How useful is proof theory for substructural logics

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 lattice-ordered groups, relation algebras, ideal lattices of rings, MV algebras, Heyting algebras

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- Common basis for various nonclassical logics linear, BI, relevant, fuzzy, superintuitionistic logics
- Common basis for various ordered algebras
 lattice-ordered groups, relation algebras, ideal lattices of rings, MV algebras, Heyting algebras
- Abundance of weird logics/algebras pathology for proof theory

Main topic: cut elimination.

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Difficity 2: Limitation on systematic approach.

Substructural hierarchy



Substructural hierarchy



Substructural hierarchy

Failure of completion Failure of conservativity



Classification of axioms

$$\begin{array}{lll} \mathcal{P}_{0}, \mathcal{N}_{0} & ::= & \text{the set of variables} \\ \mathcal{P}_{n} & ::= & \mathcal{N}_{n-1} \mid 1 \mid \mathcal{P}_{n} \lor \mathcal{P}_{n} \mid \mathcal{P}_{n} \cdot \mathcal{P}_{n} \\ \mathcal{N}_{n} & ::= & \mathcal{P}_{n-1} \mid 0 \mid \mathcal{N}_{n} \land \mathcal{N}_{n} \mid \mathcal{P}_{n} \to \mathcal{N}_{n} \end{array}$$

Theorem (Ciabattoni, Galatos, T. 08)

Over \mathbf{FLew} ,

- every \mathcal{N}_2 axiom can be transformed into sequent structural rules,
- every \mathcal{P}_3 axiom can be transformed into hypersequent structural rules,

so that the calculus admits cut elimination.

Class \mathcal{N}_3

Failure of completion Failure of conservativity \Box The next target would be \mathcal{N}_3 , that contains

$((A \to B) \to B) \to (B \to A) \to A$	axiom Ł
$A \land B \to A \cdot (A \to B)$	divisibility
$(A \to A \cdot B) \to B$	cancellativity

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□ Is there a good "hyper-hyper" sequent calculus for N_3 ? □ No! Here is an absolute limitation.

Algebraic semantics: To each logic ${\bf L}$ corresponds a class $V({\bf L})$ of algebras.

- V(Cl) Boolean algebras
- V(Int) Heyting algebras
- $V(\mathbf{FLe})$ pointed commutative residuated lattices
- V(Ł) MV algebras

A completion of an algebra ${\bf A}$ is a complete algebra ${\bf B}$ such that ${\bf A} \hookrightarrow {\bf B}.$

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A completion of an algebra ${\bf A}$ is a complete algebra ${\bf B}$ such that ${\bf A} \hookrightarrow {\bf B}.$

Theorem (Chang's chain)

There is an algebra \mathbf{C} in V(L) which has no completion in V(L).

C can be syntactically described.

Theorem (Chang's chain formalized)

There is a set C of (finitary) formulas such that

 \Box L + C is consistent,

 $\Box \quad \mathsf{L} + \mathcal{C} + \mathsf{infinitary} \land \mathsf{is inconsistent.}$

$$\frac{\{ \Rightarrow \Gamma, A_i \}_{i \in I}}{\Rightarrow \Gamma, \wedge_{i \in I} A_i}$$

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- Proof theory was invented for Hilbert's program, which aims at reducing ideal arguments to finitist ones.
- □ NB: There is a calculus for Ł (GMO 2005), but it doesn't allow to eliminate infinitary ∧.

Summary



- 1. Are there other applications of proof theory?
- 2. To what extent proof theory is useful for \mathcal{N}_3 ?

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 \Rightarrow Brouwer's fixed point theorem based on Ł

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Usually:
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□ Knaster-Tarski (or Banach)

Given a complete lattice L, any monotone map $f: L \longrightarrow L$ has a fixpoint.

□ least/greatest fixpoints for monotone formulas

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Today:

□ Brouwer

Any continuous map $f : [0, 1]^n \longrightarrow [0, 1]^n$ has a fixpoint.

