# Axiomatizing modal fixpoint logics 

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SYSMICS, 8 september 2016
(largely joint work with Enqvist, Seifan, Santocanale, Schröder, ...)

## Overview

- Introduction
- Obstacles
- A general result
- A general framework
- Frame conditions
- Conclusions


## Example

- Add master modality $\langle *\rangle$ to the language ML of modal logic
- $\langle *\rangle p:=\bigvee_{n \in \omega} \diamond^{n} p$
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- Fact $\langle *\rangle p$ is the least fixpoint of the 'equation' $x \leftrightarrow p \vee \diamond x$
- Notation: $\langle *\rangle p \equiv \mu x . p \vee \nabla x$.
- Variant (PDL): $\left\langle\alpha^{*}\right\rangle \varphi:=\mu x . \varphi \vee\langle\alpha\rangle x$

More examples

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$U_{\varphi \psi}:=\mu x . \varphi \vee(\psi \wedge O x)$
- $C \varphi:=\varphi \wedge \bigwedge_{i} K_{i} \varphi \wedge \bigwedge_{i} K_{i} C\left(\bigwedge_{i} K_{i} \varphi\right) \wedge \ldots$


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$C \varphi \equiv \varphi \wedge \bigwedge_{i} K_{i} C \varphi$
$C \varphi:=\nu x . \varphi \wedge \bigwedge_{i} K_{i} x$


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- Combined: many applications in process theory, epistemic logic, ...
- Interesting mathematical theory:
- interesting mix of algebraic|coalgebraic features
- connections with theory of automata on infinite objects
- game-theoretical semantics
- interesting meta-logic


## General Program

Understand modal fixpoint logics by studying the interaction between

- combinatorial
- algebraic and
- coalgebraic
aspects
Here: consider axiomatization problem


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- a least (pre-)fixpoint axiom:

$$
\varphi(\mu p . \varphi) \vdash \mu p . \varphi
$$

- Park's induction rule

$$
\frac{\varphi(\psi) \vdash \varphi}{\mu p . \varphi \vdash \psi}
$$

$\left(\right.$ Here $\alpha \vdash_{K} \beta$ abbreviates $\left.\vdash_{K} \alpha \rightarrow \beta\right)$

## Axiomatization results for modal fixpoint logics

- LTL: Gabbay et alii (1980)
- PDL: Kozen \& Parikh (1981)
- $\mu \mathrm{ML}$ (aconjunctive fragment): Kozen (1983)
- CTL: Emerson \& Halpern (1985)
- $\mu$ ML: Walukiewicz $(1993 / 2000)$
- CTL*: Reynolds (2000)
- LTL/CTL uniformly: Lange \& Stirling (2001)
- common knowledge logics: various


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So what is the problem?

## Axiomatization problem

## Questions (2015)

- How to generalise these results to restricted frame classes?
- How to generalise results to similar logics, eg, the monotone $\mu$-calculus?
- Does completeness transfer to fragments of $\mu \mathrm{ML}$ ? (Ex: game logic)
- What about proof theory?


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Compared to basic modal logic

- there are no sweeping general results such as Sahlqvist's theorem
- there is no no comprehensive completeness theory (duality, canonicity, filtration, ...)


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- $(m, n) R\left(m^{\prime}, n^{\prime}\right)$ iff $m^{\prime}=m+1$ and $n^{\prime}=n$
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- Logic KG:=K+
- functionality: $\diamond_{R} p \leftrightarrow \square_{R} p$ and $\diamond_{U} p \leftrightarrow \square U p$
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- the $\diamond_{R}, \diamond_{U},\langle *\rangle$-logic of $\operatorname{Fr}(\mathrm{KG})$ is not recursively enumerable


## Obstacle 2: compactness failure

- Example: $\langle *\rangle p:=\bigvee_{n \in \omega} \diamond^{n} p$
- $\{\langle *\rangle p\} \cup\left\{\square^{n} \neg p \mid n \in \omega\right\}$ is finitely satisfiable but not satisfiable


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- Fixpoint logics have no nice Stone-based duality


## Obstacle 3: fixpoint alternation

- tableaux: fixpoint unfolding
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- obstacle 3b: fixpoint alternations cause intricate combinatorics

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- restrict language to fixpoints of simple formulas (avoid alternation)
- allow alternation, but develop suitable combinatorical framework


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- Obtain language $\mathrm{ML}_{\gamma}$ :
$\varphi::=p|\neg p| \perp|\top| \varphi_{1} \vee \varphi_{2}\left|\varphi_{1} \wedge \varphi_{2}\right| \diamond_{i} \varphi\left|\square_{i} \varphi\right| \sharp_{\gamma}(\vec{\varphi})$

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- Examples: CTL, LTL, (PDL), ...


