

Tameness in non-archimedean geometry through model theory (after Hrushovski-Loeser)

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Outline

Introduction

A review of the model theory of ACVF and stable domination

The space \widehat{V} of stably dominated types

Topological considerations in \widehat{V}

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- Γ -internality

- The curves case

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Transfer to Berkovich spaces and applications

Valued fields: basics and notation

Let K be a field and $\Gamma = (\Gamma, 0, +, <)$ an ordered abelian group.

A map $\text{val} : K \rightarrow \Gamma_\infty = \Gamma \dot{\cup} \{\infty\}$ is a **valuation** if it satisfies

1. $\text{val}(x) = \infty$ iff $x = 0$;
2. $\text{val}(xy) = \text{val}(x) + \text{val}(y)$;
3. $\text{val}(x + y) \geq \min\{\text{val}(x), \text{val}(y)\}$.

(Here, ∞ is a distinguished element $> \Gamma$ and absorbing for $+$.)

- ▶ $\Gamma = \Gamma_K$ is called the **value group**.
- ▶ $\mathcal{O} = \mathcal{O}_K = \{x \in K \mid \text{val}(x) \geq 0\}$ is the **valuation ring**, with (unique) maximal ideal $\mathfrak{m} = \mathfrak{m}_K = \{x \mid \text{val}(x) > 0\}$;
- ▶ $\text{res} : \mathcal{O} \rightarrow k = k_K := \mathcal{O}/\mathfrak{m}$ is the **residue map**, and k_K is called the **residue field**.

The valuation topology

Let K be a valued field with value group Γ .

- ▶ For $a \in K$ and $\gamma \in \Gamma$ let $B_{\geq \gamma}(a) := \{x \in K \mid \text{val}(x - a) \geq \gamma\}$ be the **closed ball** of (valuative) radius γ around a .
- ▶ Similarly, one defines the **open ball** $B_{> \gamma}(a)$.
- ▶ The open balls form a basis for a topology on K , called the **valuation topology**, turning K into a topological field.
- ▶ Both the 'open' and the 'closed' balls are clopen sets in the valuation topology. In particular, K is **totally disconnected**.
- ▶ Let V be an algebraic variety defined over K .
Using the product topology on K^n and gluing, one defines the valuation topology on $V(K)$ (also totally disconnected).

Fields with a (complete) non-archimedean absolute value

Assume that K is a valued field such that $\Gamma_K \leq \mathbb{R}$.

- ▶ $|\cdot|: K \rightarrow \mathbb{R}_{\geq 0}$, $|x| := e^{-\text{val}(x)}$, defines an absolute value.
- ▶ $(K, |\cdot|)$ is **non-archimedean**, and any field with a non-archimedean absolute value is obtained in this way.
- ▶ $(K, |\cdot|)$ is called **complete** if it is complete as a metric space, i.e. if every Cauchy sequence has a limit in K .

Examples of complete non-archimedean fields

- ▶ \mathbb{Q}_p (the field p -adic numbers), and any finite extension of it
- ▶ $\mathbb{C}_p = \widehat{\mathbb{Q}_p^a}$ (the p -adic analogue of the complex numbers)
- ▶ $k((t))$, with the t -adic absolute value (k any field)
- ▶ k with the trivial absolute value ($|x| = 1$ for all $x \in k^\times$)

Non-archimedean analytic geometry

- ▶ For K a complete non-archimedean field, one would like to do analytic geometry over K similarly to the way one does analytic geometry over \mathbb{C} , with a 'nice' underlying topological space.
- ▶ There exist various approaches to this, due to Tate (rigid analytic geometry), Raynaud, Berkovich, Huber etc.

Berkovich's approach: **Berkovich (analytic) spaces** (late 80's)

- ▶ provide spaces endowed with an actual topology (not just a Grothendieck topology), in which one may consider paths, singular (co-)homology etc.;
- ▶ are obtained by **adding points** to the set of naive points of an analytic / algebraic variety over K ;
- ▶ have been used with great success in many different areas.

Berkovich spaces in a glance

We briefly describe the Berkovich analytification (as a topological space) V^{an} of an affine algebraic variety V over K .

- ▶ Let $K[V]$ be the ring of regular functions on V . As a set, V^{an} equals the set of **multiplicative seminorms** $|\cdot|$ on $K[V]$ ($|fg| = |f| \cdot |g|$ and $|f + g| \leq \max(|f|, |g|)$) which extend $|\cdot|_K$.
- ▶ $V(K)$ may be identified with a subset of V^{an} , via $a \mapsto |\cdot|_a$, where $|f|_a := |f(a)|_K$.
- ▶ Note $V^{an} \subseteq \mathbb{R}^{K[V]}$. The topology on V^{an} is defined as the induced one from the product topology on $\mathbb{R}^{K[V]}$.

Remark

Let $(L, |\cdot|_L)$ be a normed field extension of K , and let $b \in V(L)$. Then b corresponds to a map $\varphi : K[V] \rightarrow L$, and $|\cdot|_b \in V^{an}$, where $|f|_b = |\varphi(f)|_L$. Moreover, any element of V^{an} is of this form.

A glimpse on the Berkovich affine line

Example

Let $V = \mathbb{A}^1$, so $K[V] = K[X]$.

- ▶ For any $r \in \mathbb{R}_{\geq 0}$, we have $\nu_{0,r} \in \mathbb{A}^{1,an}$, where

$$\left| \sum_{i=0}^n c_i X^i \right|_{\nu_{0,r}} := \max_{0 \leq i \leq n} (|c_i|_K \cdot r^i).$$

- ▶ $\nu_{0,0}$ corresponds to $0 \in \mathbb{A}^1(K)$, and $\nu_{0,1}$ to the *Gauss norm*.
- ▶ The map $r \mapsto \nu_{0,r}$ is a continuous path in $\mathbb{A}^{1,an}$.
- ▶ In fact, the construction generalises suitably, showing that $\mathbb{A}^{1,an}$ is contractible.

Topological tameness in Berkovich spaces

Berkovich spaces have excellent general topological properties, e.g. they are **locally compact** and **locally path-connected**.

Using deep results from algebraic geometry, various **topological tameness** properties had been established, e.g.:

- ▶ Any compact Berkovich space is **homotopic to a (finite) simplicial complex** (Berkovich);
- ▶ Smooth Berkovich spaces are **locally contractible** (Berkovich).
- ▶ If V is an algebraic variety, 'semi-algebraic' subsets of V^{an} have **finitely many connected components** (Ducros).

Hrushovski-Loeser's work: main contributions

Foundational

- ▶ They develop '**non-archimedean (rigid) algebraic geometry**', constructing a 'nice' space \widehat{V} for an algebraic variety V over any valued field K ,
 - ▶ with no restrictions on the value group Γ_K ;
 - ▶ no need to work with a complete field K .
- ▶ **Entirely new methods**: the geometric model theory of ACVF is shown to be perfectly suited to address topological tameness (combining stability and σ -minimality).

Applications to Berkovich analytifications of algebraic varieties

They obtain **strong topological tameness results** for V^{an} ,

- ▶ without smoothness assumption on the variety V , and
- ▶ avoiding heavy tools from algebraic geometry.

Valued fields as first order structures

- ▶ There are various choices of languages for valued fields.
- ▶ $\mathcal{L}_{\text{div}} := \mathcal{L}_{\text{rings}} \cup \{\text{div}\}$ is a language with only one sort **VF** for the valued field.
- ▶ A valued field K gives rise to an \mathcal{L}_{div} -structure, via

$$x \text{ div } y :\Leftrightarrow \text{val}(x) \leq \text{val}(y).$$

- ▶ $\mathcal{O}_K = \{x \in K : 1 \text{ div } x\}$, so \mathcal{O}_K is \mathcal{L}_{div} -definable
 \Rightarrow the valuation is encoded in the \mathcal{L}_{div} -structure.
- ▶ **ACVF**: theory of **alg. closed non-trivially valued fields**

QE in algebraically closed valued fields

Fact (Robinson)

The theory ACVF has QE in \mathcal{L}_{div} . Its completions are given by $\text{ACVF}_{p,q}$, for $(p, q) = (\text{char}(K), \text{char}(k))$.

Corollary

1. In ACVF, a set is definable iff it is **semi-algebraic**, i.e. a finite boolean combination of sets given by conditions of the form $f(\bar{x}) = 0$ or $\text{val}(f(\bar{x})) \leq \text{val}(g(\bar{x}))$, where f, g are polynomials.
2. Definable sets in 1 variable are (finite) boolean combinations of singletons and balls.
3. ACVF is **NIP**, i.e., there is no formula $\varphi(\bar{x}, \bar{y})$ and tuples $(\bar{a}_i)_{i \in \mathbb{N}}, (\bar{b}_J)_{J \subseteq \mathbb{N}}$ (in some model) such that $\varphi(\bar{a}_i, \bar{b}_J)$ iff $i \in J$.

A variant: valued fields in a three-sorted language

Let $\mathcal{L}_{k,\Gamma}$ be the following 3-sorted language, with sorts \mathbf{VF} for the valued field, Γ_∞ and \mathbf{k} :

- ▶ Put \mathcal{L}_{rings} on $K = \mathbf{VF}$, $\{0, +, <, \infty\}$ on Γ_∞ and \mathcal{L}_{rings} on \mathbf{k} ;
- ▶ $\text{val} : K \rightarrow \Gamma_\infty$, and
- ▶ $\text{RES} : K \rightarrow \mathbf{k}$ as additional function symbols.