□ fixpoints for arbitrary formulas

□ related to naive comprehension

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fix $f := f^{\alpha}(\bot)$, for some ordinal α .

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- □ combnatrial argument (Sperner's lemma)
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Our attempt: Prove it by proof theory!

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- \Box FLew_{set} is a basis for resource bounded set theory.

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 Cut elimination procedure works stepwise, though does not terminate.

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□ Contraction is the criminal.

 Cut elimination procedure works stepwise, though does not terminate.

□ Induction on the cut formula is not available.

Failure of completion Failure of conservativity
FLew: Int without contraction.
= intuitionistic multiplicative-additive linear logic + weakening
Fact
FLew is consistent with (sc).



Rule (wc_n) :

n+1 $\frac{\overline{\Gamma,\ldots,\Gamma,\Sigma\Rightarrow}}{\Gamma,\ldots,\Gamma,\Sigma\Rightarrow} (wc_n)$ n

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Rule (c') :

$$\frac{\Gamma, \Gamma, \Sigma \Rightarrow \Pi \quad \Delta, \Delta, \Sigma \Rightarrow \Pi}{\Gamma, \Delta, \Sigma \Rightarrow \Pi} (c')$$

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Fact

- 1. **FLew** + (wc_n) is inconsistent with $\beta \leftrightarrow \neg \beta^n$.
- 2. FLew + (c') is consistent with any fixpoints.

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We also consider mutual fixpoints: $\alpha = A(\beta), \ \beta = B(\alpha)$

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More generally we assume: given n formulas in n variables $A_1(\vec{p}), \ldots, A_n(\vec{p})$, there are constants $\alpha_1, \ldots, \alpha_n$ such that

$$\alpha_1 = A_1(\alpha_1, \dots, \alpha_n)$$

$$\vdots \qquad \vdots$$

$$\alpha_n = A_n(\alpha_1, \dots, \alpha_n)$$

This defines System $FLew_{fix}$.

Fact

Failure of completion Failure of ▷ conservativity

$\mathbf{FLew}_{fix} + (c')$ is consistent.

$$\frac{\Gamma, \Gamma, \Sigma \Rightarrow \Pi \quad \Delta, \Delta, \Sigma \Rightarrow \Pi}{\Gamma, \Delta, \Sigma \Rightarrow \Pi} \ (c')$$

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$$\begin{array}{cccc} \vdots & d_{AA} & \vdots & d_{BB} \\ \vdots & d_A & \vdots & d_B & \underline{A, A \Rightarrow} & B, B \Rightarrow \\ \hline \Rightarrow & A & \Rightarrow & B & A, B \Rightarrow \\ \hline & \Rightarrow & & & (cut) \end{array}$$

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$$\frac{\stackrel{!}{\Rightarrow} d_A \qquad \stackrel{!}{\Rightarrow} d_{AA} \qquad \stackrel{!}{\Rightarrow} d_{AA}}{\Rightarrow A \qquad A, A \Rightarrow} (cut) \qquad \mathsf{AND} \qquad \frac{\stackrel{!}{\Rightarrow} d_B \qquad \stackrel{!}{\Rightarrow} d_B \qquad \stackrel{!}{\Rightarrow} d_{BB}}{\Rightarrow B \qquad B, B \Rightarrow} (cut)$$

Compare $|d_A|$ and $|d_B|$. If $|d_A| \le |d_B|$, the left proof is smaller than the original one.

Failure of completion Failure of ▷ conservativity Actually we have a more general result. Note that FLew + (c') is a sublogic of Ł (blackboard).

Theorem

- 1. If L is above $FLew + (wc_n)$ for some *n*, L_{fix} is inconsistent.
- 2. If L is below Ł, L_{fix} is consistent.

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Let L be an axiomatic extension of FLew.

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Problem 1

Sharpen the above theorem.

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 \Box **FLew**_{set} is a basis for resource bounded set theory.

