

# A Few Pearls in the Theory of Quasi-Metric Spaces

Jean Goubault-Larrecq



TACL — July 26–30, 2011

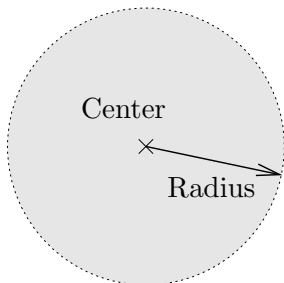
# Outline

- 1 Introduction
- 2 The Basic Theory
- 3 Transition Systems
- 4 The Theory of Quasi-Metric Spaces
- 5 Completeness
- 6 Formal Balls
- 7 The Quasi-Metric Space of Formal Balls
- 8 Notions of Completion
- 9 Conclusion

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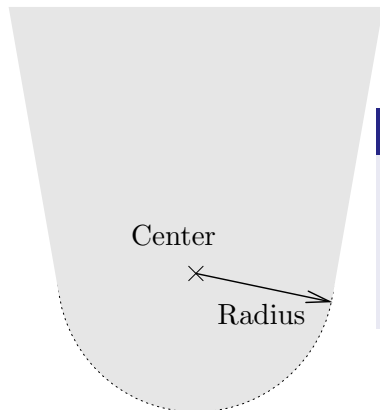
# Metric Spaces



## Definition (Metric)

- $x = y \Leftrightarrow d(x, y) = 0$
- $d(x, y) = d(y, x)$
- $d(x, y) \leq d(x, z) + d(z, y)$

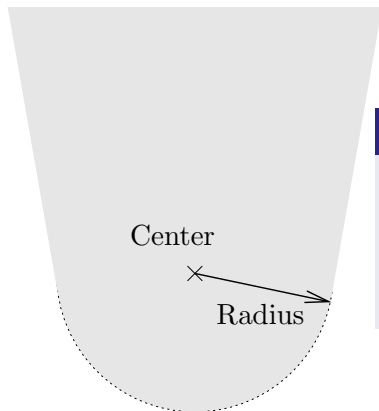
# Quasi-Metric Spaces



## Definition (Quasi-Metric)

- $x = y \Leftrightarrow d(x, y) = 0$
- $d(x, y) = d(y, x)$
- $d(x, y) \leq d(x, z) + d(z, y)$

# Hemi-Metric Spaces



## Definition (Hemi-Metric)

- $x = y \Rightarrow d(x, y) = 0$
- $d(x, y) = d(y, x)$
- $d(x, y) \leq d(x, z) + d(z, y)$

# Goals of this Talk

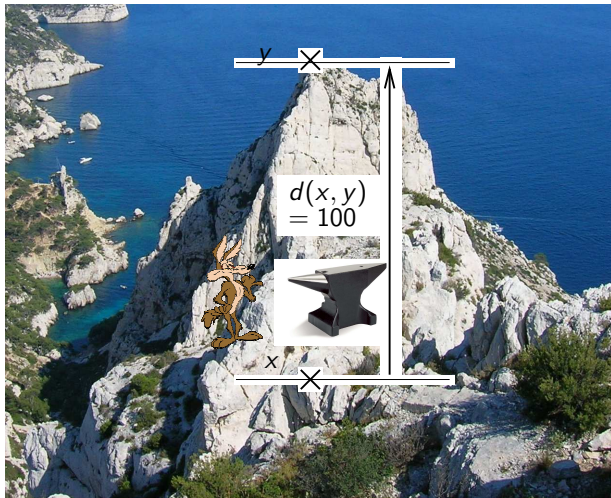
- 1 Quasi-, Hemi-Metrics a Natural Extension of Metrics
- 2 Most Classical Theorems Adapt  
... proved very recently.
- 3 Non-Determinism and Probabilistic Choice
- 4 Simulation Hemi-Metrics

# Quasi-Metrics are Natural [Lawvere73]

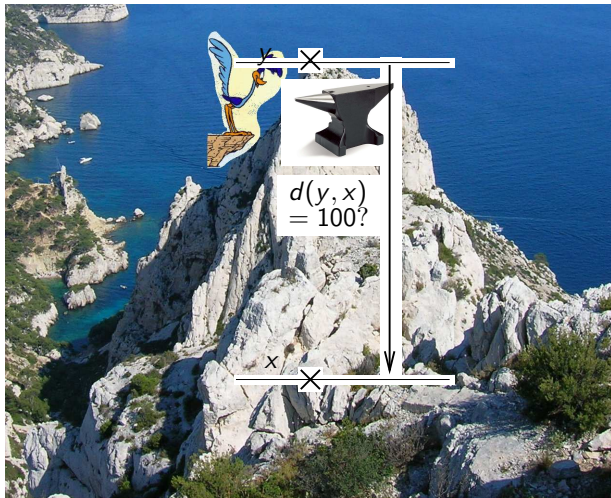




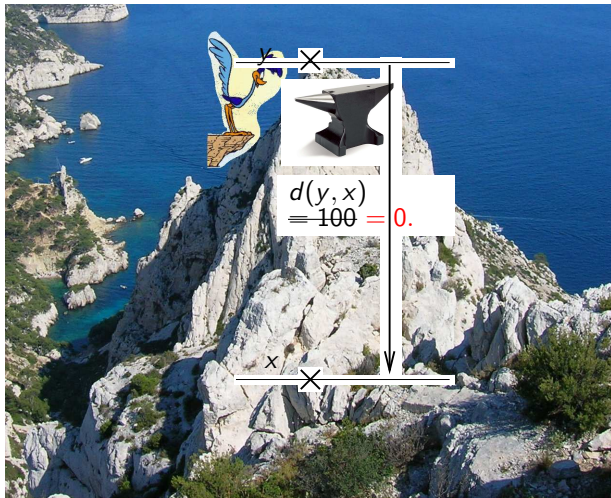
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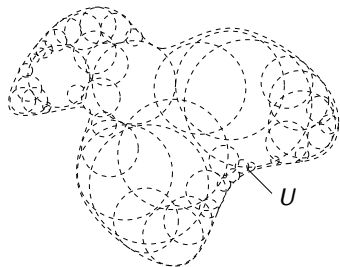


