $\underline{2} = \langle \{0, 1\}; \vee, \wedge, 0, 1 \rangle$ is the two-element bounded lattice.

In general, we need to endow the dual $D(\mathbf{L})$ of a bounded distributive lattice \mathbf{L} with a topology. This is easy if we define the dual of \mathbf{L} to be $D(\mathbf{L}) := \mathcal{D}(\mathbf{L}, \underline{2})$.

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We first endow $\langle \{0, 1\}; \leq \rangle$ with the discrete topology:

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We first endow $\langle \{0, 1\}; \leq \rangle$ with the discrete topology:

- ▶ $\underline{2} = \langle \{0, 1\}; \leq, \mathcal{T} \rangle$ is the two-element ordered set with $0 \leq 1$ endowed with the discrete topology \mathcal{T} .

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Then we define

- ▶ $D(\mathbf{L}) := \mathcal{D}(\mathbf{L}, \underline{2}) \leq \underline{2}^L$.

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We put the pointwise order and the product topology on $\underline{2}^L$.

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We put the pointwise order and the product topology on $\underline{2}^L$.

Then $D(\mathbf{L}) := \mathcal{D}(\mathbf{L}, \underline{2})$ inherits its order and topology from $\underline{2}^L$.

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$\mathcal{D}(\mathbf{L}, \underline{2})$ is a topologically closed subset of $\underline{2}^L$ (easy exercise).

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Hence $D(\mathbf{L})$ is a compact ordered topological space.

Priestley spaces

The ordered space $D(\mathbf{L}) := \mathcal{D}(\mathbf{L}, \underline{2}) \leq \underline{2}^L$ is more than a compact ordered space. It is a Priestley space.

A topological structure $\mathbf{X} = \langle X; \leq, \mathcal{T} \rangle$ is a **Priestley space** if

- ▶ $\langle X; \leq, \rangle$ is an ordered set,
- ▶ \mathcal{T} is a compact topology on X , and
- ▶ for all $x, y \in X$ with $x \not\leq y$, there is a clopen down-set A of \mathbf{X} such that $x \notin A$ and $y \in A$.

The category of Priestley spaces is denoted by \mathcal{P} .

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The category of Priestley spaces is denoted by \mathcal{P} .

The following result is very easy to prove.

Lemma

- ▶ $D(\mathbf{L}) = \mathcal{D}(\mathbf{L}, \underline{2}) \leq \underline{2}^L$ is a Priestley space, for every bounded distributive lattice.
- ▶ $E(\mathbf{X}) = \mathcal{P}(\mathbf{X}, \underline{2}) \leq \underline{2}^X$ is a bounded distributive lattice, for every Priestley space \mathbf{X} .

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The functors

We now have functors $D: \mathcal{D} \rightarrow \mathcal{P}$ and $E: \mathcal{P} \rightarrow \mathcal{D}$ given by

$$D(\mathbf{L}) = \mathcal{D}(\mathbf{L}, \underline{2}) \leq \underline{2}^L \quad \text{and} \quad E(\mathbf{X}) = \mathcal{P}(\mathbf{X}, \underline{2}) \leq \underline{2}^X.$$

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D and E are defined on morphisms via composition exactly as they were in the finite case:

- ▶ given $f: \mathbf{L} \rightarrow \mathbf{K}$, we define

$$D(f): \mathcal{D}(\mathbf{K}, \underline{2}) \rightarrow \mathcal{D}(\mathbf{L}, \underline{2}) \quad \text{by} \quad D(f)(x) := x \circ f;$$

- ▶ given $\varphi: \mathbf{X} \rightarrow \mathbf{Y}$, we define

$$E(\varphi): \mathcal{P}(\mathbf{Y}, \underline{2}) \rightarrow \mathcal{P}(\mathbf{X}, \underline{2}) \quad \text{by} \quad E(\varphi)(\alpha) := \alpha \circ \varphi.$$

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The functors are contravariant as they reverse the direction of the morphisms: $D(f): \mathcal{D}(\mathbf{K}) \rightarrow \mathcal{D}(\mathbf{L})$ and $E(\varphi): \mathcal{P}(\mathbf{Y}) \rightarrow \mathcal{P}(\mathbf{X})$.

