

Krivine's Classical Realizability from a Categorical Perspective

Thomas Streicher (TU Darmstadt)

July 2011

The Scenario

Krivine's **Classical Realizability** will turn out as a **generalization of forcing** as known from set theory.

Following Hyland with every **partial combinatory algebra** (pca) \mathbb{A} one associates a **realizability topos** $RT(\mathbb{A})$. However,

$RT(\mathbb{A})$ Groth. topos or boolean $\Rightarrow \mathbb{A}$ trivial pca

thus classical realizability is not given by a pca.

However, the **order pca's** of J. van Oosten and P. Hofstra provide a common generalization of realizability and Heyting valued models.

Classical Realizability (1)

The collection of (possibly open) **terms** is given by the grammar

$$t ::= x \mid \lambda x.t \mid ts \mid \text{cc } t \mid \mathbf{k}_\pi$$

where π ranges over **stacks** (i.e. lists) of closed terms. We write Λ for the set of closed terms and Π for the set of stacks of closed terms.

A **process** is a pair $t * \pi$ with $t \in \Lambda$ and $\pi \in \Pi$.

The operational semantics of Λ is given by the relation \succeq (*head reduction*) on processes defined inductively by the clauses

(pop)	$\lambda x.t * s.\pi$	\succeq	$t[s/x] * \pi$
(push)	$ts * \pi$	\succeq	$t * s.\pi$
(store)	$\text{cc } t * \pi$	\succeq	$t * \mathbf{k}_\pi.\pi$
(restore)	$\mathbf{k}_\pi * t.\pi'$	\succeq	$t * \pi$

Classical Realizability (2)

This language has a natural interpretation within the recursive domain

$$D \cong \Sigma^{\text{List}(D)} \cong \prod_{n \in \omega} \Sigma^{D^n}$$

We have $D \cong \Sigma \times D^D$. Thus D^D is a retract of D and, accordingly, D is a model for λ_β -calculus. The interpretation of Λ is given by

$$\begin{array}{ll} \llbracket x \rrbracket_\varrho = \varrho(x) & \llbracket ts \rrbracket_\varrho k = \llbracket t \rrbracket_\varrho \langle \llbracket s \rrbracket_\varrho, k \rangle \\ \llbracket \lambda x.t \rrbracket_\varrho \langle \rangle = \top & \llbracket \lambda x.t \rrbracket_\varrho \langle d, k \rangle = \llbracket t \rrbracket_{\varrho[d/x]} k \\ \llbracket \text{cc } t \rrbracket_\varrho k = \llbracket t \rrbracket_\varrho \langle \text{ret}(k), k \rangle & \llbracket \mathbf{k}\pi \rrbracket_\varrho = \text{ret}(\llbracket \pi \rrbracket_\varrho) \end{array}$$

where

$$\begin{array}{ll} \text{ret}(k) \langle \rangle = \top & \text{ret}(k) \langle d, k' \rangle = d(k) \\ \llbracket \langle \rangle \rrbracket_\varrho = \langle \rangle & \llbracket t.\pi \rrbracket_\varrho = \langle \llbracket t \rrbracket_\varrho, \llbracket \pi \rrbracket_\varrho \rangle \end{array}$$

Classical Realizability (3)

A set $\perp\!\!\!\perp$ of processes is called **saturated** iff $q \in \perp\!\!\!\perp$ whenever $q \succeq p \in \perp\!\!\!\perp$. We write $t \perp \pi$ for $t * \pi \in \perp\!\!\!\perp$. For $X \subseteq \Pi$ and $Y \subseteq \Lambda$ we put

$$X^\perp = \{t \in \Lambda \mid \forall \pi \in X. t \perp \pi\} \quad Y^\perp = \{\pi \in \Pi \mid \forall t \in Y. t \perp \pi\}$$

Obviously $(-)^{\perp}$ is antitonic and $Z \subseteq Z^{\perp\perp}$ and thus $Z^\perp = Z^{\perp\perp\perp}$.