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# The Open Ball Topology

As in the symmetric case, define:

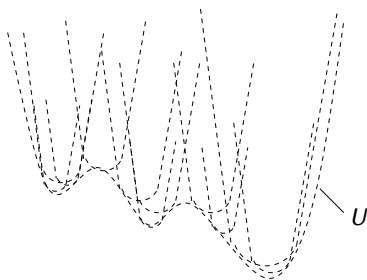


## Definition (Open Ball Topology)

An **open**  $U$  is a union of open balls.

# The Open Ball Topology

As in the symmetric case, define:



## Definition (Open Ball Topology)

An **open**  $U$  is a union of open balls.

... but open balls are stranger.

**Note:** there are more relevant topologies, generalizing the Scott topology [Rutten96,BvBR98], but I'll try to remain simple as long as I can...

# The Specialization Quasi-Ordering

## Definition ( $\leq$ )

Let  $x \leq y$  iff (equivalently):

- every open containing  $x$  also contains  $y$
- $d(x, y) = 0$ .

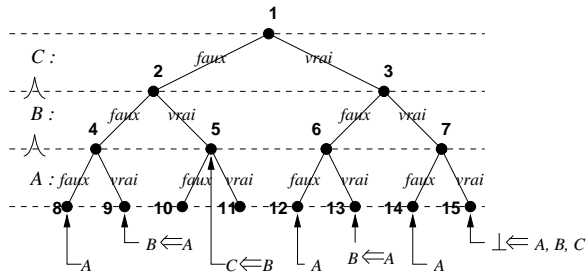
This would be trivial in the symmetric case.

**Example:**  $d_{\mathbb{R}}(x, y) = \max(x - y, 0)$  on  $\mathbb{R}$ .

Then  $\leq$  is the usual ordering.

# Excuse Me for Turning Everything Upside-Down...

...but I'm a computer scientist. To me, trees look like this:



with the root **on top**, and the leaves **at the bottom**.





# Symmetrization

## Definition ( $d^{sym}$ )

If  $d$  is a quasi-metric, then

$$d^{sym}(x, y) = \max(d(x, y), \underbrace{d(y, x)}_{d^{op}(x, y)})$$

is a **metric**.

**Example:**  $d_{\mathbb{R}}^{sym}(x, y) = |x - y|$  on  $\mathbb{R}$ .

**Motto:** A quasi-metric  $d$  describes

- a metric  $d^{sym}$
- a partial ordering  $\leq$
- and possibly more.

$$(x \leq y \Leftrightarrow d(x, y) = 0)$$

# My Initial Impetus

Consider two transition systems  $T_1, T_2$ .

- Does  $T_1$  **simulate**  $T_2$ ?  $(T_1 \leq T_2)$
- Is  $T_1$  **close** in behaviour to  $T_2$ ?  $(d^{sym}(T_1, T_2) \leq \epsilon)$   
 ... notions of *bisimulation metrics* [DGJP04,vBW04]

These questions are subsumed by computing **simulation hemi-metrics** between  $T_1$  and  $T_2$  [JGL08].

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# Non-Deterministic Transition Systems

## Definition

State space  $X$

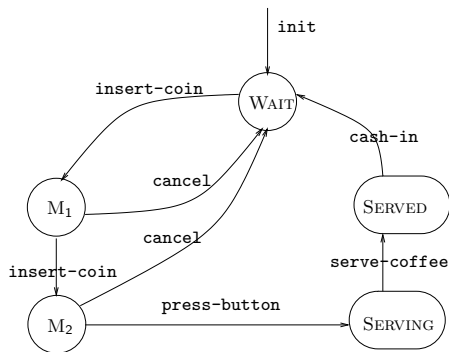
Transition map  $\delta : X \rightarrow \mathbb{P}(X)$

I'll assume:

- $\delta(x) \neq \emptyset$  (no **deadlock**)
- $\delta$  **continuous**  
(mathematically practical)
- $\delta(x)$  **closed** (does not restrict generality)

**Lower Vietoris** topology on  $\mathbb{P}(X)$ , generated by

$$\diamond U = \{A \mid A \cap U \neq \emptyset\}, \quad U \text{ open}$$



# The Hausdorff-Hoare Hemi-Metric

Under these conditions,  $\delta$  is a **continuous** map from  $X$  to the **Hoare** powerdomain

$$\mathcal{H}(X) = \{F \text{ closed, non-empty}\}$$

with lower Vietoris topology.

# The Hausdorff-Hoare Hemi-Metric

Under these conditions,  $\delta$  is a **continuous** map from  $X$  to the **Hoare** powerdomain

$$\mathcal{H}(X) = \{F \text{ closed, non-empty}\}$$

with lower Vietoris topology. When  $X, d$  is quasi-metric:

Definition (“One Half of the Hausdorff Metric”)

$$d_{\mathcal{H}}(F, F') = \sup_{x \in F} \inf_{x' \in F'} d(x, x')$$

Theorem (JGL08)

*If  $X^{op}$  is compact (more generally, precompact), then*

*lower Vietoris = open ball topology of  $d_{\mathcal{H}}$  on  $\mathcal{H}(X)$*