Terms and formulas:

$$\begin{array}{rcl} t & ::= & x \mid \{x : \varphi\} \\ \varphi & ::= & t \in t \mid 0 \mid \varphi \to \varphi \mid \forall x.\varphi \end{array}$$

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FLew_{set}: extension of **FLew** \forall with naive comprehension:

$$t \in \{x : \varphi(x)\} \quad \leftrightarrow \quad \varphi(t).$$

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More generally, any set $\{A_1(\vec{p}), \ldots, A_n(\vec{p})\}$ admits a mutual fixpoint.

Hence \mathbf{FLew}_{fix} is embeddable into \mathbf{FLew}_{set} .



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- □ logical connectives
- \Box union, intersection, complement
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Theorem

For any formula A(x, y) there is a term t_A such that

 $x \in t_A \leftrightarrow A(x, t_A).$

This allows us to define a term $\ensuremath{\mathbb{N}}$ such that

$$x \in \mathbb{N} \quad \leftrightarrow \quad x = 0 \lor \exists y \in \mathbb{N}. \ x = y + 1.$$

Fact $\mathbf{FLew}_{set} \vdash t \in \mathbb{N} \iff t \text{ is a natural number.}$

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We may also define all r.e. sets.

Theorem

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Provability in \mathbf{FLew}_{set} is \Sigma_1^0-complete.
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However, $FLew_{set}$ is a very weak theory, which is analogous to Robinson's Q in arithmetic.

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In naive set theory, we extend $\mathbf{FLew}_{\mathit{set}}$ with controlled contractions.

We may extend \mathbf{FLew}_{set} with *K*-modality !:

$\Gamma \Rightarrow B$	$!A, !A, \Gamma \Rightarrow \Pi$
$!\Gamma \Rightarrow !B$	$!A, \Gamma \Rightarrow \Pi$

This is called the elementary affine set theory.

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 $\mathbf{N} := \{ x : \forall X. ! \forall y (y \in X \to y + 1 \in X) \to ! (0 \in X \to x \in X) \}$

It supports elementary induction principle:

$$\frac{A(0) \quad \forall y.A(y) \to A(y+1)}{\forall x \in \mathbf{N}.!A(x)}$$

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Theorem (Girard 98, T. 04)

A function $f : \mathbb{N} \longrightarrow \mathbb{N}$ is elementary recursive iff it is provably total in elementary affine set theory.

We may also extend \mathbf{FLew}_{set} with two modalities $!, \S$ with

$A \Rightarrow B$	$A:A, A:A \Rightarrow \Pi$	$1, \Delta \Rightarrow D$
$!A \Rightarrow !B$	$!A, \Gamma \Rightarrow \Pi$	$\overline{!\Gamma, \S\Delta \Rightarrow \S B}$

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Theorem (Girard 98, T. 04)

A function $f : \mathbb{N} \longrightarrow \mathbb{N}$ is polynomial time computable iff it is provably total in light affine set theory.

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$$\frac{\frac{!\alpha, \alpha \Rightarrow}{!\alpha, \alpha \Rightarrow}}{\frac{!\alpha, \alpha \Rightarrow}{!\alpha, \alpha \Rightarrow}} (c)$$

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Problem 2

Is K4 consistent? What about other modalities?

$$\frac{!\alpha \Rightarrow}{\Rightarrow \neg !\alpha}$$
$$\frac{\Rightarrow \alpha}{\Rightarrow \alpha}$$