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The natural transformations

Let $\mathbf{L} \in \mathcal{D}$ and let $\mathbf{X} \in \mathcal{P}$. There are natural maps

$$e_{\mathbf{L}}: \mathbf{L} \rightarrow ED(\mathbf{L}) \quad \text{and} \quad \varepsilon_{\mathbf{X}}: \mathbf{X} \rightarrow DE(\mathbf{X})$$

to the double duals;

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- ▶ $e_{\mathbf{L}} : \mathbf{L} \rightarrow \mathcal{P}(\mathcal{D}(\mathbf{L}, \underline{\mathbf{2}}), \underline{\mathbf{2}})$ given by $a \mapsto e_{\mathbf{L}}(a)$,
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Priestley duality tells us that these maps are isomorphisms
(in \mathcal{D} or \mathcal{P} , as appropriate).

Priestley duality

Theorem (Priestley duality)

- The functors $D: \mathcal{D} \rightarrow \mathcal{P}$ and $E: \mathcal{P} \rightarrow \mathcal{D}$ give a dual category equivalence between \mathcal{D} and \mathcal{P} .
- In particular, $e_L: L \rightarrow ED(L)$ and $\varepsilon_X: X \rightarrow DE(X)$ are isomorphisms for all $L \in \mathcal{D}$ and $X \in \mathcal{P}$.

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Some ordered spaces

The following figure comes from Chapter 11 of
Davey and Priestley: Introduction to Lattices and Order.

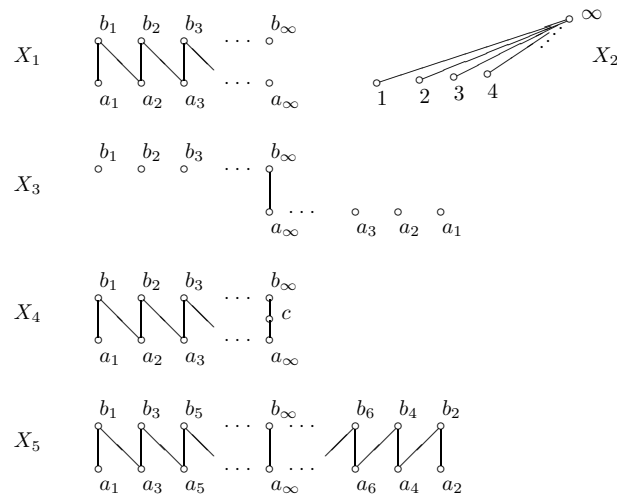


Figure 11.5

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A subtlety

- If $X = \langle X; \leq, \mathcal{T} \rangle$ is a Priestley space, then \leq is a topologically closed subset of $X \times X$.

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- ▶ If $\mathbf{X} = \langle X; \leq, \mathcal{T} \rangle$ is a Priestley space, then \leq is a topologically closed subset of $X \times X$.
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- Then \leq is closed in $C \times C$, but $\mathbb{C} = \langle C; \leq, \mathcal{T} \rangle$ is not a Priestley space.

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- ▶ You can't draw the Stralka space.
- ▶ [Bezhanishvili, Mines, Morandi] Any ordered compact space \mathbf{X} that you can draw in which \leq is topologically closed in $X \times X$ is a Priestley space.

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The translation industry: restricted Priestley duals

- ▶ Since $\mathcal{P}(\mathbf{X}, \underline{2})$ is isomorphic to the lattice $\mathcal{U}^{\mathcal{T}}(\mathbf{X})$ of clopen up-sets of \mathbf{X} , it is common to define the dual $E(\mathbf{X})$ of a Priestley space \mathbf{X} to be $\mathcal{U}^{\mathcal{T}}(\mathbf{X})$.

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The translation industry: restricted Priestley duals

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- ▶ Hence when translating properties of distributive lattices into properties of Priestley spaces, it is common to use clopen up-sets (or their complements, i.e., clopen down-sets).

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The translation industry: restricted Priestley duals

Examples: p-algebras

Let \mathbf{X} and \mathbf{Y} be a Priestley spaces and let $\varphi: \mathbf{X} \rightarrow \mathbf{Y}$ be continuous and order-preserving.

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The translation industry: restricted Priestley duals

Examples: p-algebras

Let \mathbf{X} and \mathbf{Y} be a Priestley spaces and let $\varphi: \mathbf{X} \rightarrow \mathbf{Y}$ be continuous and order-preserving.