A valued field K is naturally an $\mathcal{L}_{k,\Gamma}$ -structure, via

$$\text{RES}(x, y) := \begin{cases} \text{res}(xy^{-1}), & \text{if } \text{val}(x) \geq \text{val}(y) \neq \infty; \\ 0 \in k, & \text{else.} \end{cases}$$

ACVF in the three-sorted language

Fact

ACVF eliminates quantifiers in $\mathcal{L}_{k,\Gamma}$.

Corollary

In ACVF, the following holds:

1. Γ is a **pure divisible ordered abelian group**: any definable subset of Γ^n is $\{0, +, <\}$ -definable (with parameters from Γ).
In particular, Γ is o-minimal.
2. \mathbf{k} is a **pure ACF**: any definable subset of \mathbf{k}^n is $\mathcal{L}_{\text{rings}}$ -definable.
3. $\mathbf{k} \perp \Gamma$, i.e. every definable subset of $\mathbf{k}^m \times \Gamma^n$ is a finite union of rectangles $D \times E$.
4. Any definable function $f : K^n \rightarrow \Gamma_\infty$ is piecewise of the form $f(\bar{x}) = \frac{1}{m}[\text{val}(F(\bar{x})) - \text{val}(G(\bar{x}))]$, for $F, G \in K[\bar{x}]$ and $m \geq 1$.

A description of 1-types over models of ACVF

Let $K \preccurlyeq \mathbb{U} \models \text{ACVF}$, with \mathbb{U} suff. saturated. A K -(type-)definable subset $B \subseteq \mathbb{U}$ is a **generalised ball over K** if B is equal to one of the following:

- ▶ a singleton $\{a\} \subseteq K$;
- ▶ a closed ball $B_{\geq \gamma}(a)$ ($a \in K, \gamma \in \Gamma_K$);
- ▶ an open ball $B_{> \gamma}(a)$ ($a \in K, \gamma \in \Gamma_K$);
- ▶ a (non-empty) intersection $\bigcap_{i \in I} B_i$ of K -definable balls B_i with no minimal B_i ;
- ▶ \mathbb{U} .

Fact

By QE, we have $S_1(K) \xrightarrow{1:1} \{\text{generalised balls over } K\}$, given by

- ▶ $p = \text{tp}(t/K) \mapsto \text{Loc}(t/K) := \bigcap b$, where b runs over all generalised balls over K containing t ;
- ▶ $B \mapsto p_B \upharpoonright K$, where $p_B \upharpoonright K$ is the **generic type in B** expressing $x \in B$ and $x \notin b'$ for any K -def. ball $b' \subset B$.

Context

- ▶ \mathcal{L} is some language (possibly many-sorted);
- ▶ T is a **complete** \mathcal{L} -theory with QE;
- ▶ $\mathbb{U} \models T$ is a fixed **universe** (i.e. very saturated and homogeneous);
- ▶ all models M (and all parameter sets A) we consider are **small**, with $M \preccurlyeq \mathbb{U}$ (and $A \subseteq \mathbb{U}$).

Imaginary Sorts and Elements

- ▶ Let E is a definable equivalence relation on some $D \subseteq_{\text{def}} \mathbb{U}^n$.
If $d \in D(\mathbb{U})$, then d/E is an **imaginary** in \mathbb{U} .
- ▶ If $D = \mathbb{U}^n$ for some n and E is \emptyset -definable, then U^n/E is called an **imaginary sort**.
- ▶ Recall: **Shelah's eq-construction** is a canonical way to pass from \mathcal{L}, M, T to $\mathcal{L}^{eq}, M^{eq}, T^{eq}$, adding a new sort (and a quotient function) for each imaginary sort.
- ▶ Given $\varphi(x, y)$, let $E_\varphi(y, y') := \forall x[\varphi(x, y) \leftrightarrow \varphi(x, y')]$.
Then b/E_φ may serve as a **code** $\ulcorner W \urcorner$ for $W = \varphi(\mathbb{U}, b)$.

Example

Consider $K \models \text{ACVF}$ (in \mathcal{L}_{div}).

- ▶ $\mathbf{k}, \Gamma \subseteq K^{eq}$, i.e. \mathbf{k} and Γ are imaginary sorts.
- ▶ More generally, $\mathcal{B}^o, \mathcal{B}^{cl} \subseteq K^{eq}$ (the set of open / closed balls).

Elimination of imaginaries

Definition (Poizat)

The theory T **eliminates imaginaries** if every imaginary element $a \in \mathbb{U}^{eq}$ is interdefinable with a real tuple $\bar{b} \in \mathbb{U}^n$.

Examples of theories which eliminate imaginaries

1. T^{eq} (for an arbitrary theory T)
2. ACF (Poizat)
3. The theory DOAG of non-trivial divisible ordered abelian groups (more generally every σ -minimal expansion of DOAG)

Fact

ACVF *does not eliminate imaginaries in the 3-sorted language $\mathcal{L}_{k,\Gamma}$ (Holly), even if sorts for open and closed balls \mathcal{B}^o and \mathcal{B}^{cl} are added (Haskell-Hrushovski-Macpherson).*

The geometric sorts

- ▶ $s \subseteq K^n$ is a **lattice** if it is a free \mathcal{O} -submodule of rank n ;
- ▶ for $s \subseteq K^n$ a lattice, $s/\mathfrak{m}s$ is a definable n -dimensional \mathbf{k} -vector space.

For $n \geq 1$, let

$$S_n := \{\text{lattices in } K^n\},$$

$$T_n := \dot{\bigcup}_{s \in S_n} s/\mathfrak{m}s.$$

Fact

1. S_n and T_n are imaginary sorts, $S_1 \cong \Gamma$ (via $a\mathcal{O} \mapsto \text{val}(a)$), and also $\mathbf{k} = \mathcal{O}/\mathfrak{m} \subseteq T_1$.
2. $S_n \cong \text{GL}_n(K)/\text{GL}_n(\mathcal{O})$; for T_n , there is a similar description as a finite union of coset spaces.

Classification of Imaginaries in ACVF

$\mathcal{G} = \{\mathbf{VF}\} \cup \{S_n, n \geq 1\} \cup \{T_n, n \geq 1\}$ are the **geometric sorts**.
Let $\mathcal{L}_{\mathcal{G}}$ be the (natural) language of valued fields in \mathcal{G} .

Theorem (Haskell-Hrushovski-Macpherson 2006)

*ACVF eliminates imaginaries down to **geometric sorts**, i.e. the theory ACVF considered in $\mathcal{L}_{\mathcal{G}}$ has El.*

Convention

From now on, by ACVF we mean any completion of this theory, considered in the geometric sorts.

Moreover, any theory T we consider will be assumed to have El.

The notion of a definable type

Definition

- ▶ Let $M \models T$ and $A \subseteq M$. A type $p(\bar{x}) \in S_n(M)$ is called **A-definable** if for every \mathcal{L} -formula $\varphi(\bar{x}, \bar{y})$ there is an \mathcal{L}_A -formula $d_p\varphi(\bar{y})$ such that

$$\varphi(\bar{x}, \bar{b}) \in p \Leftrightarrow M \models d_p\varphi(\bar{b}) \quad (\text{for every } \bar{b} \in M)$$

- ▶ We say p is **definable** if it is definable over some $A \subseteq M$.
- ▶ The collection $(d_p\varphi)_\varphi$ is called a **defining scheme** for p .

Remark

If $p \in S_n(M)$ is definable via $(d_p\varphi)_\varphi$, then the same scheme gives rise to a (unique) type over any $N \succcurlyeq M$, denoted by $p \upharpoonright N$.

Definable types: first properties

► **(Realised types are definable)**

Let $\bar{a} \in M^n$. Then $\text{tp}(\bar{a}/M)$ is definable.

(Take $d_p \varphi(\bar{y}) = \varphi(\bar{a}, \bar{y})$.)

► **(Preservation under algebraic closure)**

If $\text{tp}(\bar{a}/M)$ is definable and $\bar{b} \in \text{acl}(M \cup \{\bar{a}\})$, then $\text{tp}(\bar{b}/M)$ is definable, too.

► **(Transitivity)** Let $\bar{a} \in N$ for some $N \succcurlyeq M$, $A \subseteq M$. Assume

- $\text{tp}(\bar{a}/M)$ is A -definable;
- $\text{tp}(\bar{b}/N)$ is $A \cup \{\bar{a}\}$ -definable.

Then $\text{tp}(\bar{a}\bar{b}/M)$ is A -definable.

We note that the converse of this is false in general.

Definable 1-types in o -minimal theories

Let T be o -minimal (e.g. $T = \text{DOAG}$) and $M \models T$.

- ▶ Let $p(x) \in S_1(M)$ be a non-realised type.
- ▶ Recall that p is determined by the cut

$$C_p := \{d \in M \mid d < x \in p\}.$$
- ▶ Thus, by o -minimality, $p(x)$ is definable
 - $\Leftrightarrow d_p \varphi(y)$ exists for $\varphi(x, y) := x > y$
 - $\Leftrightarrow C_p$ is a definable subset of M
 - $\Leftrightarrow C_p$ is a rational cut
- ▶ e.g. in case $C_p = M$, $d_p \varphi(y)$ is given by $y = y$;
- ▶ in case $C_p =] - \infty, \delta]$, $d_p \varphi(y)$ is given by $y \leq \delta$
 ($p(x)$ expresses: x is "just right" of δ ; this p is denoted by δ^+).