## Flat Modal Fixpoint Logics: Kripke Semantics

- Kripke frame $S=\langle S, R\rangle$ with $R \subseteq S \times S$.
- Complex algebra: $S^{+}:=\left\langle\wp(S), \varnothing, S, \sim_{s}, \cup, \cap,\langle R\rangle\right\rangle$, $\langle R\rangle: \wp(S) \rightarrow \wp(S)$ given by $\langle R\rangle(X):=\{s \in S \mid$ Rst for some $t \in X\}$


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- Every modal formula $\varphi\left(p_{1}, \ldots, p_{n}\right)$ corresponds to a term function

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- Kripke $\sharp$-algebra $S^{\sharp}:=\left\langle\wp(S), \varnothing, S, \sim_{S}, \cup, \cap,\langle R\rangle, \sharp^{S}\right\rangle$.


## Candidate Axiomatization

$\mathbf{K}_{\gamma}:=\mathbf{K}$ extended with

- prefixpoint axiom:

$$
\gamma(\sharp(\vec{\varphi}), \vec{\varphi}) \vdash \sharp(\vec{\varphi})
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- Park's induction rule:

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\text { from } \gamma(\psi, \vec{\varphi}) \vdash \psi \text { infer } \sharp_{\gamma}(\vec{\varphi}) \vdash \psi \text {. }
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\sharp(\vec{b})=L F P . \gamma_{\vec{b}}^{A},
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where $\gamma_{\vec{b}}^{A}: A \rightarrow A$ is given by $\gamma_{\vec{b}}^{A}(a):=\gamma^{A}(a, \vec{b})$.

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- Axiomatically: modal \#-algebras satisfy
- $\gamma(\sharp(\vec{y}), \vec{y}) \leq \sharp(\vec{y})$
- if $\gamma(x, \vec{y}) \leq x$ then $\sharp(\vec{y}) \leq x$.
- Completeness for flat fixpoint logics: Equ $\left(\mathrm{MA}_{\sharp}\right) \stackrel{?}{=} \mathrm{Equ}\left(\mathrm{KA}_{\sharp}\right)$


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- Two key concepts:
- constructiveness
- $\mathcal{O}$-adjointness


## Constructiveness

- An $\mathrm{MA}_{\sharp-\text {-algebra }} \mathbb{A}$ is constructive if

$$
\sharp(\vec{b})=\bigvee_{n \in \omega} \gamma_{\vec{b}}^{n}(\perp) .
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## Proof

Via a step-by-step construction/generalized Lindenbaum Lemma.
Alternatively, use Rasiowa-Sikorski Lemma.

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Theorem (Santocanale 2005)
If $f: A \rightarrow A$ is a finitary $\mathcal{O}$-adjoint, then LFP.f, if existing, is constructive.

Adjoints on free algebras

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Notes

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- Schröder \& YV have similar results for wider coalgebraic setting.


## Overview

- Introduction
- Obstacles
- A general result
- A general framework
- Frame conditions
- Conclusions


## The modal $\mu$-calculus

- [+] natural extension of basic modal logic with fixpoint operators
- [+] expressive: LTL, CTL, PDL, CTL*, $\ldots \subseteq \mu \mathrm{ML}$
- [+] good computational properties
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Most results on $\mu \mathrm{ML}$ use automata ...

## Logic \& Automata

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Automata in Logic

- long \& rich history (Büchi, Rabin, ...)
- mathematically interesting theory
- many practical applications
- automata for $\mu \mathrm{ML}$ :
- Janin \& Walukiewicz (1995): $\mu$-automata (nondeterministic)
- Wilke (2002): modal automata (alternating)


## Modal automata

Fix a set X of proposition letters; PX is a set of colours

- A modal automaton is a triple $\mathbb{A}=(A, \Theta, A c c)$, where
- $A$ is a finite set of states
- $\Theta: A \times \mathrm{PX} \rightarrow 1 \mathrm{ML}(A)$ is the transition map
- $A c c \subseteq A^{\omega}$ is the acceptance condition


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- Parity automata: Acc is given by map $\Omega: A \rightarrow \omega$
- Given $\rho \in A^{\omega}, \operatorname{lnf}(\rho):=\left\{a \in A \mid a\right.$ occurs infinitely often in $\left.\pi_{b}\right\}$
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- Our approach: automata are formulas


