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Uniform Interpolation and Compact Congruences

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Uniform interpolation in IPC

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Uniform interpolation in IPC

Theorem (Pitts, 1992)

For any formula $\phi(\overline{\mathbf{x}}, \overline{\mathbf{y}})$ of intuitionistic propositional logic IPC, there exist **left** and **right uniform interpolants**, *i.e.*, formulas

 $\phi^{L}(\overline{\mathbf{y}})$ and $\phi^{R}(\overline{\mathbf{y}})$

of IPC with variables in \overline{y} , such that for any formula $\psi(\overline{y}, \overline{z})$,

 $\phi(\overline{\mathbf{x}}, \overline{\mathbf{y}}) \vdash_{\mathsf{IPC}} \psi(\overline{\mathbf{y}}, \overline{z}) \qquad \Leftrightarrow \qquad \phi^{R}(\overline{\mathbf{y}}) \vdash_{\mathsf{IPC}} \psi(\overline{\mathbf{y}}, \overline{z})$ $\psi(\overline{\mathbf{y}}, \overline{z}) \vdash_{\mathsf{IPC}} \phi(\overline{\mathbf{x}}, \overline{\mathbf{y}}) \qquad \Leftrightarrow \qquad \psi(\overline{\mathbf{y}}, \overline{z}) \vdash_{\mathsf{IPC}} \phi^{L}(\overline{\mathbf{y}}).$

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- $\phi(\overline{\mathbf{x}}, \overline{\mathbf{y}}) \vdash_{\mathsf{IPC}} \psi(\overline{\mathbf{y}}, \overline{z}) \qquad \Leftrightarrow \qquad \phi^{\mathsf{R}}(\overline{\mathbf{y}}) \vdash_{\mathsf{IPC}} \psi(\overline{\mathbf{y}}, \overline{z})$
- $\psi(\overline{\mathbf{y}},\overline{\mathbf{z}})\vdash_{\mathsf{IPC}}\phi(\overline{\mathbf{x}},\overline{\mathbf{y}}) \qquad \Leftrightarrow \qquad \psi(\overline{\mathbf{y}},\overline{\mathbf{z}})\vdash_{\mathsf{IPC}}\phi^{\mathsf{L}}(\overline{\mathbf{y}}).$

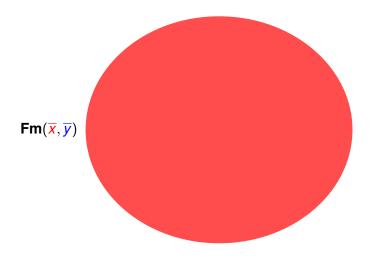
Notation

The formula ϕ^L is often denoted by $\forall_{\overline{\mathbf{x}}}\phi$ and ϕ^R by $\exists_{\overline{\mathbf{x}}}\phi$.

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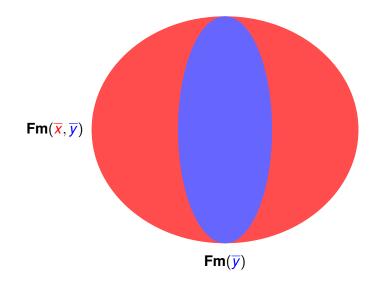
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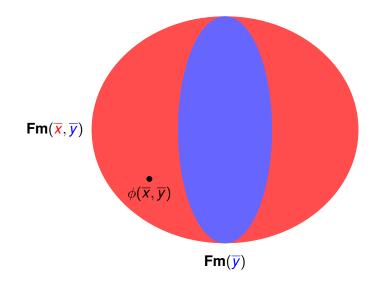
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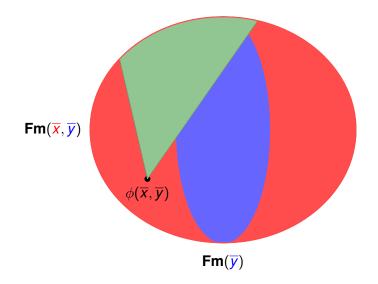
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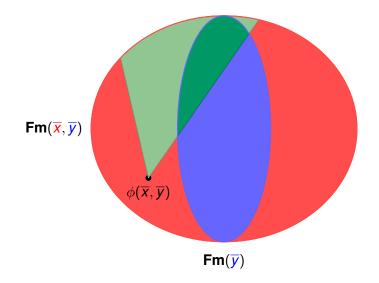
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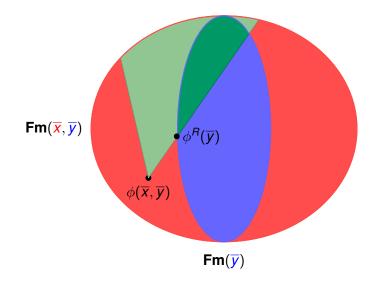
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- Shavrukov: uniform interpolation holds for GL.
- Ghilardi & Zawadowski: uniform interpolation fails for K4 and S4.