# Probabilistic Transition Systems

## Definition

State space  $X$

Transition map

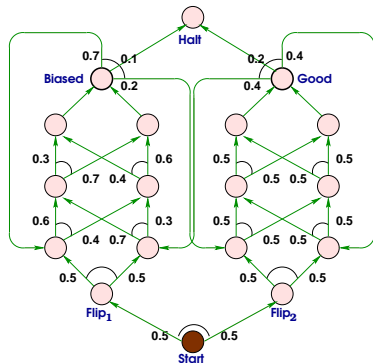
$\delta : X \rightarrow \mathbf{V}_1(X)$

I'll assume:

- $\mathbf{V}_1(X)$  space of probabilities
- $\delta$  continuous (mathematically practical)

Weak topology on  $\mathbf{V}_1(X)$ , generated by

$$[f > r] = \{\nu \in \mathbf{V}_1(X) \mid \int_X f(x) d\nu > r\}, \quad f \text{ lsc}, r \in \mathbb{R}^+$$



→ Probabilistic choice



# The Hutchinson Hemi-Metric

Call  $f : X \rightarrow \mathbb{R}$  **c-Lipschitz** iff

$$d_{\mathbb{R}}(f(x), f(y)) \leq c \times d(x, y) \quad (\text{i.e., } f(x) - f(y) \leq d(x, y))$$

When  $X, d$  is quasi-metric:

Definition (à la Kantorovich-Hutchinson)

$$d_H(\nu, \nu') = \sup_{f \text{ 1-Lipschitz}} d_{\mathbb{R}}(\int_X f(x) d\nu, \int_X f(x) d\nu')$$

Theorem (JGL08)

If  $X$  is totally bounded (e.g.  $X^{sym}$  compact)

*Weak = open ball topology of  $d_H$  on  $\mathbf{V}_1(X)$*

in the symmetric case, replace  $d_{\mathbb{R}}$  by  $d_{\mathbb{R}}^{sym}$   
 ... replace total boundedness by separability + **completeness?**

# A Unifying View

Represent spaces of non-det./prob. choice as **previsions** [JGL07],  
 i.e., certain functionals  $\underbrace{\langle X \rightarrow \mathbb{R}^+ \rangle}_{lsc} \rightarrow \mathbb{R}^+$

- $\nu \in \mathbf{V}_1(X)$  by  $\lambda h \in \langle X \rightarrow \mathbb{R}^+ \rangle \cdot \int_x h(x) d\nu$  (Markov)
- $F \in \mathcal{H}(X)$  by  $\lambda h \in \langle X \rightarrow \mathbb{R}^+ \rangle \cdot \sup_{x \in F} h(x)$

## Theorem

$\mathbf{V}_1(X) \cong$  *linear previsions*  $(F(h + h') = F(h) + F(h'))$   
 $\mathcal{H}(X) \cong$  *sup-preserving previsions*

Leads to natural generalization. . .

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 $\mathcal{H}(X) \cong$  *sup-preserving previsions*

Leads to natural generalization. . .

## Definition and Theorem (Hoare Prevision)

$\Delta \mathbf{P}(X) =$  *sublinear previsions*  $(F(h + h') \leq F(h) + F(h'))$   
 encode both  $\mathcal{H}$  and  $\mathbf{V}_1$ , their sequential compositions, and no more.

# Mixed Non-Det./Prob. Transition Systems

## Definition

State space  $X$

Transition map

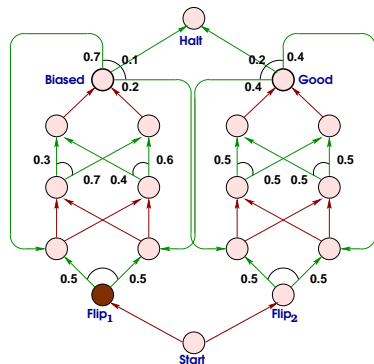
$\delta : X \rightarrow \Delta \mathbf{P}(X)$

I'll assume:

- $\Delta \mathbf{P}(X)$  space of **Hoare previsions**
- $\delta$  **continuous**  
(mathematically practical)

**Weak topology** on  $\Delta \mathbf{P}(X)$ , generated by

$$[f > r] = \{F \in \Delta \mathbf{P}(X) \mid F(f) > r\}, \quad f \text{ lsc}, r \in \mathbb{R}^+$$



→ Non-deterministic choice

→ Probabilistic choice

# Pearl 1: The Hutchinson Hemi-Metric ... on Previsions

**Motto:** replace  $\int_X f(x)d\nu$  by  $F(f)$  (“generalized average”)

When  $X, d$  is quasi-metric:

Definition (à la Kantorovich-Hutchinson)

$$d_H(F, F') = \sup_{f \text{ 1-Lipschitz}} d_{\mathbb{R}}(F(f), F'(f))$$

Theorem (JGL08)

*If  $X$  is totally bounded (e.g.  $X^{\text{sym}}$  compact)*

*Weak = open ball topology of  $d_H$  on  $\Delta \mathbf{P}(X)$*

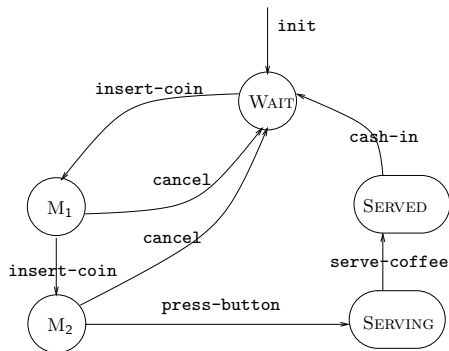
*Also, we retrieve the usual hemi-metrics/topologies on  $\mathcal{H}(X)$ ,  $\mathbf{V}_1(X)$  through the encoding as previsions*

# Prevision Transition Systems

Add action labels  $l \in L$ , to control system:

## Definition (PrTS)

A **prevision transition system**  $\pi$  is a family of continuous maps  $\pi_l : X \rightarrow \Delta \mathbf{P}(X)$ ,  $l \in L$ .