Definable 1-types in ACVF

Fact

Let $K \models \text{ACVF}$ and $p = \text{tp}(t/K) \in S_1(K)$. TFAE:

1. $\text{tp}(t/K)$ is definable;
2. $\text{Loc}(t/K)$ is definable (and not just type-definable).

Proof.

If $\text{tp}(t/K)$ is definable, then the set of K -definable balls containing t is definable over K , so is its intersection. (2) \Rightarrow (1) is clear. \square

For $t \notin K$, letting $L = K(t)$, we get three cases:

- ▶ L/K is a **residual** extension, i.e. $k_L \not\supseteq k_K$. Then t is generic in a closed ball, so p is definable.

[Indeed, replacing t by $at + b$, WMA $\text{val}(t) = 0$ and $\text{res}(t) \notin k_K$, so t is generic in \mathcal{O} .]

Definable 1-types in ACVF (continued)

- ▶ L/K is a **ramified** extension, i.e. $\Gamma_L \not\supseteq \Gamma_K$. Up to a translation WMA $\gamma = \text{val}(t) \notin \Gamma(K)$.

p is definable \Leftrightarrow the cut def. by $\text{val}(t)$ in Γ_K is rational.

[Indeed, p is determined by $p_\Gamma := \text{tp}_{\text{DOAG}}(\gamma/\Gamma_K)$, so p is definable $\Leftrightarrow p_\Gamma$ is definable.]

- ▶ L/K is an **immediate** extension, i.e. $k_K = k_L$ and $\Gamma_K = \Gamma_L$. Then p is not definable.

[Indeed, in this case, letting $B := \text{Loc}(t/K)$, we get $B(K) = \emptyset$. In particular, B is not definable.]

Definability of types in ACF

Proposition

In ACF, all types over all models are definable.

Proof.

Let $K \models \text{ACF}$ and $p \in S_n(K)$.

Let $I(p) := \{f(\bar{x}) \in K[\bar{x}] \mid f(\bar{x}) = 0 \text{ is in } p\} = (f_1, \dots, f_r)$.

By QE, every formula is equivalent to a boolean combination of polynomial equations. Thus, it is enough to show:

For any d the set of (coefficients of) polynomials $g(\bar{x}) \in K[\bar{x}]$ of degree $\leq d$ such that $g \in I_p$ is definable. This is classical. \square

Remark

*The above result is a consequence of the **stability** of ACF. In fact, it characterises stability.*

Products of definable types

- ▶ Assume $p = p(x)$ and $q = q(y)$ are A -definable types.
- ▶ There is a unique A -definable type $p \otimes q$ in variables (x, y) , constructed as follows: Let $b \models q \mid A$ and $a \models p \mid Ab$. Then

$$p \otimes q \mid A = \text{tp}(a, b/A).$$

- ▶ The n -fold product $p \otimes \cdots \otimes p$ is denoted by $p^{(n)}$.

Remark

1. \otimes is associative.
2. \otimes is in general not commutative, as is shown by the following:
Let $p(x)$ and $q(y)$ both be equal to 0^+ in DOAG. Then $p(x) \otimes q(y) \vdash x < y$, whereas $q(y) \otimes p(x) \vdash y < x$.
3. In a stable theory, \otimes corresponds to the non-forking extension, so \otimes is in particular commutative.

The stable part

Let T be given and $A \subseteq \mathbb{U}$ a parameter set.

Recall that an A -definable set D is **stably embedded** if every definable subset of D^n is definable with parameters from $D(\mathbb{U}) \cup A$.

Definition

- ▶ The **stable part over A** , denoted St_A , is the multi-sorted structure with a sort for each A -definable stably embedded set D and with the full induced structure (from \mathcal{L}_A).
- ▶ For $\bar{a} \in \mathbb{U}$, set $St_A(\bar{a}) := \text{dcl}(A\bar{a}) \cap St_A$.

Fact

St_A is a stable structure.

The stable part in ACVF

Consider ACVF in $\mathcal{L}_{\mathcal{G}}$. Given A , we denote by $VS_{\mathbf{k},A}$ the many sorted structure with sorts s/ms , where $s \in S_n(A)$ for some n .

Fact (HHM)

Let D be an A -definable set. TFAE:

1. D is stable and stably embedded.
2. D is **\mathbf{k} -internal**, i.e. there is a finite set $F \subseteq \mathbb{U}$ such that $D \subseteq \text{dcl}(\mathbf{k} \cup F)$
3. $D \subseteq \text{dcl}(A \cup VS_{\mathbf{k},A})$
4. $D \perp \Gamma$ (def. subsets of $D^m \times \Gamma^n$ are finite unions of rectangles)

Corollary

Up to interdefinability, St_A is equal to $VS_{\mathbf{k},A}$. In particular, if $A = K \models \text{ACVF}$, then St_A may be identified with \mathbf{k} .

Stable domination (in ACVF)

- ▶ Idea: a stably dominated type is 'generically' controlled by its stable part.
- ▶ To ease the presentation and avoid technical issues around base change, we will restrict the context and work in ACVF.

Definition

Let p be an A -definable type. We say p is **stably dominated** if for $\bar{a} \models p \upharpoonright A$ and every $B \supseteq A$ such that

$$\text{St}_A(\bar{a}) \underset{A}{\downarrow} \text{St}_A(B) \text{ (in the stable structure } \text{St}_A = \text{VS}_{\mathbf{k},A}\text{),}$$

we have $\text{tp}(\bar{a}/A) \cup \text{tp}(\text{St}_A(\bar{a})/\text{St}_A(B)) \vdash \text{tp}(\bar{a}/B)$.

In this situation, we will also say that $p \upharpoonright A = \text{tp}(\bar{a}/A)$ is stably dominated.

Fact

The above does not depend on the choice of the set A over which

Stably dominated types inherit many nice properties from stable theories. Here is one:

Fact

If p is stably dominated type and q an arbitrary definable type, then $p \otimes q = q \otimes p$. In particular, p commutes with itself, so any permutation of $(a_1, \dots, a_n) \models p^{(n)} \mid A$ is again realises $p^{(n)} \mid A$.

Examples

1. The generic type of \mathcal{O} is stably dominated.

Indeed, let $a \models p_{\mathcal{O}} \mid K$ and $K \subseteq L$. Then $\text{St}_K(a) \perp_K \text{St}_K(L)$ just means that $\text{res}(a) \notin k_L^{\text{alg}}$, forcing $a \models p_{\mathcal{O}} \mid L$.

2. The generic type of \mathfrak{m} is not stably dominated.

Indeed, we have $p_{\mathfrak{m}}(x) \otimes p_{\mathfrak{m}}(y) \vdash \text{val}(x) < \text{val}(y)$, whereas $p_{\mathfrak{m}}(y) \otimes p_{\mathfrak{m}}(x) \vdash \text{val}(x) > \text{val}(y)$.

3. On Γ_{∞}^m , only the realised types are stably dominated.

Characterisation of stably dominated types in ACVF

Definition

Let p be a definable type. We say p is **orthogonal to Γ** (and we denote this by $p \perp \Gamma$) if for every model M over which p is defined, letting $\bar{a} \models p \mid M$, one has $\Gamma(M) = \Gamma(M\bar{a})$.

Remark

Equivalently, in the definition we may require the property to hold only for some model M over which p is defined.

Proposition

Let p be a definable type in ACVF. TFAE:

1. p is stably dominated.
2. $p \perp \Gamma$.
3. p commutes with itself, i.e., $p(x) \otimes p(y) = p(y) \otimes p(x)$.

Stably dominated types in ACVF: some closure properties

- ▶ **Realised types are stably dominated.**

- ▶ **Preservation under algebraic closure:**

Suppose $\text{tp}(\bar{a}/A)$ is stably dominated for some $A = \text{acl}(A)$, and let $\bar{b} \in \text{acl}(A\bar{a})$. Then $\text{tp}(\bar{b}/A)$ is stably dominated, too.

In particular, if p is stably dominated on X and $f : X \rightarrow Y$ is definable, then $f_*(p)$ is stably dominated on Y .

- ▶ **Transitivity:**

If $\text{tp}(\bar{a}/A)$ and $\text{tp}(\bar{b}/A\bar{a})$ are both stably dominated, then $\text{tp}(\bar{a}\bar{b}/A)$ is stably dominated, too.

The converse of this is false in general. (See the examples below.)

Examples of stably dominated types in ACVF

- ▶ The generic type of a closed ball is stably dominated.
- ▶ The generic type of an open ball is **not** stably dominated.
- ▶ It follows that if $K \models \text{ACVF}$ and $K \subseteq L = K(\bar{a})$ with $\text{tr. deg}(L/K) = 1$, then $\text{tp}(\bar{a}/K)$ is stably dominated iff $\text{tr. deg}(k_L/k_K) = 1$.
- ▶ If $\text{tr. deg}(L/K) = \text{tr. deg}(k_L/k_K)$, then $\text{tp}(\bar{a}/K)$ is stably dominated.
- ▶ There are more complicated stably dominated types: for every $n \geq 1$, there is $K \subseteq L = K(\bar{a})$ such that
 - ▶ $\text{tr. deg}(L/K) = n$,
 - ▶ $\text{tr. deg}(k_L/k_K) = 1$, and
 - ▶ $\text{tp}(\bar{a}/K)$ is stably dominated.

Maximally complete models and metastability of ACVF

- ▶ A valued field K is **maximally complete** if it has no proper immediate extension.
- ▶ When working over a parameter set A , it is often useful to pass to a maximally complete $M \models \text{ACVF}$ containing A , mainly due to the following important result.