• Main question: When does a variety of algebras admit uniform interpolation?



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- In general, uniform interpolation is a property of maps between compact congruences.
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- **Main question:** When does a variety of algebras admit uniform interpolation?
- In general, uniform interpolation is a property of maps between compact congruences.
- This observation leads to algebraic characterizations for existence of left and right uniform interpolants,
- and insight into the structure of the category of algebras.
- We apply the characterizations to certain varieties for substructural logics.

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The congruence lattice

For any algebra **A**, the **lattice of congruences** on **A**, Con(**A**), is a complete lattice.



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An element *u* in a complete lattice *L* is called **compact** if, for any subset $S \subseteq L$ such that $u \leq \bigvee S$, there exists a finite subset $F \subseteq S$ such that $u \leq \bigvee F$.



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Fact

In a lattice of congruences, the compact elements are exactly the finitely generated congruences.



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We denote by KCon(**A**) the join-semilattice of **compact congruences**.

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Lifting homomorphisms

Any homomorphism $f : \mathbf{A} \to \mathbf{B}$ can be **lifted** to a pair of maps $f^* : \operatorname{Con}(\mathbf{A}) \leftrightarrows \operatorname{Con}(\mathbf{B}) : f^{-1}$, via

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$$\begin{split} \theta &\mapsto f^*(\theta) \stackrel{\text{def}}{=} \langle f[\theta] \rangle_{\mathsf{Con}(\mathbf{B})}, \\ f^{-1}(\psi) &\longleftrightarrow \psi. \end{split}$$



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$$f^{-1}(\psi) \leftarrow \psi.$$

Fact

The pair (f^*, f^{-1}) is an adjunction, i.e., for any $\theta \in \text{Con}(\mathbf{A}), \psi \in \text{Con}(\mathbf{B}),$

 $f^*(\theta) \subseteq \psi \iff \theta \subseteq f^{-1}(\psi).$

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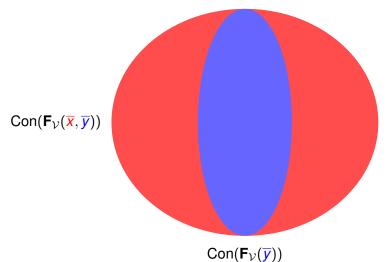
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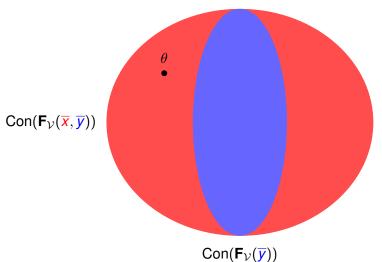


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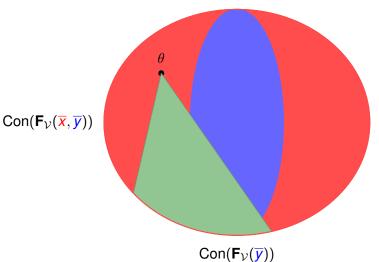


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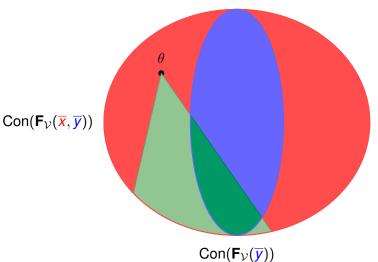


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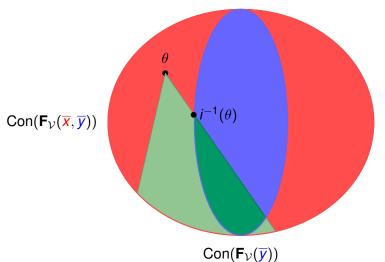


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Remark (Heyting algebras)

Any Heyting algebra **A** is dually isomorphic to KCon(A), so in this case the existence of a right adjoint to the compact lifting of *f* is the same as the existence of a left adjoint to *f*.