$$(\alpha = \neg!\alpha)$$

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Consistency of L_{fix} is equivalent to Brouwer's fixpoint theorem.

```
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```
(axiom Ł) ((A \to B) \to B) \to (B \to A) \to A
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$$\begin{aligned}
\mathbf{L} &:= \mathbf{FLew} + \\
\left(axiom \, \mathbf{L}\right) \quad \left((A \to B) \to B\right) \to (B \to A) \to A \\
\text{It allows us to define} \\
A \lor B &:= (A \to B) \to B \\
\frac{A \Rightarrow A \quad B \Rightarrow B}{A, A \to B \Rightarrow B} \quad \frac{B \Rightarrow B \quad A \Rightarrow A}{B, B \to A \Rightarrow A} \\
\frac{A \Rightarrow (A \to B) \to B}{A \Rightarrow (A \to B) \to B} \quad \frac{B \Rightarrow B \quad A \Rightarrow A}{B \Rightarrow (A \to B) \to B} (\mathbf{L}) \\
\frac{A \Rightarrow C}{(A \to B) \to B \Rightarrow (C \to B) \Rightarrow B} \quad \frac{B \Rightarrow C}{(C \to B) \to B \Rightarrow C} \\
\frac{(A \to B) \to B \Rightarrow (C \to B) \to B}{(A \to B) \to B \Rightarrow C}
\end{aligned}$$

(axiom Ł) $((A \to B) \to B) \to (B \to A) \to A$

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For linear logicians: Ł is an extension of **MLL** in which addtives are multiplicatively definable.

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Theorem (Kowalski 2012)