- $L$  is a set of **actions** that  $\mathbf{P}$  has control over;
- $\pi_l(x)(h)$  is the generalized average gain when, from state  $x$ , we play  $l \in L$ —receiving  $h(y)$  if landed on  $y$ .

**Remark.** Notice the similarity with Markov chains. We just replace probabilities by previsions.

# Evaluating Generalized Average Payoffs

As in Markov Decision Processes ( $1\frac{1}{2}$ -player games), let:

- **P** pick action  $\ell$  at each step
- gets **reward**  $r_\ell(x) \in \mathbb{R}$  (from state  $x$ )
- **Discount**  $\gamma \in (0, 1)$

The **generalized average payoff** at state  $x$  in internal state  $q$ :

$$V_q(x) = \sup_{\ell} [r_\ell(x) + \gamma \hat{\pi}_\ell(x)(V_{q'})]$$

Generalizes classical fixpoint formula for payoff in MDPs.

# Simulation Hemi-Metric

Recall  $V_q(x) = \sup_{\ell} [r_{\ell}(x) + \gamma \widehat{\pi}_{\ell}(x)(V_{q'})]$

Definition (Simulation Hemi-Metric  $d_{\pi}$ )

$d_{\pi}(x, y) = \sup_{\ell} [d_{\mathbb{R}}(r_{\ell}(x), r_{\ell}(y)) + \gamma \times (d_{\pi})_{\mathbb{H}}(\pi_{\ell}(x), \pi_{\ell}(y))]$   
—a least fixpoint over the complete lattice of all hemi-metrics on  $X$ .



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—a least fixpoint over the complete lattice of all hemi-metrics on  $X$ .

**Proposition**

$$V_q(x) - V_q(y) \leq d_{\pi}(x, y)$$

# Simulation Hemi-Metric

In particular, **close** points have **near-equal** payoffs

## Bounding Deviation

$$|V_q(x) - V_q(y)| \leq d_\pi^{\text{sym}}(x, y)$$

And simulated states have higher payoffs

## Simulation

Let  $x$  **simulate**  $y$  iff  $x \leq^{d_\pi} y$  (i.e.,  $d_\pi(x, y) = 0$ )

If  $x \leq^{d_\pi} y$ , then  $V_q(x) \leq V_q(y)$ .

## A Note on Bisimulation Metrics

We retrieve the **bisimulation (pseudo-)metric** of [DGJP04,vBW04,FPP05] as:

$$d_{\pi}^*(x, y) = \sup_{\ell} [d_{\mathbb{R}}^{\text{sym}}(r_{\ell}(x), r_{\ell}(y)) + \gamma \times (d_{\pi}^*)_{\text{H}}(\pi_{\ell}(x), \pi_{\ell}(y))]$$

- Of course, our **simulation** quasi-metrics are inspired by their work
- But simulation required more:

Even in **metric** spaces, simulation quasi-metric spaces require the theory of quasi-metric spaces, with properly generalized

**Hutchinson quasi-metric**

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# The Theory of Quasi-Metric Spaces

- I hope I have convinced you there was a **need** to study **quasi-metric** spaces, not just metric spaces
- Fortunately, **a lot** has happened recently
- I'll mostly concentrate on notions of **completeness**
- But let's start with an easy pearl.

## Pearl 2: Wilson's Theorem

Remember the following classic?

Theorem (Urysohn-Tychonoff, Early 20th Century)

*For countably-based spaces, **metrizability**  $\Leftrightarrow$  regular Hausdorff.*

**Proof:** hard.



## Pearl 2: Wilson's Theorem

Remember the following classic?

Theorem (Urysohn-Tychonoff, Early 20th Century)

For countably-based spaces, *metrizability*  $\Leftrightarrow$  regular Hausdorff.

**Proof:** hard. □

We have the much simpler:

Theorem (Wilson<sup>31</sup>)

For countably-based spaces, *hemi-metrizability*  $\Leftrightarrow$  TRUE.

**Proof:** let  $(U_n)_{n \in \mathbb{N}}$  be countable base.

Define  $d_n(x, y) = 1$  iff  $x \in U_n$  and  $y \notin U_n$ ; 0 otherwise.

Together  $(d_n)_{n \in \mathbb{N}}$  define the original topology.

Then let  $d(x, y) = \sup_{n \in \mathbb{N}} \frac{1}{2^n} d_n(x, y)$ . □

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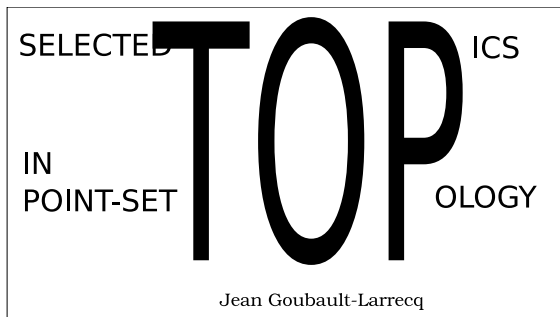


# Completeness

- **Completeness** is an important property of metric spaces.
- Many generalizations available:
  - Čech-completeness
  - Choquet-completeness
  - Dieudonné-completeness
  - Rudin-completeness
  - Smyth-completeness
  - Yoneda-completeness
  - ...
- I was looking for a **unifying notion**.
- I failed, but **Smyth** [Smyth88] and **Yoneda** [BvBR98] are the two most important for quasi-metric spaces.