For a saturated set $\perp\!\!\!\perp$ of processes second order logic over a set M of individuals is interpreted as follows: n -ary predicate variables range over functions $M^n \rightarrow \mathcal{P}(\Pi)$ and formulas A are interpreted as $\|A\| \subseteq \Pi$

$$\|X(t_1, \dots, t_n)\|_\varrho = \varrho(X)(\llbracket t_1 \rrbracket_\varrho, \dots, \llbracket t_n \rrbracket_\varrho)$$

$$\|A \rightarrow B\|_\varrho = |A|_\varrho \cdot \|B\|_\varrho$$

$$\|\forall x A(x)\| = \bigcup_{a \in M} \|A(a)\|$$

$$\|\forall X A[X]\|_\varrho = \bigcup_{R \in \mathcal{P}(\Pi)^{M^n}} \|A\|_{\varrho[R/X]}$$

where $|A|_\varrho = \|A\|_\varrho^\perp$.

Classical Realizability (4)

We have $|\forall X A| = \bigcap_{R \in \mathcal{P}(\Pi)^{M^n}} |A[R/X]|$.

In general $|A \rightarrow B|$ is a **proper** subset of

$$|A| \rightarrow |B| = \{t \in \Lambda \mid \forall s \in |A| \ ts \in |B|\}$$

unless $ts * \pi \in \perp\!\!\!\perp \Rightarrow t * s.\pi \in \perp\!\!\!\perp$

But for every $t \in |A| \rightarrow |B|$ its η -expansion $\lambda x.tx \in |A \rightarrow B|$ and, of course, we have $|A \rightarrow B| = |A| \rightarrow |B|$ whenever $\perp\!\!\!\perp$ is also *closed under head reduction*, i.e. $\perp\!\!\!\perp \ni p \succ q$ implies $q \in \perp\!\!\!\perp$.

One may even assume that $\perp\!\!\!\perp$ is stable w.r.t. the semantic equality $=_D$ induced by the model D . However, there are interesting situations where one has to go beyond such a framework.

Classical Realizability (5)

For realizing the Countable Axiom of Choice CAC Krivine introduced a new language construct χ^* with the reduction rule

$$\chi^* * t.\pi \succeq t * n_t.\pi$$

where n_t is the Church numeral representation of a Gödel number for t , c.f. `quote(t)` of LISP.

NB quote is in conflict with β -reduction!

NB The term χ^* realizes *Krivine's Axiom*

$$\exists S \forall x \left(\forall n^{\text{Int}} Z(x, S_{x,n}) \rightarrow \forall X Z(x, X) \right)$$

which entails CAC.

Axiomatic Classical Realizability (1)

Instead of the usual pca's we now consider the following axiomatic framework which we call **Abstract Krivine Structure (AKS)** :

- a set Λ of “terms” together with a binary application operation (written as juxtaposition) and distinguished elements $K, S, cc \in \Lambda$
- a set Π of “stacks” together with a push operation (push) from $\Lambda \times \Pi$ to Π (written $t.\pi$) and a unary operation $k : \Pi \rightarrow \Lambda$
- a subset $\perp\!\!\!\perp$ of $\Lambda \times \Pi$ which is **saturated** in the sense that

$$(S1) \quad ts \star \pi \in \perp\!\!\!\perp \quad \text{whenever} \quad t \star s.\pi \in \perp\!\!\!\perp$$

$$(S2) \quad K \star t.s.\pi \in \perp\!\!\!\perp \quad \text{whenever} \quad t \star \pi \in \perp\!\!\!\perp$$

$$(S3) \quad S \star t.s.u.\pi \in \perp\!\!\!\perp \quad \text{whenever} \quad tu(su) \star \pi \in \perp\!\!\!\perp$$

$$(S4) \quad cc \star t.\pi \in \perp\!\!\!\perp \quad \text{whenever} \quad t \star k_\pi.\pi \text{ in } \perp\!\!\!\perp$$

$$(S5) \quad k_\pi \star t.\pi' \in \perp\!\!\!\perp \quad \text{whenever} \quad t \star \pi \in \perp\!\!\!\perp.$$

Axiomatic Classical Realizability (2)

A **proposition** A is given by a subset $\|A\| \subseteq \Pi$. Its set of **realizers** is

$$|A| = \|A\|^\perp = \{t \in \Lambda \mid \forall \pi \in \|A\| \ t \star \pi \in \perp\}$$

and logic is interpreted as follows

$$\|R(\vec{t})\| = R(\llbracket \vec{t} \rrbracket)$$

$$\|A \rightarrow B\| = |A|. \|B\| = \{t.\pi \mid t \in |A|, \pi \in \|B\|\}$$

$$\|\forall x A(x)\| = \bigcup_{a \in M} \|A(a)\|$$

$$\|\forall X A(X)\| = \bigcup_{R \in \mathcal{P}(\Pi)^{M^n}} \|A(R)\|$$

where M is the underlying set of the model.