- ▶ \mathbf{X} is the dual of a **distributive p-algebra**, and called a p-space, iff
 - ▶ $\downarrow U$ is clopen, for every clopen up-set U ;
- then $U^* = X \setminus \downarrow U$ in $\mathcal{U}^{\mathcal{T}}(\mathbf{X})$.

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The translation industry: restricted Priestley duals

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- ▶ If \mathbf{X} and \mathbf{Y} are p-spaces, then $\varphi: \mathbf{X} \rightarrow \mathbf{Y}$ is the dual of a **p-algebra homomorphism** iff
 - ▶ $\varphi(\max(x)) = \max(\varphi(x))$, for all $x \in X$.
 (Here $\max(z)$ denotes the set of maximal elements in $\uparrow z$.)

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The translation industry: restricted Priestley duals

Examples: Heyting algebras

Let \mathbf{X} and \mathbf{Y} be a Priestley spaces and let $\varphi: \mathbf{X} \rightarrow \mathbf{Y}$ be continuous and order-preserving.

- ▶ \mathbf{X} is the dual of a **Heyting algebra**, and called a **Heyting-space** (or **Esakia space**), iff
 - ▶ $\downarrow U$ is open, for every open up-set U ;
 then $U \rightarrow V = X \setminus \downarrow (U \setminus V)$ in $\mathcal{U}^{\mathcal{T}}(\mathbf{X})$.

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The translation industry: restricted Priestley duals

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- ▶ If \mathbf{X} and \mathbf{Y} are Heyting-spaces, then $\varphi: \mathbf{X} \rightarrow \mathbf{Y}$ is the dual of a **Heyting algebra homomorphism** iff
 - ▶ $\varphi(\uparrow x) = \uparrow \varphi(x)$, for all $x \in X$.

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The translation industry: restricted Priestley duals

Examples: Ockham algebras

- ▶ $\mathbf{A} = \langle A; \vee, \wedge, g, 0, 1 \rangle$ is an **Ockham algebra** if $\mathbf{A}^b := \langle A; \vee, \wedge, 0, 1 \rangle$ is a bounded distributive lattice and g satisfies De Morgan's laws and is Boolean complement on $\{0, 1\}$; in symbols,

$$g(a \vee b) = g(a) \wedge g(b), \quad g(a \wedge b) = g(a) \vee g(b), \quad g(0) = 1, \quad g(1) = 0,$$

i.e., g is a lattice-dual endomorphism of \mathbf{A}^b .

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The translation industry: restricted Priestley duals

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i.e., g is a lattice-dual endomorphism of \mathbf{A}^b .

- ▶ Thus $\mathbf{X} = \langle X; \widehat{g}, \leq, \top \rangle$ will be the restricted Priestley dual of an Ockham algebra, known as an **Ockham space**, if $\mathbf{X}^b := \langle X; \leq, \top \rangle$ is a Priestley space and $\widehat{g}: X \rightarrow X$ is an order-dual endomorphism of \mathbf{X}^b .

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- ▶ If \mathbf{X} and \mathbf{Y} are Ockham spaces, then $\varphi: \mathbf{X} \rightarrow \mathbf{Y}$ is the dual of an Ockham algebra homomorphism if it is continuous, order-preserving and preserves the unary operation \widehat{g} .

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Useful facts about Priestley spaces

Prove each of the following claims. The order-theoretic dual of each statement is also true.

Let $\mathbf{X} = \langle X; \leq, \top \rangle$ be a Priestley space.

- (1) The set $\downarrow Y := \{x \in X \mid (\exists y \in Y) x \leq y\}$ is closed in \mathbf{X} provided Y is closed in \mathbf{X} . In particular, $\downarrow y$ is closed in \mathbf{X} , for all $y \in X$.
- (2) Every up-directed subset of \mathbf{X} has a least upper bound in \mathbf{X} .
- (3) The set $\text{Min}(\mathbf{X})$ of minimal elements of \mathbf{X} is non-empty.
- (4) Let Y and Z be disjoint closed subsets of \mathbf{X} such that Y is a down-set and Z is an up-set. Then there is a clopen down-set U with $Y \subseteq U$ and $U \cap Z = \emptyset$.
- (5) Y is a closed down-set in \mathbf{X} if and only if Y is an intersection of clopen down-sets.

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