## A Shameless Ad

Most of this in Chapter 5 of:

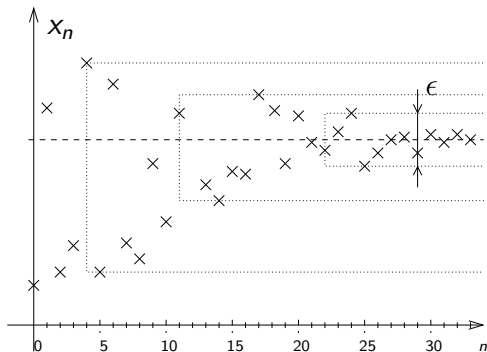


... a book on **topology** (mostly non-Hausdorff)  
with a view to **domain theory** (but not only).

# Completeness in the Symmetric Case

## Definition

A metric space is **complete**  $\Leftrightarrow$  every **Cauchy** net has a limit.



## Definition (Cauchy)

$\forall \epsilon > 0$ ,  
for  $i \leq j$  large enough,  
 $d(x_i, x_j) < \epsilon$

i.e.,  
 $\limsup_{i \leq j} d(x_i, x_j) = 0$

## Basic Results in the Symmetric Case

The following are complete/preserve completeness:

- $\mathbb{R}^{sym}$  (i.e., with  $d_{\mathbb{R}}^{sym}(x, y) = |x - y|$ )
- every **compact** metric space
- **closed subspaces**
- arbitrary **coproducts**
- countable topological **products**
- categorical **products** (sup metric)
- **function spaces** (all maps/u.cont./c-Lipschitz maps)

# Complete Quasi-Metric Spaces

For **quasi**-metric spaces, two proposals:

Definition (**Smyth**-c. [Smyth88])

Every Cauchy net has a  $d^{op}$ -limit

- **complete metric** spaces
- $\mathbb{R}, \mathbb{R} \cup \{+\infty\}, [a, b]$
- ... with
- **symcompact** spaces  
i.e.,  $X^{sym}$  compact
- **finite products**
- all **coproducts**
- **function spaces**

Definition (**Yoneda**-c. [BvBR98])

Every Cauchy net has a  $d$ -limit

- **complete metric** spaces
- $\mathbb{R}, \mathbb{R} \cup \{+\infty\}, [a, b]$
- ... with  $d_{\mathbb{R}}(x, y) = \max(x - y, 0)$
- **Smyth-complete** spaces  
e.g., symcompact spaces
- **categ./countable products**
- all **coproducts**
- **function spaces** (all/c-Lip.)

# $d$ -Limits

Used in the less demanding **Yoneda**-completeness:

## Definition

Let  $(x_n)_{n \in \mathbb{N}}$  be a Cauchy net  
 $x$  is a  **$d$ -limit**  $\Leftrightarrow \forall y, d(x, y) = \limsup_{n \rightarrow +\infty} d(x_n, y)$ .

**Example:** if  $d$  **metric**,  $d$ -limit=**ordinary limit**.

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**Example:** given ordering  $\leq$ ,  $d_{\leq}(x, y) = \begin{cases} 0 & \text{if } x \leq y \\ 1 & \text{else} \end{cases}$  :

Cauchy=eventually monotone,  $d$ -limit=**sup**.

In this case, Yoneda-complete=**dcpo**.

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**Warning:** in general,  $d$ -limits are not limits (wrt. open ball topol.—need generalization of Scott topology [BvBR98]).



## $d^{op}$ -Limits

Used for the stronger notion of **Smyth**-completeness.  
Easier to understand topologically:

### Fact

Let  $(x_n)_{n \in \mathbb{N}}$  be a Cauchy net in  $X$ .  
Its  $d^{op}$ -limit (if any) is its ordinary limit in  $X^{sym}$  (if any).

Is there an alternate/**more elegant** characterizations of these notions of completeness? What do they mean?

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# Formal Balls

- Introduced by [WeihrauchSchneider81]
- Characterize completeness through **domain theory** [EdalatHeckmann98]  
... for metric spaces
- A natural idea:
  - Start all over again,
  - look for new relevant definitions of completeness  
... this time for **quasi-metric** spaces,
  - based on formal balls.

# Formal Balls

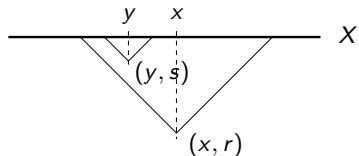
## Definition

A **formal ball** is a pair  $(x, r)$ ,  $x \in X$ ,  $r \in \mathbb{R}^+$ .

The poset  $\mathbf{B}(X)$  of formal balls is ordered by

$$(x, r) \sqsubseteq (y, s) \Leftrightarrow d(x, y) \leq r - s$$

(**Not** reverse inclusion of corresponding closed balls)



# Formal Balls

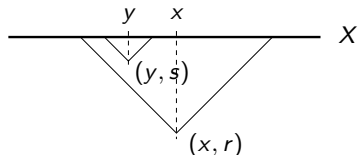
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(**Not** reverse inclusion of corresponding closed balls)



## Theorem (EdalatHeckmann98)

Let  $X$  be metric.  $X$  **complete**  $\Leftrightarrow \mathbf{B}(X)$  **dcpo**.

## Pearl 3: the Kostanek-Waszkiewicz Theorem

Let us generalize to **quasi**-metric spaces.  
How about defining completeness as follows?

### Definition (Proposal)

Let  $X$  be **quasi**-metric.  $X$  **complete**  $\Leftrightarrow \mathbf{B}(X)$  **dcpo**.

Why not, but...

## Pearl 3: the Kostanek-Waszkiewicz Theorem

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### Theorem (Kostanek-Waszkiewicz10)

Let  $X$  be *quasi-metric*.  $X$  **Yoneda-complete**  $\Leftrightarrow \mathbf{B}(X)$  **dcpo**.