Axiomatic Classical Realizability (3)

One could define propositions more restrictively as

$$\mathcal{P}_{\perp\perp}(\Pi) = \{X \in \mathcal{P}(\Pi) \mid X = X^{\perp\perp}\}$$

without changing the meaning of $|A|$ for closed formulas.

Notice that $\mathcal{P}_{\perp\perp}(\Pi)$ is in 1-1-correspondence with

$$\mathcal{P}_{\perp\perp}(\Lambda) = \{X \in \mathcal{P}(\Lambda) \mid X = X^{\perp\perp}\}$$

via $(-)^{\perp}$.

In case (S1) holds as an equivalence, i.e. we have

$$(SS1) \quad t s \star \pi \text{ in } \perp\perp \quad \text{iff} \quad t \star s.\pi \text{ in } \perp\perp$$

one may define $|\cdot|$ directly as

Axiomatic Class Realiz. (4)

$$|R(\vec{t})| = R(\llbracket \vec{t} \rrbracket)$$

$$|A \rightarrow B| = |A| \rightarrow |B| = \{t \in L \mid \forall s \in |A| \, ts \in |B|\}$$

$$|\forall x A(x)| = \bigcap_{a \in M} |A(a)|$$

$$|\forall X A(X)| = \bigcap_{R \in \mathcal{P}_{\perp}(\Lambda)^{M^n}} |A(R)|$$

and it coincides with the previous definition for closed formulas.

Abstract Krivine structures validating the reasonable assumption (SS1) are called **strong abstract Krivine structures** (SAKSs).

Axiomatic Class Realiz. (5)

Obviously, for $A, B \in \mathcal{P}_{\perp\perp}(\Lambda)$ we have

$$|A \rightarrow B| \subseteq |A| \rightarrow |B| = \{t \in \Lambda \mid \forall s \in |A| \ ts \in |B|\}$$

But for any $t \in |A| \rightarrow |B|$ we have

$$Et \in |A \rightarrow B|$$

where $E = S(KI)$ with $I = SKK$.

Axiomatic Class Realiz. (5a)

Proof. One easily checks that

$$I * t.\pi \in \perp\!\!\!\perp \Leftarrow t * \pi \in \perp\!\!\!\perp$$

and thus we have

$$Et * s.\pi \in \perp\!\!\!\perp \Leftarrow ts * \pi \in \perp\!\!\!\perp$$

because

$$Et * s.\pi \in \perp\!\!\!\perp \Leftarrow KIs(ts).\pi \in \perp\!\!\!\perp \Leftarrow I * ts.\pi \in \perp\!\!\!\perp \Leftarrow ts * \pi \in \perp\!\!\!\perp$$

Then for $s \in |A|$, $\pi \in ||B||$ we have $Et * s.\pi \in \perp\!\!\!\perp$

because $ts * \pi \in \perp\!\!\!\perp$ since $t \in |A| \rightarrow |B|$.

Thus $Et \in |A \rightarrow B|$ as desired.

Forcing as an Instance (1)

Let \mathbb{P} a \wedge -semilattice (with top element 1) and \mathcal{D} a *downward closed* subset of \mathbb{P} . Such a situation gives rise to a SAKS where

- $\Lambda = \Pi = \mathbb{P}$
- application and the push operation are interpreted as \wedge in \mathbb{P}
- k is the identity on \mathbb{P} and constants K , S and cc are interpreted as 1
- $\perp\!\!\!\perp = \{(p, q) \in \mathbb{P}^2 \mid p \wedge q \in \mathcal{D}\}$.

We write $p \perp q$ for $p * q \in \perp\!\!\!\perp$, i.e. $p \wedge q \in \mathcal{D}$.

NB This is **not** a pca since application \wedge is commutative and associative and thus $a = kab = kba = b$.