```
Let A, B be \rightarrow-only formulas.
```

- $\Box \quad \text{If } (A \to B) \to B \text{ is provable in } \mathbf{FLew}, \text{ either } A \text{ or } B \text{ is provable.}$
- $\hfill \Box$ The following inference is admissible in ${\bf FLew}$

$$\frac{\Rightarrow (A \to B) \to B}{\Rightarrow (B \to A) \to A}$$

Łukasiewicz and Tarski (1930) assigned to each formula $B \equiv B(\beta_1, \dots, \beta_n)$

a function

$$\llbracket B \rrbracket : [0,1]^n \longrightarrow [0,1]$$

defined by

$$\begin{split} & \llbracket \beta_i \rrbracket (\vec{x}) & := x_i \\ & \llbracket 0 \rrbracket (\vec{x}) & := 0 \\ & \llbracket B \to C \rrbracket (\vec{x}) & := \min(1, \ 1 - \llbracket B \rrbracket (\vec{x}) + \llbracket C \rrbracket (\vec{x})) \\ & \llbracket B \cdot C \rrbracket (\vec{x}) & := \max(0, \ \llbracket B \rrbracket (\vec{x}) + \llbracket C \rrbracket (\vec{x}) - 1) \end{split}$$

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Theorem

This is the only assignment on [0,1] which is both FLewsound and continuous.

Theorem (Brouwer 1910)

Every continuous map $f: [0,1]^n \longrightarrow [0,1]^n$ has a fixed point.

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Corollary

 L_{fix} is consistent.

Given $A_1(\vec{\alpha}), \dots, A_n(\vec{\alpha})$, consider $(\llbracket A_1 \rrbracket, \dots, \llbracket A_n \rrbracket) : [0, 1]^n \longrightarrow [0, 1]^n$

and let (r_1, \ldots, r_n) be a fixed point.

Then valuation $v(\alpha_i) := r_i$ satisfies all $\alpha_i \leftrightarrow A_i(\vec{\alpha_i})$. Hence L_{fix} is consistent.

Two reasons to study proof theory of L_{fix} :

- 1. $Con(L_{fix})$ implies BFT.
- 2. First step to the consistency of L_{set} , which is a big open problem in fuzzy logic.

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- 1. $Con(L_{fix})$ implies BFT.
- 2. First step to the consistency of L_{set} , which is a big open problem in fuzzy logic.

Note: White (1979) introduced a natural deduction system for L_{set} and "proved" its consistency. It has been believed correct until recently. But it turned out incorrect (look at a note on my webpage).

A McNaughton function is a continuous piecewise-linear function $f: [0,1]^n \longrightarrow [0,1]$ with integer coefficients. I.e, there is a partition

$$[0,1]^n = X_0 \cup \cdots \cup X_m$$

and on each X_i

$$f(\vec{x}) = a_1 x_1 + \dots + a_n x_n + a_0$$

for some $a_0, \ldots, a_n \in \mathbb{Z}$.

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Theorem

 $f: [0,1]^n \longrightarrow [0,1]^n$ is a product of McNaughton functions iff there are formulas A_1, \ldots, A_n with $f = (\llbracket A_1 \rrbracket, \ldots, \llbracket A_n \rrbracket)$.

Rational numbers are definable by fixpoints:

$$\begin{array}{ccc} \alpha \leftrightarrow \neg \alpha & \implies & \alpha = 1/2 \\ \alpha \leftrightarrow \neg (\alpha \cdot \alpha) & \implies & \alpha = 2/3 \end{array}$$

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Given a (product of) McNaughton function $g: [0,1]^{n+m} \longrightarrow [0,1]^n$ and $q_1, \ldots, q_m \in [0,1] \cap \mathbb{Q}$,

$$f(\vec{x}) := g(\vec{x}, \vec{q}) : [0, 1]^n \longrightarrow [0, 1]^n$$

is called a quasi-McNaughton function.

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The rationals q_1, \ldots, q_m are definable by $\beta_i \leftrightarrow B_i(\beta_i)$ for $i = 1, \ldots, m$. Consider fixpoint equations for $\vec{A}(\vec{\alpha}, \vec{\beta}), \vec{B}(\vec{\beta})$.

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The rationals q_1, \ldots, q_m are definable by $\beta_i \leftrightarrow B_i(\beta_i)$ for $i = 1, \ldots, m$. Consider fixpoint equations for $\vec{A}(\vec{\alpha}, \vec{\beta}), \vec{B}(\vec{\beta})$. Since \mathcal{L}_{fix} is consistent, there is an assignment

$$(r_1, \ldots, r_n, q_1, \ldots, q_m) \in [0, 1]^{n+m}$$

satisfying $\alpha_i \leftrightarrow A_i(\vec{\alpha}, \vec{\beta})$, that is, $\vec{r} = f(\vec{r})$.
Theorem

 $Con(L_{fix})$ implies Brouwer's fixed point theorem.

Proof. Every continuous $f : [0,1]^n \longrightarrow [0,1]^n$ can be approximated by a sequence of quasi-McNaughton $\{f_i\}_{i \in \mathbb{N}}$:

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Problem 3

Does the procedure terminate? If so, we would obtain a prooftheoretic proof of Brouwer's fixpoint theorem.

	Fixpoints	Naive set theory
Łukasiewicz logic	L_{fix}	Ł _{set}
Monoidal t-norm logic	\mathbf{MTL}_{fix}	\mathbf{MTL}_{set}