Moreover, given chain of formal balls  $(x_n, r_n)_{n \in \mathbb{N}}$ , with  $\sup(x, r)$ :

- $r = \inf_{n \in \mathbb{N}} r_n$ ,
- $(x_n)_{n \in \mathbb{N}}$  is Cauchy,
- $x$  is the  **$d$ -limit** of  $(x_n)_{n \in \mathbb{N}}$ .

# The Continuous Poset of Formal Balls

Let us return to metric spaces for a moment.

Theorem (EdalatHeckmann98)

Let  $X$  be metric.  $X$  *complete*  $\Leftrightarrow \mathbf{B}(X)$  *dcpo*.



# The Continuous Poset of Formal Balls

Let us return to metric spaces for a moment.

## Theorem (EdalatHeckmann98)

Let  $X$  be metric.  $X$  *complete*  $\Leftrightarrow \mathbf{B}(X)$  *dcpo*. Moreover,

- $\mathbf{B}(X)$  is then a *continuous dcpo*
- and  $(x, r) \ll (y, s) \Leftrightarrow d(x, y) < r - s$  (not  $\leq$ )

A typical notion from domain theory:

- *way-below*:  $B \ll B'$  iff for every chain  $(B_i)_{i \in I}$  such that  $B' \leq \sup_i B_i$ , then  $B \leq B_i$  for some  $i$ .
- *continuous dcpo* = every  $B$  is directed sup of all  $B_i \ll B$ .

**Example:**  $\overline{\mathbb{R}^+}$  ( $r \ll s$  iff  $r = 0$  or  $r < s$ )

## Pearl 4: the Romaguera-Valero Theorem

Define  $\prec$  by:  $(x, r) \prec (y, s) \Leftrightarrow d(x, y) < r - s$

How about defining completeness as follows? ( $X$  quasi-metric)

Definition (Proposal)

$X$  complete  $\Leftrightarrow \mathbf{B}(X)$  continuous dcpo with way-below  $\prec$ .

Why not, but...

## Pearl 4: the Romaguera-Valero Theorem

Define  $\prec$  by:  $(x, r) \prec (y, s) \Leftrightarrow d(x, y) < r - s$

How about defining completeness as follows? ( $X$  quasi-metric)

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Why not, but... this is a theorem:

### Theorem (Romaguera-Valero10)

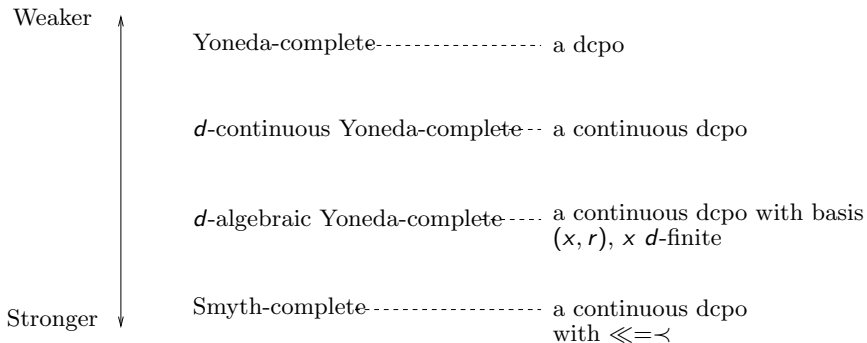
$X$  Smyth-complete  $\Leftrightarrow \mathbf{B}(X)$  continuous dcpo with way-below  $\prec$ .

Moreover, given chain of formal balls  $(x_n, r_n)_{n \in \mathbb{N}}$ , with  $\sup (x, r)$ :

- $r = \inf_{n \in \mathbb{N}} r_n$ ,
- $(x_n)_{n \in \mathbb{N}}$  is Cauchy,
- $x$  is the  $d^{op}$ -limit of  $(x_n)_{n \in \mathbb{N}}$ , i.e., its limit in  $X^{sym}$ .

# The Gamut of Notions of Completeness

Spaces of formal balls is:



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- 1 Introduction
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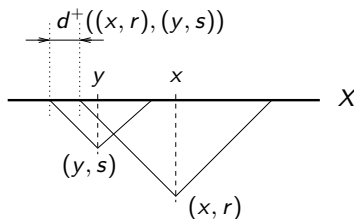
# The Quasi-Metric Space of Formal Balls

Instead of considering  $\mathbf{B}(X)$  as a poset, let us make it a **quasi-metric** space itself.

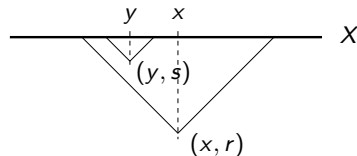
## Definition (Rutten96)

Let  $d^+((x, r), (y, s)) = \max(d(x, y) - r + s, 0)$

General case:



Case  $(x, r) \sqsubseteq (y, s)$ :  
( $d^+((x, r), (y, s)) = 0$ )

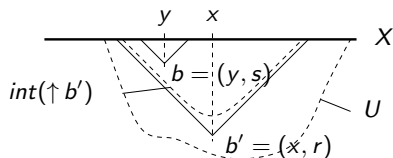


**Note:**  $\sqsubseteq$  is merely the specialization quasi-ordering of  $d^+$ .

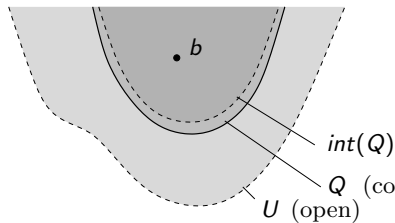
# The C-Space of Formal Balls

## Theorem

$\mathbf{B}(X)$  is a *c-space*, i.e., for all  $b \in U$  open in  $\mathbf{B}(X)$ ,  $b \in \text{int}(\uparrow b')$  for some  $b' \in U$