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Category-theoretic perspective

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Category-theoretic perspective

Fact

Let **A** be an algebra in a variety \mathcal{V} . Then:

Con(A) is dually isomorphic to the lattice of regular subobjects of A in the opposite category of V-algebras.

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Moreover, if A is finitely presented, then

KCon(A) is dually isomorphic to the lattice of regular subobjects of A in the opposite category of finitely presented V-algebras.

Category-theoretic perspective

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Modulo these isomorphisms, the lifted adjunction (f^*, f^{-1}) is the adjunction (\exists_f, f^*) between regular subobject lattices, used in categorical logic.

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Deductive interpolation

Definition

A variety \mathcal{V} has deductive interpolation if, and only if, for any set of equations $\Sigma(\overline{\mathbf{x}}, \overline{\mathbf{y}})$ and equation $\epsilon(\overline{\mathbf{y}}, \overline{z})$ such that $\Sigma \models_{\mathcal{V}} \epsilon$, there exists $\Delta(\overline{\mathbf{y}})$ such that $\Sigma \models_{\mathcal{V}} \Delta$ and $\Delta \models_{\mathcal{V}} \epsilon$.

Deductive vs. uniform interpolation

Fact

A variety \mathcal{V} has deductive interpolation if, and only if, for any set of equations $\Sigma(\overline{\mathbf{x}}, \overline{\mathbf{y}})$, there exists a set of equations $\Pi(\overline{\mathbf{y}})$ such that for any equation $\epsilon(\overline{\mathbf{y}}, \overline{z})$,

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Definition

A variety \mathcal{V} has right uniform deductive interpolation if, and only if, for any finite set of equations $\Sigma(\overline{x}, \overline{y})$, there exists a finite set of equations $\Pi(\overline{y})$ such that for any equation $\epsilon(\overline{y}, \overline{z})$,

$$\Sigma \models_{\mathcal{V}} \epsilon \iff \Pi \models_{\mathcal{V}} \epsilon.$$

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Right uniform interpolation and compact congruences

Theorem

For any variety V, the following are equivalent:

V has right uniform deductive interpolation;

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For any variety V, the following are equivalent:

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Right uniform interpolation and compact congruences

Theorem

For any variety V, the following are equivalent:

- V has right uniform deductive interpolation;
- ② V has deductive interpolation, and for finite x, y, the compact lifting of F_V(y) → F_V(x, y) has a right adjoint;
- V has deductive interpolation, and the compact lifting of any homomorphism between finitely presented algebras in V has a right adjoint.

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Right uniform interpolation, locally finite case

Theorem

For a locally finite variety V, the following are equivalent:

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Left uniform interpolation

Definition

A variety \mathcal{V} has left uniform deductive interpolation if, and only if, for any finite set of equations $\Sigma(\overline{x}, \overline{y})$, there exists a finite set of equations $\Delta(\overline{y})$ such that for any set of equations $\Pi(\overline{y}, \overline{z})$:

 $\Pi(\overline{\boldsymbol{y}},\overline{\boldsymbol{z}})\models_{\mathcal{V}}\Sigma(\overline{\boldsymbol{x}},\overline{\boldsymbol{y}})\iff \Pi(\overline{\boldsymbol{y}},\overline{\boldsymbol{z}})\models_{\mathcal{V}}\Delta(\overline{\boldsymbol{y}}).$

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Left uniform interpolation

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A variety \mathcal{V} has left uniform deductive interpolation if, and only if, for any finite set of equations $\Sigma(\overline{x}, \overline{y})$, there exists a finite set of equations $\Delta(\overline{y})$ such that for any set of equations $\Pi(\overline{y}, \overline{z})$:

$$\Pi(\overline{\mathbf{y}},\overline{z})\models_{\mathcal{V}} \Sigma(\overline{\mathbf{x}},\overline{\mathbf{y}}) \iff \Pi(\overline{\mathbf{y}},\overline{z})\models_{\mathcal{V}} \Delta(\overline{\mathbf{y}}).$$

Fact

For any variety V, the following are equivalent:

- V has left uniform deductive interpolation;
- ② V has deductive interpolation, and for finite x, y, the compact lifting of F_V(y) → F_V(x, y) has a left adjoint.