Int. logic without contraction	\mathbf{FLew}_{fix}	\mathbf{FLew}_{set}

 \Box Consistency of L_{set} is a big open problem.

Terms and formulas:

$$\begin{array}{rcl} t & ::= & x \mid \{x : \varphi(x)\} \\ \varphi & ::= & t \in t \mid 0 \mid \varphi \to \varphi \mid \forall x.\varphi \end{array}$$

 L_{set} : extension of $L\forall$ with naive comprehension axiom:

$$t \in \{x : \varphi(x)\} \quad \leftrightarrow \quad \varphi(t).$$

Łukasiewicz interpretation can be extended:

$$\llbracket \forall x.\varphi(x) \rrbracket := \bigwedge_{a \in D} \llbracket \varphi(a) \rrbracket.$$

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Two obstacles:

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BFT no more available. Forced to work proof-theoretically.

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 \square MTL_{*fix*}, MTL_{*set*} are more tractable.

MTL := FLew with prelinearity:

$$(pl) \quad A \to B \lor B \to A.$$

MTL_{*fix*}: given *n* formulas $A_1(\vec{p}), \ldots, A_n(\vec{p})$, there are constants $\alpha_1, \ldots, \alpha_n$ such that

$$\begin{aligned} \alpha_1 &= A_1(\alpha_1, \dots, \alpha_n) \\ \vdots &\vdots \\ \alpha_n &= A_n(\alpha_1, \dots, \alpha_n) \end{aligned}$$

Formulas are identified modulo the equivalence.

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Formulas are identified modulo the equivalence. \mathbf{MTL} is a sublogic of \mathbf{L} , so:

Fact

 MTL_{fix} is consistent.

Hypersequents: $\Theta_1 \mid \cdots \mid \Theta_n$ with Θ_i a sequent. Hypersequent calculus for **FL** consists of

Rules of \mathbf{FL}	Ext-Contraction	
$\Xi \mid A, \Gamma \Rightarrow B$	$\Xi \mid \Gamma \Rightarrow \Pi \mid \Gamma \Rightarrow \Pi$	
$\overline{\Xi \mid \Gamma \Rightarrow A \to B}$	$\Xi \mid \Gamma \Rightarrow \Pi$	

 $\begin{array}{l} \begin{array}{l} \mbox{Communication} \\ \hline \Xi \mid \Gamma_1, \Delta_1 \Rightarrow \Pi \quad \Xi \mid \Gamma_2, \Delta_2 \Rightarrow \Lambda \\ \hline \Xi \mid \Gamma_1, \Gamma_2 \Rightarrow \Pi \mid \Delta_1, \Delta_2 \Rightarrow \Lambda \end{array} (com) \end{array}$

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\frac{\frac{\alpha \Rightarrow \alpha \quad \beta \Rightarrow \beta}{\alpha \Rightarrow \beta \mid \beta \Rightarrow \alpha} \quad (com)}{\frac{\Rightarrow \alpha \to \beta \mid \beta \Rightarrow \alpha}{\Rightarrow \alpha \to \beta \mid \Rightarrow \beta \to \alpha} \quad (\to r)} \\
\frac{\Rightarrow (\alpha \to \beta) \lor (\beta \to \alpha) \mid \Rightarrow (\alpha \to \beta) \lor (\beta \to \alpha)}{\Rightarrow (\alpha \to \beta) \lor (\beta \to \alpha)} \quad (\forall r) \\
\frac{\Rightarrow (\alpha \to \beta) \lor (\beta \to \alpha) \mid \Rightarrow (\alpha \to \beta) \lor (\beta \to \alpha)}{\Rightarrow (\alpha \to \beta) \lor (\beta \to \alpha)} \quad (EC)$

Goal: define a notion of size and design a shrinking cut elimination procedure.

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A slice of derivation d is a selection of 0 or 1 sequent from each hypersequent in d such that:

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The size |d| is a multiset of natural numbers defined by:

 $|d| := \{ |d'| : d' \text{ is a slice of } d \}.$

where |d'| is the number of inference rules visible in d'. We consider multiset ordering (which is well founded).

There is a shrinking cut elimination procedure for derivations of contradiction \Rightarrow .

reduces to

$$\frac{\stackrel{\cdot}{\exists} d_A \qquad \stackrel{\cdot}{\exists} d_A \qquad \stackrel{\cdot}{\exists} d_{AA}}{\Rightarrow A \qquad \Rightarrow A \qquad A, A \Rightarrow} (cut) \quad \mathsf{AND} \quad \frac{\stackrel{\cdot}{\exists} d_B \qquad \stackrel{\cdot}{\exists} d_B \qquad \stackrel{\cdot}{\exists} d_{BB}}{\Rightarrow B \qquad B, B \Rightarrow} (cut)$$

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Theorem

Any proof of \Rightarrow can be reduced to a cut-free proof (which does not exist).

The previous argument works for MTL_{set} as well.

Theorem

 MTL_{set} is consistent.

Summary

Failure of completion Failure of ▷ conservativity

	Fixpoints	Naive set theory
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- □ Consistency of L_{fix} is equivalent to Brouwer's fixpoint theorem.
- □ Hence by proving the former proof-theoretically, we obtain a new proof of the latter.
- □ Moreover, such a proof most likely extends to naive set theory, which would lead to the consistency of L_{set} , a big open problem in fuzzy mathematics.