Key: closed ball around  $(y, s)$ , radius  $\epsilon/2$ , is  $\uparrow(y, s + \epsilon/2)$



$\sim$  locally compact, where the interpolating compact is  $\uparrow b'$  [Ershov73, Ern 91]

$Q$  (compact saturated)

$U$  (open)

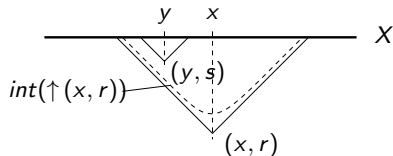
# The Abstract Basis of Formal Balls

## Definition (Reminder)

Let  $(x, r) \prec (y, s)$  in  $\mathbf{B}(X)$

$$\Leftrightarrow d(x, y) < r - s$$

$$\Leftrightarrow (y, s) \in \text{int}(\uparrow(x, r))$$



## Fact (Keimel)

c-space = abstract basis

## Theorem

$\mathbf{B}(X)$ ,  $\prec$  is an *abstract basis*, i.e.:

- (transitivity) if  $a \prec b \prec c$  then  $a \prec c$
- (interpolation) if  $(a_i)_{i=1}^n \prec c$  then  $(a_i)_{i=1}^n \prec b \prec c$  for some  $b$



## C-Spaces and the Romaguera-Valero Thm (Pearl 5)

So  $\mathbf{B}(X)$  is a c-space = an abstract basis

**Note:** sober c-space = continuous dcpo with way-below  $\prec$

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Theorem (Romaguera-Valero10)

$X$  *Smyth-complete*  $\Leftrightarrow \mathbf{B}(X)$  *continuous dcpo with way-below*  $\prec$ .

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Theorem (Romaguera-Valero10)

~~$X$  *Smyth-complete*  $\Leftrightarrow \mathbf{B}(X)$  *continuous dcpo with way below*  $\prec$ .~~

Theorem (JGL)

$X$  *Smyth-complete*  $\Leftrightarrow \mathbf{B}(X)$  *sober in its open ball topology.*

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# Notions of Completion

Can we embed any quasi-metric space in a Yoneda/Smyth-**complete** one?

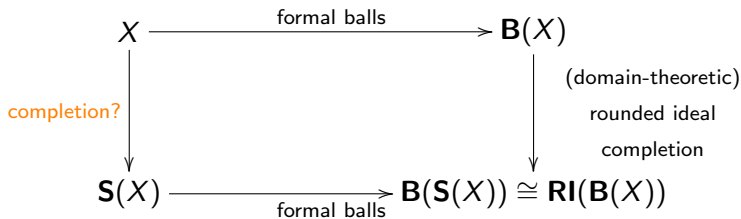
- Yes: Smyth-completion [Smyth88]
- Yes: Yoneda-completion [BvBR98]

# Notions of Completion

Can we embed any quasi-metric space in a Yoneda/Smyth-**complete** one?

- Yes: Smyth-completion [Smyth88]
- Yes: Yoneda-completion [BvBR98]

Let us explore another way:



# The Theory of Abstract Bases

A **rounded ideal**  $D$  in  $B, \prec$  is a non-empty subset of  $B$  s.t.:

- (down closed) if  $a \prec b \in D$  then  $a \in D$
- (directed) if  $(a_i)_{i=1}^n \in D$  then  $(a_i)_{i=1}^n \prec b$  for some  $b \in D$ .

## Theorem (Rounded Ideal Completion)

The poset  $\mathbf{RI}(B, \prec)$  of all rounded ideals, ordered by  $\subseteq$  is a **continuous dcpo**, with basis  $B$ .

**Note:**  $\mathbf{RI}(\mathbf{B}(X), \prec)$  is just the sobrification of the c-space  $\mathbf{B}(X)$ .

# The Formal Ball Completion

## Definition

The **formal ball completion**  $\mathbf{S}(X)$  is

- space of rounded ideals  $D \in \mathbf{RI}(\mathbf{B}(X), \prec)$   
 ... with **zero aperture** ( $\inf\{r \mid (x, r) \in D\} = 0$ )
- with **Hausdorff-Hoare** quasi-metric

$$d_{\mathcal{H}}^+(D, D') = \sup_{(x,r) \in D} \inf_{(y,s) \in D'} d^+((x,r), (y,s))$$

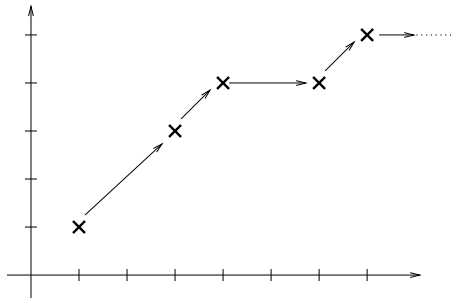
## Theorem

$$\mathbf{B}(\mathbf{S}(X)) \cong \mathbf{RI}(\mathbf{B}(X))$$

**Proof.** iso maps  $(D, r)$  to  $D + r$  ... as expected.



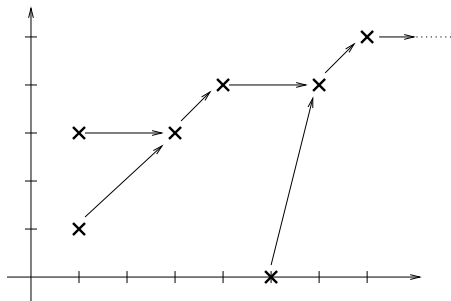
# Comparison with Cauchy Completion



Imagine  
this is a  
chain  
 $(x_i, r_i)_{i \in I}$   
of formal balls

—  
 $(x_i)_{i \in I}$  is  
a Cauchy net

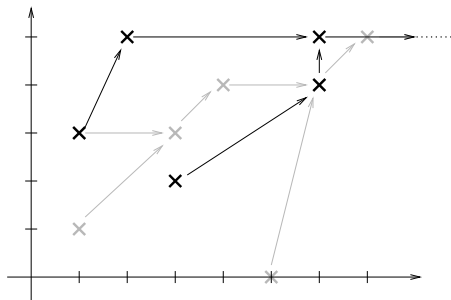
# Comparison with Cauchy Completion



Imagine  
this is a directed  
family  
 $(x_i, r_i)_{i \in I}$   
of formal balls