When do compact liftings of arbitrary homomorphisms have left adjoints?



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Theorem

For any variety \mathcal{V} , the following are equivalent:

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From inclusions to homomorphisms, left case

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Theorem

For any variety \mathcal{V} , the following are equivalent:

- The compact lifting of any homomorphism between finitely presented algebras in V has a left adjoint.
- 2 The compact lifting of any inclusion F_V(y) → F_V(x, y) has a left adjoint, and for any finite x, KCon(F_V(x)) is a Brouwerian join-semilattice (i.e., ∨ is residuated).

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For any variety \mathcal{V} , the following are equivalent:

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- 2 The compact lifting of any inclusion F_V(y) → F_V(x, y) has a left adjoint, and for any finite x, KCon(F_V(x)) is a Brouwerian join-semilattice (i.e., ∨ is residuated).

Note: The condition that $\text{KCon}(\mathbf{F}_{\mathcal{V}}(\omega))$ is a Brouwerian join-semilattice is equivalent to \mathcal{V} having equationally definable principal congruences (Köhler & Pigozzi 1980).

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Theorem

Let \mathcal{V} be a locally finite variety with deductive interpolation. The following are equivalent:

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Theorem

Let \mathcal{V} be a locally finite variety with deductive interpolation. The following are equivalent:

() \mathcal{V} has left uniform deductive interpolation;

② *V* is congruence-distributive and for finite *x*, *y*, *i** : Con(F_V(*x*)) → Con(F_V(*x*, *y*)) preserves intersections.

Examples, right case

The following varieties have right uniform interpolation:

- Heyting algebras and modal algebras,
- abelian groups, abelian ℓ -groups and MV-algebras,
- any locally finite variety with deductive interpolation.

Examples, right case

The following varieties have right uniform interpolation:

- Heyting algebras and modal algebras,
- abelian groups, abelian ℓ -groups and MV-algebras,
- any locally finite variety with deductive interpolation.

However, the varieties of groups and of **S4**-algebras do not have right uniform interpolation.

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Examples, left case

Heyting algebras have left uniform interpolation (Pitts),

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Examples, left case

Heyting algebras have left uniform interpolation (Pitts), but the variety \mathcal{ISL} of implicative semilattices does not, corresponding to the (\wedge, \rightarrow) -fragment of IPC.

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$$\Sigma := \{\top \approx ((x \to z) \land (y \to z)) \to z\}$$

is a consequence of both $\top \approx x$ and $\top \approx y$, i.e.,

 $\{\top \approx x\} \models_{\mathcal{ISL}} \Sigma$ and $\{\top \approx y\} \models_{\mathcal{ISL}} \Sigma$,

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Examples, left case

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$$\{\top \approx x\} \models_{ISL} \Sigma$$
 and $\{\top \approx y\} \models_{ISL} \Sigma$,

but there is no $\Delta(x, y)$ satisfying

$$\Delta \models_{\mathcal{ISL}} \Sigma, \quad \{\top \approx x\} \models_{\mathcal{ISL}} \Delta, \quad \text{and} \quad \{\top \approx y\} \models_{\mathcal{ISL}} \Delta.$$

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Introduction

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In particular, under certain conditions (e.g., for varieties of Heyting and modal algebras), uniform interpolation for ${\cal V}$ implies the existence of a model completion for the first-order theory of ${\cal V}$ (Ghilardi & Zawadowski).

Conclusion and further work

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In particular, under certain conditions (e.g., for varieties of Heyting and modal algebras), uniform interpolation for ${\cal V}$ implies the existence of a model completion for the first-order theory of ${\cal V}$ (Ghilardi & Zawadowski).

• Can we weaken these conditions to cover other classes of algebras, e.g., quasi-varieties, universal classes?

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