Theorem (Haskell-Hrushovski-Macpherson)

Let M be a maximally complete model of ACVF, and let \bar{a} be a tuple from \mathbb{U} . Then $\text{tp}(\bar{a}/M, \Gamma(M\bar{a}))$ is stably dominated.

Remark

*In abstract terms, the theorem states that ACVF is **metastable** (over Γ), with metastability bases given by maximally complete models.*

Uniform definability of types

Fact

1. Let T be stable and $\varphi(x, y)$ a formula. Then there is a formula $\chi(y, z)$ such that for every type $p(x)$ (over a model) there is b such that $d_p\varphi(y) = \chi(y, b)$.
2. The same result holds in ACVF if we restrict the conclusion to the collection of stably dominated types.

Proof.

For every formula $\varphi(x, y)$ there is $n \geq 1$ such that whenever p is stably dominated and A -definable and $(a_0, \dots, a_{2n}) \models p^{(2n+1)} \upharpoonright A$, then for any $b \in \mathbb{U}$, the **majority rule** holds, i.e.,

$$\varphi(x, b) \in p \text{ iff } \mathbb{U} \models \bigvee_{i_0 < \dots < i_n} \varphi(a_{i_0}, b) \wedge \dots \wedge \varphi(a_{i_n}, b). \quad \square$$

Prodefinable sets

Definition

A **prodefinable set** is a projective limit $D = \varprojlim_{i \in I} D_i$ of definable sets D_i , with def. transition functions $\pi_{i,j} : D_i \rightarrow D_j$ and I some small index set. (Identify $D(\mathbb{U})$ with a subset of $\prod D_i(\mathbb{U})$.)

We are only interested in **countable** index sets \Rightarrow WMA $I = \mathbb{N}$.

Example

1. (**Type-definable sets**) If $D_i \subseteq \mathbb{U}^n$ are definable sets, $\bigcap_{i \in \mathbb{N}} D_i$ may be seen as a prodefinable set: WMA $D_{i+1} \subseteq D_i$, so the transition maps are given by inclusion.
2. $\mathbb{U}^\omega = \varprojlim_{i \in \mathbb{N}} \mathbb{U}^i$ is naturally a prodefinable set.

Some notions in the prodefinable setting

Let $D = \varprojlim_{i \in I} D_i$ and $E = \varprojlim_{j \in J} E_j$ be prodefinable.

- ▶ There is a natural notion of a **prodefinable map** $f : D \rightarrow E$ [f is given by a compatible system of maps $f_j : D \rightarrow E_j$, each f_j factoring through some component $D_{i(j)}$]
- ▶ D is called **strict prodefinable** if it can be written as a prodefinable set with surjective transition functions.
- ▶ D is called **iso-definable** if it is in prodefinable bijection with a definable set.
- ▶ $X \subseteq D$ is called **relatively definable** if there is $i \in I$ and $X_i \subseteq D_i$ definable such that $X = \pi_i^{-1}(X_i)$.

The set of definable types as a prodefinable set (T stable)

- ▶ Assume T is stable with EI (e.g. $T = \text{ACF}_p$)
- ▶ For any $\varphi(x, y)$ fix $\chi_\varphi(y, z)$ s.t. for any definable type $p(x)$ we have $d_p\varphi(y) = \chi_\varphi(y, b)$ for some $b = \ulcorner d_p\varphi \urcorner$.
- ▶ For X definable, let $S_{\text{def}, X}(A)$ be the A -definable types on X .

Proposition

1. *There is a prodefinable set D such that $S_{\text{def}, X}(A) = D(A)$ naturally. (Identify $p \upharpoonright \mathbb{U}$ with the tuple $(\ulcorner d_p\varphi \urcorner)_\varphi$.)*
2. *If $Y \subseteq X$ is definable, $S_{\text{def}, Y}$ is relatively definable in $S_{\text{def}, X}$.*
3. *The subset of $S_{\text{def}, X}$ corresponding to the set of realised types is relatively definable and isodefinable. (It is $\cong X(\mathbb{U})$.)*

Strict pro-definability and nfcf

Problem

Let $D_{\varphi, \chi} = \{b \in U \mid \chi(y, b) \text{ is the } \varphi\text{-definition of some type}\}$.
Then $D_{\varphi, \chi}$ is not always definable.

Fact

In ACF, all $D_{\varphi, \chi}$ are definable. More generally, for a stable theory T this is the case iff T is **nfcf**.

Corollary

1. If T is stable and nfcf (e.g. $T = \text{ACF}$), then $S_{\text{def}, X}$ is strict pro-definable.
2. If C is a curve definable over $K \models \text{ACF}$, then $S_{\text{def}, C}$ is iso-definable.
3. $S_{\text{def}, \mathbb{A}^2}$ is not iso-definable in ACF: the generic types of the curves given by $y = x^n$ cannot be separated by finitely many φ -types.

The set of stably dominated types as a prodefinable set

For X an A -definable set in ACVF , we denote by $\hat{X}(A)$ the set of A -definable stably dominated types on X .

Theorem

Let X be C -definable. There exists a strict C -prodefinable set D such that for every $A \supseteq C$, we have a canonical identification $\hat{X}(A) = D(A)$.

Once the theorem is established, we will denote by \hat{X} the prodefinable set representing it.

Proof of the theorem.

For notational simplicity, we will assume $C = \emptyset$.

- ▶ Let $f : X \rightarrow \Gamma_\infty$ be definable (with parameters) and let $p \in \widehat{X}(\mathbb{U})$. Then $f_*(p)$ is stably dominated on Γ_∞ , so is a realised type $x = \gamma$. We will denote this by $f(p) = \gamma$.
- ▶ Now let $f : W \times X \rightarrow \Gamma_\infty$ be \emptyset -definable, $f_w := f(w, -)$. Then there is a set S and a function $g : W \times S \rightarrow \Gamma_\infty$, both \emptyset -definable, such that for every $p \in \widehat{X}(\mathbb{U})$, the function

$$f_p : W \rightarrow \Gamma_\infty, w \mapsto f_w(p)$$

is equal to $g_s = g(s, -)$ for a unique $s \in S$.

This follows from

- ▶ uniform definability of types for stably dominated types, and
- ▶ elimination of imaginaries in ACVF (in $\mathcal{L}_{\mathcal{G}}$).

End of the proof

Choose an enumeration $f_i : W_i \times X \rightarrow \Gamma_\infty$ ($i \in \mathbb{N}$) of the functions as above (with corresponding $g_i : W_i \times S_i \rightarrow \Gamma_\infty$).

Then $p \mapsto c(p) := \{(s_i)_{i \in \mathbb{N}} \mid f_{i,p} = g_{i,s_i} \text{ for all } i\}$ defines an injection of \widehat{X} into $\prod_i S_i$.

The strict prodefinable set we are aiming for is $D = c(\widehat{X})$.

Let $I \subseteq \mathbb{N}$ be finite and $\pi_I(D) = D_I \subseteq \prod_{i \in I} S_i$. We finish by the following two facts:

- ▶ D_I is type-definable. (This gives prodefinability of D .)

[This is basically compactness and QE.]

- ▶ D_I is a union of definable sets.

[This uses $\text{St}_A = \text{VS}_{\mathbf{k},A}$, and these are 'uniformly' nfcf.]

\Rightarrow the D_I are definable, proving strict prodefinability of D . □

Some definability properties in \widehat{X}

► **Functoriality:**

For any definable $f : X \rightarrow Y$, we get a prodefinable map $\widehat{f} : \widehat{X} \rightarrow \widehat{Y}$.

► **Passage to definable subsets:**

If Y is a definable subset of X , then $\widehat{Y} \subseteq \widehat{X}$ is a relatively definable subset.

► **Simple points:**

The set of realised types in \widehat{X} , in natural bijection with $X(\mathbb{U})$, is iso-definable and relatively definable in \widehat{X} .

Elements of \widehat{X} corresponding to realised types will be called **simple** points.

Isodefinability in the case of curves

Theorem

Let C be an algebraic curve. Then \widehat{C} is iso-definable.

Proof.

- ▶ WMA C is smooth and projective, $C \subseteq \mathbb{P}^n$. Let $g = \text{genus}(C)$.
- ▶ In $K(\mathbb{P}^1) = K(X)$, any element is a product of linear polynomials in X . The following consequence of Riemann-Roch gives a generalisation of this to arbitrary genus:
There exists an N ($N = 2g + 1$ is enough) s.t. any non-zero $f \in K(C)$ is a product of functions of the form $(g/h) \upharpoonright_C$, where $g, h \in K[X_0, \dots, X_n]$ are homogeneous of degree N .
- ▶ Thus any valuation on $K(C)$ is determined by its values on a definable family of polynomials, proving iso-definability. \square

Isodefinability in the case of curves (continued)

From now on, we will write \mathcal{B}^{cl} for the set of closed balls including singletons (closed balls of radius ∞).

Examples

1. If $C = \mathbb{A}^1$, the isodefinability of \widehat{C} is clear, as then $\widehat{\mathbb{A}^1} = \mathcal{B}^{cl}$ (which is a definable set).
2. $\widehat{\mathcal{O}^2}$ is not isodefinable. Indeed, let $p_{\mathcal{O}}$ be the generic of \mathcal{O} , and $p_n(x, y) \in \widehat{\mathcal{O}^2}$ be given by $p_{\mathcal{O}}(x) \cup \{y = x^n\}$.