Forcing as an Instance (2)

For $X \subseteq \mathbb{P}$ we have

$$X^\perp = \{p \in \mathbb{P} \mid \forall q \in X \ p \wedge q \in \mathcal{D}\}$$

which is downward closed and contains \mathcal{D} as a subset.

For such X we have

$$X^\perp = \{p \in \mathbb{P} \mid \forall q \leq p \ (q \in X \Rightarrow q \in \mathcal{D})\}$$

Thus, for arbitrary $X \subseteq \mathbb{P}$ we have

$$\begin{aligned} X^{\perp\perp} &= \{p \in \mathbb{P} \mid \forall q \leq p \ (q \in X^\perp \Rightarrow q \in \mathcal{D})\} \\ &= \{p \in \mathbb{P} \mid \forall q \leq p \ (q \notin \mathcal{D} \Rightarrow q \notin X^\perp)\} \\ &= \{p \in \mathbb{P} \mid \forall q \leq p \ (q \notin \mathcal{D} \Rightarrow \exists r \leq q \ (r \notin \mathcal{D} \wedge r \in X))\} \end{aligned}$$

as familiar from **Cohen forcing**.

Forcing as an Instance (3)

Accordingly, we define **propositions** as $A \subseteq \mathbb{P}$ with $A = A^{\perp\perp}$.

In case $\mathcal{D} = \{0\}$ then $\mathbb{P}^\uparrow = \mathbb{P} \setminus \{0\}$ is a conditional \wedge -semilattice and propositions are in 1-1-correspondence with *regular* subsets A of \mathbb{P}^\uparrow , i.e. $p \in A$ whenever $\forall q \leq p \exists r \leq q r \in A$, as in **Cohen forcing** over \mathbb{P}^\uparrow .

For propositions A, B, C we have

$$A \rightarrow B := \{p \in \mathbb{P} \mid \forall q \in A p \wedge q \in B\} = \{p \in \mathbb{P} \mid \forall q \leq p (q \in A \Rightarrow q \in B)\}$$

and thus $C \subseteq A \rightarrow B$ iff $C \cap A \subseteq B$

The least proposition \perp is given by $\mathbb{P}^\perp = \mathcal{D}$ and thus we have

$$\neg A \equiv A \rightarrow \perp = \{p \in \mathbb{P} \mid \forall q \in A p \wedge q \in \mathcal{D}\} = A^\perp$$

Characterization of Forcing

One can show that a SAKS arises (up to iso) from a downward closed subset of a \wedge -semilattice iff

- (1) $k : \Pi \rightarrow \Lambda$ is a bijection
- (2) application is associative, commutative and idempotent and has a neutral element 1
- (3) application coincides with the push operation (when identifying Λ and Π via k).

Remark The downset $\mathcal{D} = \{t \in \Lambda \mid (t, 1) \in \perp\perp\}$ (where 1 in Π via k).

In this sense **forcing = commutative realizability**

AKS's as total OPCAs (1)

Hofstra and van Oosten's notion of **order partial combinatory algebra** (OPCA) generalizes both PCAs and complete Heyting algebras (cHa's).

We will show how every AKS can be organised into a total OPCA.

A **total OPCA** is a triple $(\mathbb{A}, \leq, \bullet)$ where \leq is a partial order on \mathbb{A} and \bullet is a binary monotone operation on \mathbb{A} such that for some $k, s \in \mathbb{A}$

$$k \bullet a \bullet b \leq a \quad s \bullet a \bullet b \bullet c \leq a \bullet c \bullet (b \bullet c)$$

for all $a, b, c \in \mathbb{A}$.

AKS's as total OPCAs (2)

With every AKS we may associate the total OPCA whose underlying set is $\mathcal{P}_{\perp\perp}(\Pi)$, where $a \leq b$ iff $a \supseteq b$ and application is defined as

$$a \bullet b = \{\pi \in P \mid \forall t \in |a|, s \in |b| \ t * s.\pi \in \perp\perp\}^{\perp\perp}$$

where $|a| = a^{\perp}$. Obviously $a \leq b$ iff $|a| \subseteq |b|$.

NB In case of a SAKS we have

$$|a \bullet b| = \{ts \mid t \in |a|, s \in |b|\}^{\perp\perp}$$

Lemma 1

From $a \leq b \rightarrow c$ it follows that $a \bullet b \leq c$.