—  
 $(x_i)_{i \in I}$  is  
a Cauchy net

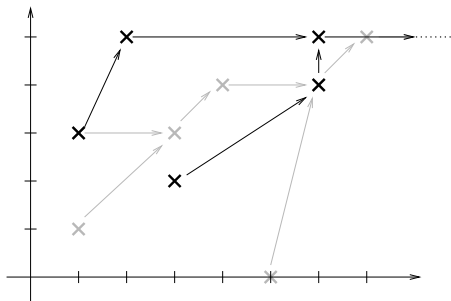
# Comparison with Cauchy Completion



Now  
here is another

—  
with the same “limit”,  
right?

# Comparison with Cauchy Completion



Instead of quotienting,  
(as in Smyth-completion)  
take the **union**  
of all these equivalent  
directed families

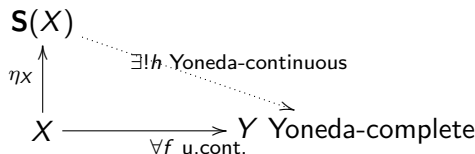
—  
This is a  
**rounded ideal**.

# Universal Property

## Theorem

$\mathbf{S}(X)$  is the *free Yoneda-complete* space over  $X$ .

I.e., letting  $\eta_X(x) = \{(y, r) \mid (y, r) \prec (x, 0)\} \in \mathbf{S}(X)$  (unit):



**Warning:** morphisms:

q-metric spaces

uniformly continuous maps

Yoneda-compl. qms

u.c. + *preserve  $d$ -limits* ("Yoneda-continuity")

(Yoneda-continuity = u.continuity in metric spaces)

# Yoneda-Completion

- Let  $[X \rightarrow \overline{\mathbb{R}}^+]_1 = \{1\text{-Lipschitz maps } : X \rightarrow \overline{\mathbb{R}}^+\}$ , with sup quasi-metric  $D(f, g) = \sup_{x \in X} d(f(x), g(x))$ .
- Let  $\eta_X^{\mathbf{Y}}(x) = d(\_, x) : X \rightarrow [X \rightarrow \overline{\mathbb{R}}^+]_1$

**Definition (Yoneda completion [BvBR98])**

$\mathbf{Y}(X) = D^{op}$ -closure of  $\text{Im}(\eta_X^{\mathbf{Y}})$  in  $[X \rightarrow \overline{\mathbb{R}}^+]_1$

- Very natural from Lawvere's view of quasi-metric spaces as  $\overline{\mathbb{R}}^{+op}$ -enriched categories  
+ adequate version of Yoneda Lemma  
(..., i.e.,  $\eta_X^{\mathbf{Y}}$  is an isometric embedding)
- $\mathbf{Y}(X)$  also yields the free Yoneda-complete space over  $X$

# Formal Ball and Yoneda Completion

**S** and **Y** both build free Yoneda-complete space

## Corollary

$\mathbf{S}(X) \cong \mathbf{Y}(X)$ , *naturally in X*

Concretely:

- $D \in \mathbf{S}(X) \mapsto \lambda y \in X \cdot \limsup_{(x,r) \in D} d(y, x)$   
 $= \lambda y \in X \cdot \inf_{(x,r) \in D}^{\downarrow} (d(y, x) + r)$
- Inverse much harder to characterize concretely  
 (unique extension of  $\eta_X^{\mathbf{Y}} : X \rightarrow \mathbf{Y}(X)$ ...)

## Smyth-Completeness Again (Pearl 6)

- $\mathbf{S} \cong \mathbf{Y}$  is a **monad** on quasi-metric spaces
- but not **idempotent** ( $\mathbf{S}^2(X) \not\cong \mathbf{S}(X)$ , except if  $X$  metric)

### Theorem (JGL)

Let  $X$  be quasi-metric. The following are equivalent:

- $\eta_X : X \rightarrow \mathbf{S}(X)$  is **bijective**
- $\eta_X : X \rightarrow \mathbf{S}(X)$  is an **isometry**
- $X$  is **Smyth-complete**

**Example:**  $X = \overline{\mathbb{R}^+}$   $\mathbf{Y}$ -complete, not  $\mathbf{S}$ -complete, so  $\mathbf{S}(\overline{\mathbb{R}^+}) \supsetneq \overline{\mathbb{R}^+}$

**Example:** any dcpo  $X$ , with  $d_{\leq}(x, y) = 0$  iff  $x \leq y$ , is Yoneda-complete, but  $\mathbf{S}(X)$  is **ideal completion** of  $X$  ( $\neq X$ )



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# Conclusion

- **Quasi-metrics** needed for **simulation**
- Many theorems from the metric case adapt, e.g.
  - “weak = open ball topol. of  $d_H$  on  $\mathbf{V}_1(X)$ ”
- And even **generalize**, e.g.
  - “weak = open ball topol. of  $d_H$  on  $\Delta \mathbf{P}(X)$ ”
- Many **recent** advances.
- Demonstrated through
  - completeness for quasi-metric spaces now **clarified**
  - through
  - the unifying notion of **formal balls**
- Many other topics: fixpoint theorems [Rutten96], generalized Scott topology [BvBR98], Kantorovich-Rubinstein Theorem revisited [JGL08], models of Polish spaces [Martin03], etc.

And Remember...