No definable family of functions to Γ_{∞} allows to separate all the p_n 's, as $\text{val}(f(p_n)) = \text{val}(f(p_{\mathcal{O}}(x) \otimes p_{\mathcal{O}}(y)))$ for all $f \in K[X, Y]$ of degree $< n$.

Remark

For $X \subseteq K^n$ definable, \widehat{X} is iso-definable iff $\dim(X) \leq 1$.

(Here, $\dim(X)$ denotes the algebraic dimension of X^{Zar} .)

Prodefinable topological spaces

Definition

Let X be (pro-)definable over A .

A topology \mathcal{T} on $X(\mathbb{U})$ is said to be **A -definable** if

- ▶ there are A -definable families $\mathcal{W}^i = (W_b^i)_{b \in \mathbb{U}}$ (for $i \in I$) of (relatively) definable subsets of X such that
- ▶ the topology on $X(\mathbb{U})$ is generated by the sets (W_b^i) , where $i \in I$ and $b \in \mathbb{U}$.

We call (X, \mathcal{T}) a **(pro-)definable space**.

Remark

1. Given a (pro-)definable space (X, \mathcal{T}) (over A) and $A \subseteq M \preccurlyeq \mathbb{U}$, the M -definable open sets from \mathcal{T} define a topology on $X(M)$.
2. The inclusion $X(M) \subseteq X(\mathbb{U})$ is in general **not continuous**.

Examples of definable topologies

1. If M is \mathcal{o} -minimal, then M^n equipped with the product of the order topology is a definable space.
2. Let V be an algebraic variety over $K \models \text{ACVF}$. Then the valuation topology on $V(K)$ is definable.
3. The Zariski topology on $V(K)$ is a definable topology.

Remark

- ▶ *The topologies in examples (1) and (2) are **definably generated**, in the sense that a single family of definable open sets generates the topology. (There is even a definable basis of the topology in both cases.)*
- ▶ *The Zariski topology in (3) is not definably generated, unless $\dim(V) \leq 1$.*

\widehat{V} as a prodefinable space

Given an algebraic variety V defined over $K \models \text{ACVF}$, we will define a definable topology on \widehat{V} , turning it into a prodefinable space, the **Hrushovski-Loeser space** associated to V .

The construction of the topology is done in several steps:

- ▶ We will give an explicit construction in the case $V = \mathbb{A}^n$.
- ▶ If V is affine, $V \subseteq \mathbb{A}^n$ a closed embedding, we give \widehat{V} the subspace topology inside $\widehat{\mathbb{A}^n}$.
- ▶ The case of an arbitrary V done by gluing affine pieces: if $V = \bigcup U_i$ is an open affine cover, $\widehat{V} = \bigcup \widehat{U}_i$ is an open cover.
- ▶ Let $X \subseteq V$ be a definable subset of the variety V . Then we give \widehat{X} the subspace topology inside \widehat{V} .

Subsets of \widehat{V} of the form \widehat{X} will be called **semi-algebraic**.

The topology on $\widehat{\mathbb{A}^n}$

Recall that any definable function $f : X \rightarrow \Gamma_\infty$ canonically extends to a map $f : \widehat{X} \rightarrow \Gamma_\infty$ (given by the composition $\widehat{X} \xrightarrow{\widehat{f}} \widehat{\Gamma_\infty} \xrightarrow{\cong} \Gamma_\infty$).

Definition

We endow $\widehat{\mathbb{A}^n}(\mathbb{U})$ with the topology generated by the (so-called *pre-basic open*) sets of the form

$$\{a \in \widehat{\mathbb{A}^n} \mid \text{val}(F(a)) < \gamma\} \text{ or } \{a \in \widehat{\mathbb{A}^n} \mid \text{val}(F(a)) > \gamma\},$$

where $F \in \mathbb{U}[x_1, \dots, x_n]$ and $\gamma \in \Gamma(\mathbb{U})$.

Remark

1. *The topology is the coarsest one such that for all polynomials F , the map $\text{val} \circ F : \widehat{\mathbb{A}^n} \rightarrow \Gamma_\infty$ is continuous.*
(Here, Γ_∞ is considered with the order topology.)

- └ The space \widehat{V} of stably dominated types
- └ Definable topologies and the topology on \widehat{V}

Proposition

The topology on \widehat{V} is pro-definable, over the same parameters over which V is defined.

Proof.

- ▶ By our construction, it is enough to show the result for $V = \mathbb{A}^n$.
- ▶ For any d , the pre-basic open sets defined by polynomials of degree $\leq d$ form a definable family of relatively definable subsets of $\widehat{\mathbb{A}^n}$. □

Relationship with the order topology

- ▶ For a closed ball b , let p_b be the generic type of b . The map

$$\gamma : \Gamma_{\infty}^m \rightarrow \widehat{\mathbb{A}^m}, (t_1, \dots, t_m) \mapsto p_{B_{\geq t_1}(0)} \otimes \cdots \otimes p_{B_{\geq t_m}(0)}$$

is a definable homeomorphism onto its image, where Γ_{∞}^m is endowed with the (product of the) order topology.

- ▶ Let $f = \text{id} \times (\text{val}, \dots, \text{val}) : V \times \mathbb{A}^m \rightarrow V \times \Gamma_{\infty}^m$.
On $\widehat{V \times \Gamma_{\infty}^m}$ we put the topology induced by \widehat{f} , i.e.
 $U \subseteq \widehat{V \times \Gamma_{\infty}^m}$ is open iff $\widehat{f}^{-1}(U)$ is open in $\widehat{V \times \mathbb{A}^m}$.

Fact

$\widehat{\Gamma_{\infty}^m} = \Gamma_{\infty}^m$. Moreover, the map $\widehat{V \times \Gamma_{\infty}^m} \rightarrow \widehat{V} \times \widehat{\Gamma_{\infty}^m} = \widehat{V} \times \Gamma_{\infty}^m$ is a homeomorphism, where Γ_{∞}^m is endowed with the order topology.

Example (The topology on $\widehat{\mathbb{A}^1}$)

- ▶ Recall that $\widehat{\mathbb{A}^1} = \mathcal{B}^{cl}$ as a set.
- ▶ A semialgebraic subset $\widehat{X} \subseteq \widehat{\mathbb{A}^1}$ is open iff X is a finite union of sets of the form $\Omega \setminus \bigcup_{i=1}^n F_i$, where
 - ▶ Ω is an open ball or the whole field K ;
 - ▶ the F_i are closed sub-balls of Ω .
- ▶ \widehat{m} and $\widehat{m} \setminus \{0\}$ are open, with closure equal to $\widehat{m} \cup \{p_0\}$, a definable closed set which is not semi-algebraic.
- ▶ $\{p_b \mid \text{rad}(b) > \alpha\}$ ($\alpha \in \Gamma$) is def. open and non semi-algebraic.
- ▶ The topology is definably generated by the family $\{\widehat{\Omega \setminus F}\}_{\Omega, F}$.
- ▶ There is no definable basis for the topology.

Fact

For any curve C , the topology on \widehat{C} is definably generated.

[This follows from the proof of iso-definability of \widehat{C} .]

First properties of the topological space \widehat{V}

Fact

Let V be an algebraic variety defined over $M \models \text{ACVF}$.

1. The topology on $\widehat{V}(M)$ is Hausdorff.
2. The subset $V(M)$ of simple points is dense in $\widehat{V}(M)$.
3. The induced topology on $V(M)$ is the valuation topology.

Proof.

We will assume that V is affine, say $V \subseteq \mathbb{A}^n$.

For (1), let $p, q \in \widehat{V}(M)$ with $p \neq q$. There is $F(\bar{x}) \in K[\bar{x}]$ such that $\text{val}(F(p)) \neq \text{val}(F(q))$, say $\text{val}(F(p)) < \alpha < \text{val}(F(q))$, where $\alpha \in \Gamma(M)$. Then $\text{val}(F(\bar{x})) < \alpha$ and $\text{val}(F(\bar{x})) > \alpha$ define disjoint open sets in \widehat{V} , one containing p , the other containing q .

(2) and (3) follows from the fact that there is a basis of the topology given by semialgebraic open sets. □

The $v+g$ -topology

- ▶ Let V be a variety and $X \subseteq V$ definable. We say
 - ▶ X is **v-open** (in V) if it is open for the valuation topology;
 - ▶ X is **g-open** (in V) if it is given (inside V) by a **positive Boolean combination** of *Zariski constructible* sets and sets defined by *strict valuation inequalities* $\text{val}(F(\bar{x})) < \text{val}(G(\bar{x}))$;
 - ▶ X **v+g-open** (in V) if it is v-open and g-open.
- ▶ We say $X \subseteq V \times \Gamma_{\infty}^m$ is v-open iff its pullback to $V \times \mathbb{A}^m$ is. (Similarly for g-open and v+g-open.)

Remark

The g-open and the v+g-open sets do not give rise to a definable topology. Indeed, \mathcal{O} is not g-open, but $\mathcal{O} = \bigcup_{a \in \mathcal{O}} a + \mathfrak{m}$, so it is a definable union of v+g-open sets.

Why consider the v-topology and the g-topology?