Lemma 2

If $t \in |a|$ and $s \in |b|$ then $ts \in |a \bullet b|$.

$(\mathcal{P}_{\perp\perp}(\Pi), \supseteq, \bullet)$ is a total OPCA

One easily shows that $\{K\}^\perp ab \leq a$.

For showing that $\{S\}^\perp \bullet a \bullet b \bullet c \leq a \bullet c \bullet (b \bullet c)$ it suffices by (multiple applications of) Lemma 1 to show that $s \leq a \rightarrow b \rightarrow c \rightarrow (a \bullet c \bullet (b \bullet c))$. It suffices to show that

$$S \in |a \rightarrow b \rightarrow c \rightarrow (a \bullet c \bullet (b \bullet c))|$$

For this purpose suppose $t \in |a|$, $s \in |b|$, $u \in |c|$ and $\pi \in a \bullet c \bullet (b \bullet c)$. Applying Lemma 2 iteratively we have $tu(su) \in |a \bullet c \bullet (b \bullet c)|$ and thus $tu(su) * \pi \in \perp\perp$. Since $\perp\perp$ is closed under expansion it follows that $S * t.s.u.\pi \in \perp\perp$ as desired.

AKS's as total OPCAs (3)

A **filter** in a total OPCA $(\mathbb{A}, \leq, \bullet)$ is a subset Φ of \mathbb{A} closed under \bullet and containing (some choice of) k and s (for \mathbb{A}).

- (1) In case of a SAKS induced by a downclosed set \mathcal{D} in a \wedge -semilattice \mathbb{P} a natural choice of a filter is $\{\mathbb{P}\}$.
- (2) $\Phi = \{a \in \mathcal{P}_{\perp\perp}(\Pi) \mid |a| \neq \emptyset\}$ is a filter on $\mathcal{P}_{\perp\perp}(\Pi)$ by Lemma 2.

With a filtered opca one may associate a **Set-indexed preorder** $[-, \mathbb{A}]_{\Phi}$

- $[I, \mathbb{A}]_{\Phi} = \mathbb{A}^I$ is the set of all functions from set I to \mathbb{A}
- endowed with the preorder $\varphi \vdash_I \psi$ iff $\exists a \in \Phi \forall i \in I \ a \bullet \varphi_i \leq \psi_i$
- for $u : J \rightarrow I$ the **reindexing map** $[u, \mathbb{A}]_{\Phi} = u^* : \mathbb{A}^I \rightarrow \mathbb{A}^J$ sends φ to $u^*\varphi = (\varphi_{u(j)})_{j \in J}$.

Krivine Tripos (1)

In case \mathbb{A} arises from an AKS and $\Phi = \{a \in \mathcal{P}_{\perp\perp}(\Pi) \mid |a| \neq \emptyset\}$ the indexed preorder $[-, \mathbb{A}]_{\Phi}$ is a **tripos**, i.e.

- all $[I, \mathbb{A}]_{\Phi}$ are pre-Heyting-algebras whose structure is preserved by reindexing
- for every $u : J \rightarrow I$ in **Set** the reindexing map u^* has a left adjoint \exists_u and a right adjoint \forall_u satisfying (Beck-)Chevalley condition
- there is a *generic predicate* $T \in [\Sigma, \mathbb{A}]_{\Phi}$, namely $\Sigma = \mathbb{A}$ and $T = \text{id}_{\mathbb{A}}$, of which all predicates arise by reindexing since $\varphi = \varphi^* \text{id}_{\mathbb{A}}$

It coincides with Krivine's Classical Realizability since for $\varphi, \psi \in [M, \mathbb{A}]_{\Phi}$

$$\varphi \vdash_M \psi \quad \text{iff} \quad \exists t \in \bigwedge \forall i \in M \ t \in |\varphi_i \rightarrow \psi_i|$$

Krivine Tripos (2)

Proof :

Suppose $\varphi \vdash_M \psi$. Then there exists $a \in \Phi$ such that $\forall i \in M \ a \bullet \varphi_i \leq \psi_i$. For all $i \in M$, $u \in |a|$ and $v \in |\varphi_i|$ we have $uv \in |a \bullet \varphi_i| \subseteq |\psi_i|$. Let $u \in |a|$. Then for all $i \in M$ we have $u \in |\varphi_i| \rightarrow |\psi_i|$ and thus $Eu \in |\varphi_i \rightarrow \psi_i|$. Thus $t = Eu$ does the job.