## One-step logic 1ML

- Let $A$ be a set of variables with $A \cap X=\varnothing$
- One-step formulas: $\diamond(a \wedge b), \square a \wedge \diamond b, \top, \diamond \perp, \ldots$
- A one-step model is a pair $(U, m)$ with $m: U \rightarrow \mathrm{PA}$ a marking
- write $U, m, u \Vdash^{0} a$ if $a \in m(u)$


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- One-step modal language $1 \mathrm{ML}(\mathrm{X}, A)$ over $A$

$$
\begin{array}{ll}
\alpha & ::= \\
\pi & ::=\quad a \in A|\perp \pi| \perp|\top| \alpha \vee \alpha \mid \alpha \wedge \alpha \\
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- One-step semantics interprets $1 \mathrm{ML}(A)$ over one-step models, e.g.
- $(U, m) \Vdash^{1} \square a$ iff $\forall u \in U . u \Vdash^{0} a$
- $(U, m) \Vdash^{1} \diamond(a \wedge b)$ iff $\exists u \in U . u \Vdash^{0} a \wedge b$


## Acceptance game

- Represent Kripke model as pair $\mathbb{S}=(S, \sigma)$ with $\sigma: S \rightarrow \mathrm{PX} \times \mathrm{PS}$ Acceptance game $\mathcal{A}(\mathbb{A}, \mathbb{S})$ of $\mathbb{A}=\langle A, \Theta, A c c\rangle$ on $\mathbb{S}=\langle S, \sigma\rangle$ :

| Position | Player | Admissible moves |
| :--- | :---: | :--- |
| $(a, s) \in A \times S$ | $\exists$ | $\left\{m: \sigma_{R}(s) \rightarrow \mathrm{PA} \mid \sigma(s), m \Vdash^{1} \Theta(a)\right\}$ |
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Winning conditions:

- finite matches are lost by the player who gets stuck,
- infinite matches are won as specified by the acceptance condition:
- match $\pi=\left(a_{0}, s_{0}\right) m_{0}\left(a_{1}, s_{1}\right) m_{1} \ldots$ induces list $\pi_{A}:=a_{0} a_{1} a_{2} \ldots$
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Definition $(\mathbb{A}, a)$ accepts $(\mathbb{S}, s)$ if $(a, s) \in \operatorname{Win}_{\exists}(\mathcal{A}(\mathbb{A}, \mathbb{S}))$.

## Themes

## Basis

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Leading question:

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- already at one-step level
- by the interaction of combinatorics and dynamics


## Automata \& ...

## Theorem

There are maps $\mathbb{B}_{-}: \mu \mathrm{ML} \rightarrow \operatorname{Aut}\left(\mathrm{ML}_{1}\right)$ and $\xi: \operatorname{Aut}\left(\mathrm{ML}_{1}\right) \rightarrow \mu \mathrm{ML}$ that
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As a corollary, we may apply proof-theoretic concepts to automata

## Completeness at one-step level

- Given $\alpha, \alpha^{\prime} \in 1 \mathrm{ML}$ define $\models^{1} \alpha \leq \alpha^{\prime}$ if for all $(U, m)$ :

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- For more on this, check the literature on coalgebra (Cîrstea, Pattinson, Schröder,... )


## General result

Theorem Assume that

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## Overview

- Introduction
- Obstacles
- A general result
- A general framework
- Frame conditions
- Conclusions


## Frame conditions

Conjecture Let $\mathbf{L}$ be an extension of $\mathbf{K}_{\Gamma}$ or $\mathbf{K} \mu$ with an axiom set $\Phi$ such that each $\varphi \in \Phi$

- is canonical
- corresponds to a universal first-order frame condition.

Then $\mathbf{L}$ is sound and complete for the class of frames satisfying $\Phi$.

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TOPOLOGY, ALGEBRA AND CATEGORIES IN LOGIC 2017

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2017 June 20-24 : TACL School<br>2017 June 26-30 : TACL Conference

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- perspective for bringing automata into proof theory


## Future work

- prove conjecture!
- completeness for fragments of $\mu \mathrm{ML}$ (game logic!)
- many $\mu \mathrm{ML}$-fragments have interesting automata-theoretic counterparts!
- interpolation for fixpoint logics (PDL!)
- fixpoint logics on non-boolean basis
- non-boolean automata?
- proof theory for modal automata
- further explore notion of $\mathcal{O}$-adjointness
- ..


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THANK YOU!