- ▶ With the two topologies (v and g), one may separate continuity issues related to very different phenomena in Γ_∞ , namely
 - ▶ the **behaviour near** ∞ (captured by the **v-topology**) and
 - ▶ the **behaviour near** $0 \in \Gamma$ (captured by the **g-topology**).
- ▶ It is e.g. easier to check continuity separately.
- ▶ v+g-topology on $V \longleftrightarrow$ topology on \widehat{V} (see on later slides)

Exercise

- ▶ The v-topology on Γ_∞ is discrete on Γ , and a basis of open neighbourhoods at ∞ is given by $\{(\alpha, \infty], \alpha \in \Gamma\}$.
- ▶ The g-topology on Γ_∞ corresponds to the order topology on Γ , with ∞ isolated.
- ▶ Thus, the v+g-topology on Γ_∞ is the order topology.

Limits of definable types in (pro-)definable spaces

Definition

Let $p(x)$ a definable type on a pro-definable space X .

We say $a \in X$ is a **limit** of p if $p(x) \vdash x \in W$ for every \mathbb{U} -definable neighbourhood W of a .

Remark

If X is Hausdorff space, then limits are unique (if they exist), and we write $a = \lim(p)$.

Example

Let M be an o -minimal structure and $\alpha \in M$. Then $\alpha = \lim(\alpha^+)$.

Describing the closure with limits of definable types

Proposition

Let X be prodefinable subset of $\widehat{V \times \Gamma_\infty^m}$.

1. If X is closed, then it is closed under limits of definable types, i.e. if p is a definable type on X such that $\lim(p)$ exists in $\widehat{V \times \Gamma_\infty^m}$, then $\lim(p) \in X$.
2. If $a \in \text{cl}(X)$, there is a def. type p on X such that $a = \lim(p)$. Thus, X closed under limits of definable types $\Rightarrow X$ closed.

Example

Recall that $\text{cl}(\widehat{\mathfrak{m} \setminus \{0\}}) = \widehat{\mathfrak{m}} \cup \{p_{\mathcal{O}}\}$.

- ▶ Let q_{0^+} be the (definable) type giving the generic type in the closed ball of radius $\epsilon \models 0^+$ around 0. Then $p_{\mathcal{O}} = \lim(q_{0^+})$.
- ▶ Similarly, $0 \widehat{=} B_{\geq \infty}(0) = \lim(q_{\infty^-})$.

Definable compactness

Definition

A (pro-)definable space X is said to be **definably compact** if every definable type on X has a limit in X .

Remark

In an o-minimal structure M , this notion is equivalent to the usual one, i.e. a definable subset $X \subseteq M^n$ is definably compact iff it is closed and bounded.

Lemma (The key to the notion of definable compactness)

Let $f : X \rightarrow Y$ be a surjective (pro-)definable map between (pro-)definable sets (in ACVF). Then the induced maps $f_{def} : S_{def,X} \rightarrow S_{def,Y}$ and $\widehat{f} : \widehat{X} \rightarrow \widehat{Y}$, are surjective, too.

Corollary

Assume $f : \widehat{V} \times \Gamma_{\infty}^m \rightarrow \widehat{W} \times \Gamma_{\infty}^n$ is definable and continuous, and $X \subseteq \widehat{V} \times \Gamma_{\infty}^m$ is a pro-definable and definably compact subset. Then $f(X)$ is definably compact.

Proof of the corollary.

- ▶ By the lemma, any definable type p on $f(X)$ is of the form $f_*q = f_{def}(q)$ for some definable type q on X .
- ▶ As X is definably compact, there is $a \in X$ with $\lim(q) = a$.
- ▶ By continuity of f , we get $\lim(p) = f(a)$. □

Bounded subsets of algebraic varieties

Definition

- ▶ Let $V \subseteq \mathbb{A}^m$ be a closed subvariety. We say a definable set $X \subseteq V$ is **bounded** (in V) if $X \subseteq c\mathcal{O}^m$ for some $c \in K$.
- ▶ For general V , $X \subseteq V$ is called bounded (in V) if there is an open affine cover $V = \bigcup_{i=1}^n U_i$ and $X_i \subseteq U_i$ with X_i bounded in U_i such that $X = \bigcup_{i=1}^n X_i$.
- ▶ $X \subseteq V \times \Gamma_\infty^m$ is said to be bounded (in $V \times \Gamma_\infty^m$) if its pullback to $V \times \mathbb{A}^m$ is bounded in $V \times \mathbb{A}^m$.
- ▶ Finally, we say that a pro-definable subset $X \subseteq \widehat{V}$ is bounded (in \widehat{V}) if there is $W \subseteq V$ bounded such that $X \subseteq \widehat{W}$.

Fact

The notion is well-defined (i.e. independent of the closed embedding into affine space and of the choice of an open affine cover).

Bounded subsets of algebraic varieties (continued)

Examples

1. $X \subseteq \Gamma_\infty$ is bounded iff $X \subseteq [\gamma, \infty]$ for some $\gamma \in \Gamma$.

2. \mathbb{P}^n is bounded in itself, so every $X \subseteq \mathbb{P}^n$ is bounded.

Indeed, if $\mathbb{A}^n \cong U_i$ is the affine chart given by $x_i \neq 0$ and $U_i(\mathcal{O}) \subseteq U_i$ corresponds to $\mathcal{O}^n \subseteq \mathbb{A}^n$, then we may write $\mathbb{P}^n = \bigcup_{i=0}^n U_i(\mathcal{O})$.

3. \mathbb{A}^1 is bounded in \mathbb{P}^1 and unbounded in itself, so the notion depends on the ambient variety.

A characterisation result for definable compactness

Theorem

Let $X \subseteq \widehat{V \times \Gamma_\infty^m}$ be pro-definable. TFAE:

1. X is definably compact.
2. X is closed and bounded.

To illustrate the methods, we will prove that if $X \subseteq \widehat{V \times \Gamma_\infty^m}$ is bounded, then any definable type on X has a limit in $\widehat{V \times \Gamma_\infty^m}$.

Corollary

Let $W \subseteq V \times \Gamma_\infty^m$.

1. \widehat{W} is closed in $\widehat{V \times \Gamma_\infty^m}$ iff W is $v+g$ -closed in $V \times \Gamma_\infty^m$.
2. \widehat{W} is definably compact iff W is a $v+g$ -closed and bounded subset of $V \times \Gamma_\infty^m$.

Some further applications of the characterisation result

The results below are analogous to the complex situation.

Corollary

A variety V is *complete* iff \widehat{V} is *definably compact*.

Proof.

- ▶ By Chow's lemma, if V is complete there is $f : V' \rightarrow V$ surjective with V' projective. This proves one direction.
- ▶ For the other direction, use that every variety is an open Zariski dense subvariety of a complete variety. □

Corollary

If $f : V \rightarrow W$ is a proper map between algebraic varieties, then $\widehat{f} : \widehat{V} \rightarrow \widehat{W}$ as well as $\widehat{f} \times \text{id} : \widehat{V} \times \Gamma_{\infty}^m \rightarrow \widehat{W} \times \Gamma_{\infty}^m$ are closed maps.

Proof that definable types on bounded sets have limits

Lemma

Let p be a definable type on a bounded subset $X \subseteq \widehat{V \times \Gamma_\infty^m}$. Then $\lim(p)$ exists in $\widehat{V \times \Gamma_\infty^m}$.

Proof.

- ▶ First we reduce to the case where $V = \mathbb{A}^n$ and $m = 0$.
- ▶ Let $K \models \text{ACVF}$ be **maximally complete**, with p K -definable, $d \models p \upharpoonright K$ and $a \models p_d \upharpoonright Kd$, where p_d is the type coded by d .
- ▶ As $p_d \perp \Gamma$, we have $\Gamma_K \subseteq \Gamma(K(d)) = \Gamma(K(d, a)) =: \Delta$.
Let $\Delta_0 := \{\delta \in \Delta \mid \exists \gamma \in \Gamma_K : \gamma < \delta\}$.
- ▶ p definable \Rightarrow for $\delta \in \Delta_0$, $\text{tp}(\delta/\Gamma_K)$ is definable and has a limit in $\Gamma_K \cup \{\infty\}$.

End of the proof

(Recall: $\Delta_0 := \{\delta \in \Delta \mid \exists \gamma \in \Gamma_K : \gamma < \delta\}$)

- ▶ We get a retraction $\pi : \Delta_0 \rightarrow \Gamma_K \cup \{\infty\}$ preserving \leq and $+$.
- ▶ $\mathcal{O}' := \{b \in K(a) \mid \text{val}(b) \in \Delta_0\}$ is a valuation ring on $K(a)$.
- ▶ As $K \subseteq \mathcal{O}'$, putting $\widetilde{\text{val}}(x + \mathfrak{m}') := \pi(\text{val}(x))$, we get a valued field extension $\widetilde{K} = \mathcal{O}'/\mathfrak{m}' \supseteq K$, with $\Gamma_{\widetilde{K}} = \Gamma_K$.
- ▶ The coordinates of a lie in \mathcal{O}' , by the **boundedness** of X .
- ▶ Consider the tuple $\tilde{a} := a + \mathfrak{m}' \in K'$.
 - ▶ Then $r = \text{tp}(a'/K)$ is stably dominated as $\Gamma(Ka') = \Gamma(K)$ and K is **maximally complete**.
 - ▶ One checks that $r = \lim(p)$. (Indeed, one shows $f(r) = \lim(f_*(p))$ for every $f = \text{val} \circ F$, where $F \in K[\bar{x}]$.) □

Γ -internal subsets of \widehat{V}

Convention

From now on, all varieties are assumed to be *quasi-projective*.