Suppose there exists a $t \in \Lambda$ such that $\forall i \in M \ t \in |\varphi_i \rightarrow \psi_i|$. Then we have $\forall i \in M \ \{t\}^{\perp\perp} \subseteq |\varphi_i \rightarrow \psi_i|$. Thus for $a = \{t\}^{\perp} \in \Phi$ we have

$$\forall i \in M \forall u \in |a| \forall v \in |\varphi_i| \forall \pi \in \psi_i \ u * v.\pi \in \perp\perp$$

from which it follows that

$$\forall i \in M \ a \bullet \varphi_i \leq \psi_i$$

Thus $\varphi \vdash_M \psi$.

Forcing in Classical Realizability (1)

Let P be a meet-semilattice. We write pq as a shorthand for $p \wedge q$.

Let C be an upward closed subset of P .

With every $X \subseteq P$ one associates*

$$|X| = \{p \in P \mid \forall q (C(pq) \rightarrow X(q))\}$$

Such subsets of P are called propositions. We say

$$p \text{ forces } X \quad \text{iff} \quad p \in |X|$$

and thus

$$p \text{ forces } X \rightarrow Y \quad \text{iff} \quad \forall q (|X|(q) \rightarrow |Y|(pq))$$

$$p \text{ forces } \forall i \in I. X_i \quad \text{iff} \quad \forall i \in I. p \text{ forces } X_i$$

*Traditionally, one would associate with X the set $X^\perp = \{p \in P \mid \forall q \in X \neg C(pq)\}$.
But, classically, we have $|X| = (P \setminus X)^\perp$.

Forcing in Classical Realizability (2)

Apparently, we have

$$\begin{aligned} p \text{ forces } X \rightarrow Y & \text{ iff} \\ \forall q (|X|(q) \rightarrow \forall r (C(pqr) \rightarrow Y(r))) & \text{ iff} \\ \forall q, r (C(pqr) \rightarrow |X|(q) \rightarrow Y(r)) & \text{ iff} \\ p \in \left| \{qr \mid |X|(q) \rightarrow Y(r)\} \right| \end{aligned}$$

$$p \text{ forces } \forall i \in I. X_i \quad \text{iff} \quad p \in \left| \bigcap_{i \in I} X_i \right|$$

Forcing in Classical Realizability (3)

Actually, in most cases P is not a meet-semilattice **but** it is so “from point of view” of $C \subseteq P$, i.e. we have a binary operation on P and an element $1 \in P$ such that

$$\begin{aligned}C(p(qr)) &\leftrightarrow C((pq)r) \\C(pq) &\leftrightarrow C(qp) \\C(p) &\leftrightarrow C(pp) \\C(1p) &\leftrightarrow C(p) \\(C(p) \leftrightarrow C(q)) &\rightarrow (C(pr) \leftrightarrow C(qr))\end{aligned}$$

together with

$$C(pq) \rightarrow C(p)$$

expressing that C is upward closed.

Forcing in Classical Realizability (3a)

On P we may define a congruence

$$p \simeq q \equiv \forall r. (C(rp) \leftrightarrow C(rq))$$

w.r.t. which P is a commutative idempotent monoid, i.e. a meet-semilattice, of which C is an upward closed subset.

Forcing in Classical Realizability (4)

We have seen that p forces $X \rightarrow Y$ iff $\forall q, r (C(pqr) \rightarrow |X|(q) \rightarrow Y(r))$

Thus a term t realizes p forces $X \rightarrow Y$ iff

$$(\dagger) \quad \forall q, r \forall u \in C(pqr) \forall s \in |X|(q) \forall \pi \in Y(r) t * u.s.\pi \in \perp\!\!\!\perp$$

Thus, one might want to define when a pair (t, p) realizes $X \rightarrow Y$. For this purpose one has to find an AKS structure whose term part is $\Lambda \times P$. One defines application and push as follows

$$(t, p)(s, q) = (ts, pq) \quad (t, p).(\pi, q) = (t.\pi, pq)$$

Moreover, from $\perp\!\!\!\perp$ one defines a new $\perp\!\!\!\perp\!\!\!\perp$ as

$$(t, p) * (\pi, q) \in \perp\!\!\!\perp\!\!\!\perp \quad \text{iff} \quad \forall u \in C(pq) t * \pi^u \in \perp\!\!\!\perp$$

where π^u is obtained from π by inserting u at its bottom.