Definition

A subset $Z \subseteq \widehat{V} \times \widehat{\Gamma}_\infty^m$ is called **Γ -internal** if

- ▶ Z is iso-definable and
- ▶ there is a surjective definable $f : D \subseteq \Gamma_\infty^n \twoheadrightarrow Z$.

Remark

If we drop in the definition the iso-definability requirement, we get the weaker notion called Γ -parametrisability. E.g., any pro-finite subset of \widehat{V} is Γ -parametrisable.

Fact

Let $f : X \rightarrow Y$ be definable with finite fibers, and let $Z \subseteq Y$ be Γ -internal. Then $f^{-1}(Z)$ is Γ -internal.

Topological witness for Γ -internality

Proposition

Let $Z \subseteq \widehat{V \times \Gamma_\infty^m}$ be Γ -internal. Then there is an injective continuous definable map $f : Z \hookrightarrow \Gamma_\infty^n$ for some n . If Z is definably compact, such an f is a homeomorphism.

The question is more delicate if one wants to control the parameters needed to define f . Here is the best one can do:

Proposition

Suppose that in the above, both V and Z are A -definable, where $A \subseteq \mathbf{VF} \cup \Gamma$. Then there is a finite A -definable set w and an injective continuous A -definable map $f : Z \hookrightarrow \Gamma_\infty^w$.

Example

Let $A = \mathbb{Q} \subseteq \mathbf{VF}$, V given by $X^2 - 2 = 0$. Then \widehat{V} is Γ -internal, with a non-trivial Galois action, so cannot be \mathbb{Q} -embedded into Γ_∞^n .

Generalised intervals

We say that $I = [o_I, e_I]$ is a **generalised closed interval** in Γ_∞ if it is obtained by concatenating a finite number of closed intervals I_1, \dots, I_n in Γ_∞ , where $<_{I_i}$ is either given by $<_{\Gamma_\infty}$ or by $>_{\Gamma_\infty}$.

Remark

- ▶ *The absence of the multiplication in Γ_∞ makes it necessary to consider generalised intervals.*
- ▶ *E.g., there is a definable path $\gamma : I \rightarrow \widehat{\mathbb{P}^1}$ with $\gamma(o_I) = 0$ and $\gamma(e_I) = 1$, but only if we allow generalised intervals in the definition of a path.*

Definable homotopies and strong deformation retractions

Definition

Let $I = [o_I, e_I]$ be a generalised interval in Γ_∞ and let $X \subseteq V \times \Gamma_\infty^m$, $Y \subseteq W \times \Gamma_\infty$ be definable sets.

1. A continuous pro-definable map $H : I \times \widehat{X} \rightarrow \widehat{Y}$ is called a **definable homotopy** between the maps $H_o, H_e : \widehat{X} \rightarrow \widehat{Y}$, where H_o corresponds to $H \upharpoonright_{\{o_I\} \times \widehat{X}}$ (similarly for H_e).
2. We say that the definable homotopy $H : I \times \widehat{X} \rightarrow \widehat{X}$ is a **strong deformation retraction** onto the set $\Sigma \subseteq \widehat{X}$ if
 - ▶ $H_o = \text{id}_{\widehat{X}}$,
 - ▶ $H \upharpoonright_{I \times \Sigma} = \text{id}_{I \times \Sigma}$,
 - ▶ $H_e(\widehat{X}) \subseteq \Sigma$, and
 - ▶ $H_e(H(t, a)) = H_e(a)$ for all $(t, a) \in I \times \widehat{X}$.

We added the last condition, as it is satisfied by all the retractions we will consider.

The standard homotopy on $\widehat{\mathbb{P}^1}$

- ▶ We represent $\mathbb{P}^1(\mathbb{U})$ as the union of two copies of $\mathcal{O}(\mathbb{U})$, according to the two affine charts w.r.t. u and $\frac{1}{u}$, respectively.
- ▶ In this way, unambiguously, any element of $\widehat{\mathbb{P}^1}$ corresponds to the generic type $p_{B_{\geq s}(a)}$ of a closed ball of val. radius $s \geq 0$.

Definition

The **standard homotopy** on $\widehat{\mathbb{P}^1}$ is defined as follows:

$$\psi : [0, \infty] \times \widehat{\mathbb{P}^1} \rightarrow \widehat{\mathbb{P}^1}, (t, p_{B_{\geq s}(a)}) \mapsto p_{B_{\geq \min(s,t)}(a)}$$

Lemma

The map ψ is continuous. Viewing $[0, \infty]$ as a (generalised) interval with $o_I = \infty$ and $e_I = 0$, ψ is a strong deformation retraction of $\widehat{\mathbb{P}^1}$ onto the singleton set $\{p_{\mathcal{O}}\}$.

A variant: the standard homotopy with stopping time D

- ▶ $\mathbb{P}^1(\mathbb{U})$ has a tree-like structure: any two elements $a, b \in \mathbb{P}^1(\mathbb{U})$ are the endpoints of a unique *segment*, i.e. a subset of $\widehat{\mathbb{P}^1}$ definably homeomorphic to a (generalised) interval in Γ_∞ .
- ▶ Given $D \subseteq \mathbb{P}^1$ finite, let C_D be the **convex hull** of $D \cup \{p_O\}$ in $\widehat{\mathbb{P}^1}$, i.e. the image of $[0, \infty] \times (D \cup \{p_O\})$ under ψ .
- ▶ C_D is closed in $\widehat{\mathbb{P}^1}$ and Γ -internal, and the map $\tau : \widehat{\mathbb{P}^1} \rightarrow \Gamma_\infty$, $\tau(b) := \max\{t \in [0, \infty] \mid \psi(t, b) \in C_D\}$ is continuous.

Lemma

Consider the standard homotopy with stopping time D ,

$$\psi_D : [0, \infty] \times \widehat{\mathbb{P}^1} \rightarrow \widehat{\mathbb{P}^1} \quad (t, b) \mapsto \psi(\max(\tau(b), t), b).$$

Then ψ_D defines a strong deformation retraction of $\widehat{\mathbb{P}^1}$ onto C_D .

A strong deformation retraction for curves

Theorem

Let C be an algebraic curve. Then there is a strong deformation retraction $H : [0, \infty] \times \widehat{C} \rightarrow \widehat{C}$ onto a Γ -internal subset $\Sigma \subseteq \widehat{C}$.

Sketch of the proof.

- ▶ WMA C is projective.
- ▶ Choose $f : C \rightarrow \mathbb{P}^1$ finite and generically étale.
- ▶ Idea: one shows that there is $D \subseteq \mathbb{P}^1$ finite such that $\psi_D : [0, \infty] \times \widehat{\mathbb{P}^1} \rightarrow \widehat{\mathbb{P}^1}$ 'lifts' (uniquely) to a strong deformation retraction $H : [0, \infty] \times \widehat{C} \rightarrow \widehat{C}$, i.e., such that $H \circ \widehat{f} = \psi_D \circ (\text{id} \times \widehat{f})$ holds.

Outward paths on finite covers of \mathbb{A}^1

Definition

- ▶ A **standard outward path on $\widehat{\mathbb{A}^1}$ starting at $a = p_{B_{\geq s}(c)}$** is given by $\gamma : (r, s] \rightarrow \widehat{\mathbb{A}^1}$ (for some $r < s$) such that $\gamma(t) = p_{B_{\geq t}(c)}$.
- ▶ Let $f : C \rightarrow \mathbb{A}^1$ be a finite map. An **outward path on \widehat{C} starting at $x \in \widehat{C}$** (with respect to f) is a continuous definable map $\gamma : (r, s] \rightarrow \widehat{C}$ for some $r < s$ such that
 - ▶ $\gamma(s) = x$ and
 - ▶ $\widehat{f} \circ \gamma$ is a standard outward path on $\widehat{\mathbb{A}^1}$.

Lemma

Let $f : C \rightarrow \mathbb{A}^1$ be a finite map. Then, for every $x \in \widehat{C}$, there exists at least one and at most $\deg(f)$ many outward paths starting at x (with respect to f).

Finiteness of outward branching points

- ▶ Let $f : C \rightarrow \mathbb{A}^1$ be a finite map, $d = \deg(f)$.
- ▶ Note that for all $x \in \widehat{\mathbb{A}^1}$, we have $|\widehat{f}^{-1}(x)| \leq d$.
- ▶ We say $y \in \widehat{C}$ is **outward branching** (for f) if there is more than one outward path on \widehat{C} starting at y . In this case, we also say that $\widehat{f}(y) \in \widehat{\mathbb{A}^1}$ is outward branching.

Key lemma

The set of outward branching points (for f) is finite.

End of the proof

Suppose $f : C \rightarrow \mathbb{P}^1$ is finite and generically étale.

By the key lemma, there is $D \subseteq \mathbb{P}^1$ finite such that

- ▶ f is étale above $\mathbb{P}^1 \setminus D$;
- ▶ C_D contains all outward branching points, with respect to the maps restricted to the two standard affine charts.

Lemma

Under the above assumptions, the map $\psi_D : [0, \infty] \times \widehat{\mathbb{P}^1} \rightarrow \widehat{\mathbb{P}^1}$ lifts (uniquely) to a strong deformation retraction $H : [0, \infty] \times \widehat{C} \rightarrow \widehat{C}$.

Example

Consider the elliptic curve E given by the affine equation $y^2 = x(x-1)(x-\lambda)$, where $\text{val}(\lambda) > 0$ (in $\text{char} \neq 2$).

Let $f : E \rightarrow \mathbb{P}^1$ be the map to the x -coordinate.