Forcing in Classical Realizability (4a)

Thus, we have

$$(t, p) \in |X \rightarrow Y|$$

iff

$$\forall (s, q) \in |X| \forall (r, \pi) \in Y (t, p) * (s, q).(\pi, r) \in \perp\perp$$

iff

$$\forall (s, q) \in |X| \forall (r, \pi) \in Y \forall u \in C(pqr) t * s.\pi^u \in \perp\perp$$

in accordance with explication (†) of t realizes p forces $X \rightarrow Y$ as

$$\forall q, r \forall u \in C(pqr) \forall s \in |X|(q) \forall \pi \in Y(r) t * u.s.\pi \in \perp\perp$$

Forcing in Classical Realizability (5)

In order to jump back and forth between

t realizes p forces A and $(t', p) \in |A|$

one needs “read” and “write” constructs in the original AKS, i.e. command χ and χ' s.t.

(read) $\chi * t.\pi^s \sqsupseteq t * s.\pi$

(write) $\chi' * t.s.\pi \sqsupseteq t * \pi^s$

Using these one can transform t into t' and *vice versa*.

Krivine concludes from this that for **realizing forcing one needs global memory**.

Generic Set and Ideal (1)

In forcing one usually considers the **generic set** \mathcal{G} which is the predicate on P with $\mathcal{G}(p) = \{p\}^{\perp\perp}$.

Equivalently one may consider its complement, the **generic ideal** \mathcal{J} with $|\mathcal{J}(p)| = \{p\}^{\perp}$, i.e.

$$\mathcal{J}(p) = \{q \in P \mid p \neq q\}$$

as $q \in |\mathcal{J}(p)|$ iff $\forall r (C(qr) \rightarrow p \neq r)$ iff $\neg C(qp)$.

Generic Set and Ideal (2)

Obviously $p \simeq q$ iff $\forall r (|\mathcal{J}(p)|(r) \leftrightarrow |\mathcal{J}(q)|(r))$. More generally, we can define

$$p \preceq q \equiv \forall r (|\mathcal{J}(q)|(r) \rightarrow |\mathcal{J}(p)|(r))$$

i.e. $\forall r (C(rp) \rightarrow C(rq))$. This defines a preorder w.r.t. which P gets a meet-semilattice \mathbb{P} with greatest element 1 where pq picks a binary infimum of p and q .

Equivalently, we may define

$$\|\mathcal{J}(p)\| = \Pi \times \{p\}$$

since $(t, q) \in |\mathcal{J}(p)|$ iff $\forall \pi (t, q) * (\pi, p) \in \perp\perp$ iff $\forall u \in C(qp) \forall \pi t * \pi^u \in \perp\perp$.

$\mathcal{P}(P)$ as a cBa

For $X \in \mathcal{P}(P)$ define $\mathcal{J}(X)$ such that

$$|\mathcal{J}(X)|(q) \quad \text{iff} \quad \forall p \in X \neg C(qp)$$

i.e. $|\mathcal{J}(X)| = X^\perp$. We may extend \preceq to $\mathcal{P}(P)$ as follows

$$X \preceq Y \equiv \forall r \left(|\mathcal{J}(Y)|(r) \rightarrow |\mathcal{J}(X)|(r) \right)$$

Thus $X \preceq Y$ iff $Y^\perp \subseteq X^\perp$ iff $X^{\perp\perp} \subseteq Y^{\perp\perp}$.

This endows $\mathcal{P}(P)$ with the structure of a complete boolean preorder denoted by B . Writing \mathcal{E} for the classical realizability topos arising from the original AKS the classical topos arising from the new AKS is (equivalent to) the topos $\text{Sh}_{\mathcal{E}}(B)$.

Warning B is not an assembly in \mathcal{E} as it is uniform. Thus the construction of $\text{Sh}_{\mathcal{E}}(B)$ from \mathcal{E} is **not** induced by an opca morphism.