- ▶ f is ramified at $0, 1, \lambda$ and ∞ .
- ▶ Using Hensel's lemma, one sees that the fiber of \widehat{f} above $x \in \widehat{\mathbb{A}^1}$ has two elements iff x is neither in the segment joining 0 and λ , nor in the one joining 1 and ∞ .
- ▶ Thus, for $B = B_{\geq \text{val}(\lambda)}(0)$, the point p_B is the unique outward branching point on the affine line corresponding to $x \neq \infty$.
- ▶ On the affine line corresponding to $x \neq 0$, $p_{\mathcal{O}}$ is the only outward branching point.
- ▶ We may thus take $D = \{0, \lambda, 1, \infty\}$.
- ▶ If H is the unique lift of ψ_D , then H defines a retraction of \widehat{E} onto a subset of \widehat{E} which is homotopic to a circle.

Definable connectedness

Definition

Let V be an algebraic variety and $Z \subseteq \widehat{V}$ strict pro-definable.

- ▶ Z is called **definably connected** if it contains no proper non-empty clopen strict pro-definable subset.
- ▶ Z is called **definably path-connected** if any two points $z, z' \in Z$ are connected by a definable path.

The following lemma is easy.

Lemma

1. Z definably path-connected \Rightarrow Z definably connected
2. For $X \subseteq V$ definable, \widehat{X} is definably connected iff X does not contain any proper non-empty $v+g$ -clopen definable subset.
3. If \widehat{V} is definably connected, then V is Zariski-connected.

GAGA for connected components

- ▶ For $X \subseteq V$ definable, we say \widehat{X} has **finitely many connected components** if X admits a finite definable partition into v + g -clopen subsets Y_i such that \widehat{Y}_i is definably connected.
- ▶ The \widehat{Y}_i are then called the **connected components** of \widehat{X} .

Theorem

Let V be an algebraic variety.

- ▶ \widehat{V} is definably connected iff V is Zariski connected.
- ▶ \widehat{V} has finitely many connected components, which are of the form \widehat{W} , for W a Zariski connected component of V .

Proof of the theorem: reduction to smooth projective curves

Lemma

Let V be a smooth variety and $U \subseteq V$ an open Zariski-dense subvariety of V . Then \widehat{V} has finitely many connected components if and only if \widehat{U} does. Moreover, in this case there is a bijection between the two sets of connected components.

We assume the lemma (which will be used several times).

- ▶ WMA V is Zariski-connected.
- ▶ WMA V is irreducible.
- ▶ Any two points $v \neq v' \in V$ are contained in an irreducible curve $C \subseteq V$. This uses Chow's lemma and Bertini's theorem.
 \Rightarrow WMA $V = C$ is an **irreducible curve**.
- ▶ WMA C is **projective** (by the lemma) and **smooth** (passing to the normalisation $\tilde{C} \twoheadrightarrow C$)

The case of a smooth projective curve C

We have already seen:

\widehat{C} retracts to a Γ -internal (PL) subspace $S \subseteq \widehat{C}$

$\Rightarrow \widehat{C}$ has finitely many conn. components (all path-connected)

▶ If $g(C) = 0$, $C \cong \mathbb{P}^1$, so \widehat{C} is contractible (thus connected).

▶ If $g(C) = 1$, $C \cong E$, where E is an elliptic curve.

- ▶ $(E(\mathbb{U}), +)$ acts on $\widehat{E}(\mathbb{U})$ by definable homeomorphisms;
- ▶ this action is transitive on simple points (which are dense).

$\Rightarrow E(\mathbb{U})$ acts transitively on the (finite!) set of connected components of \widehat{E} .

$\Rightarrow \widehat{E}$ is connected, since $E(\mathbb{U})$ is divisible.

The case of a smooth projective curve C , with $g(C) \geq 2$.

- ▶ Let $\widehat{C}_0, \dots, \widehat{C}_{n-1}$ be the connected components of \widehat{C} .
- ▶ For $I = (i_1, \dots, i_g) \in n^g$, $C_I := C_{i_1} \times \dots \times C_{i_g}$ is a $v+g$ -clopen subset of C^g , and \widehat{C}_I is definably connected.
- ▶ Thus, \widehat{C}^g has n^g connected components. If $n \geq 2$, \widehat{C}^g as well as $\widehat{C^g/S_g}$ has finitely many (>1) connected components.
- ▶ Recall: C^g/S_g is birational to the Jacobian $J = \text{Jac}(C)$ of C .
- ▶ Using the lemma twice, we see that \widehat{J} has finitely many (>1) connected components. (Both C^g/S_g and J are smooth.)
- ▶ But, as before, $(J(\mathbb{U}), +)$ is a divisible group acting transitively on the set of connected components of \widehat{J} . Contradiction! \square

The main theorem of Hrushovski-Loeser (a first version)

Theorem

Suppose $A = K \cup G$, where $K \subseteq \mathbf{VF}$ and $G \subseteq \Gamma_\infty$. Let V be a quasiprojective variety and $X \subseteq V \times \Gamma_\infty^n$ an A -definable subset.

Then there is an A -definable strong deformation retraction $H: I \times \widehat{X} \rightarrow \widehat{X}$ onto a (Γ -internal) subset $\Sigma \subseteq \widehat{X}$ such that Σ A -embeds homeomorphically into Γ_∞^w for some finite A -definable w .

Corollary

Let X be as above. Then \widehat{X} has finitely many definable connected components. These are all semi-algebraic and path-connected.

Proof.

Let H and Σ be as in the theorem. By o -minimality, Σ has finitely many def. connected components $\Sigma_1, \dots, \Sigma_m$. The properties of H imply that $H_e^{-1}(\Sigma_i) = \widehat{X}_i$, where $X_i = H_e^{-1}(\Sigma_i) \cap X$ □

The main theorem of Hrushovski-Loeser (general version)

Theorem

Let $A = K \cup G$, where $K \subseteq \mathbf{VF}$ and $G \subseteq \Gamma_\infty$. Assume given:

1. a quasiprojective variety V defined over K ;
2. an A -definable subset of $X \subseteq V \times \Gamma_\infty^m$;
3. a finite algebraic group action on V (defined over K);
4. finitely many A -definable functions $\xi_i : V \rightarrow \Gamma_\infty$.

Then there is an A -definable strong deformation retraction $H : I \times \widehat{X} \rightarrow \widehat{X}$ onto a (Γ -internal) subset $\Sigma \subseteq \widehat{X}$ such that

- ▶ Σ A -embeds homeomorphically into Γ_∞^w for some finite A -definable w ;
- ▶ H is equivariant w.r.t. to the algebraic group action from (3);
- ▶ H respects the ξ_i from (4), i.e. $\xi(H(t, v)) = \xi(v)$ for all t, v .

Some words about the proof of the main theorem

- ▶ The proof is by induction on $d = \dim(V)$, **fibering into curves**.
- ▶ The fact that one may respect extra data (the functions to Γ_∞ and the finite algebraic group action) is essential in the proof, since these extra data are needed in the inductive approach.
- ▶ In going from d to $d + 1$, the homotopy is obtained by a concatenation of four different homotopies.
- ▶ Only standard tools from algebraic geometry are used, apart from Riemann-Roch (used the proof of iso-definability of \widehat{C}).
- ▶ Technically, the most involved arguments are needed to guarantee the continuity of certain homotopies. There are nice specialisation criteria (both for the v - and for the g -topology) which may be formulated in terms of 'doubly valued fields'.

Berkovich spaces slightly generalised

A type $p = \text{tp}(\bar{a}/A) \in S(A)$ is said to be **almost orthogonal to Γ** if $\Gamma(A) = \Gamma(A\bar{a})$.

- ▶ Let F a valued field s.t. $\Gamma_F \leq \mathbb{R}$.
- ▶ Set $\mathbb{F} = (F, \mathbb{R})$, where $\mathbb{R} \subseteq \Gamma$.
- ▶ Let V be a variety defined over F , and $X \subseteq V \times \Gamma_\infty^m$ an \mathbb{F} -definable subset.
- ▶ Let $B_X(\mathbb{F}) = \{p \in S_X(\mathbb{F}) \mid p \text{ is almost orthogonal to } \Gamma\}$.
- ▶ In a similar way to the Berkovich and the HL setting, one defines a topology on $B_X(\mathbb{F})$.

Fact

If F is complete, then $B_V(\mathbb{F})$ and V^{an} are canonically homeomorphic. More generally, $B_{V \times \Gamma_\infty^m}(\mathbb{F}) = V^{an} \times \mathbb{R}_\infty^m$.

Passing from \widehat{X} to $B_X(\mathbb{F})$

Given $\mathbb{F} = (F, \mathbb{R})$ as before, let $F^{max} \models \text{ACVF}$ be maximally complete such that

- ▶ $\mathbb{F} \subseteq (F^{max}, \mathbb{R})$;
- ▶ $\Gamma_{F^{max}} = \mathbb{R}$, and
- ▶ $\mathbf{k}_{F^{max}} = \mathbf{k}_F^{alg}$.

Remark

By a result of Kaplansky, F^{max} is uniquely determined up to \mathbb{F} -automorphism by the above properties.

Lemma

The restriction of types map $\pi : \widehat{X}(F^{max}) \rightarrow S_X(\mathbb{F})$, $p \mapsto p|_F$ induces a surjection $\pi : \widehat{X}(F^{max}) \twoheadrightarrow B_X(\mathbb{F})$.

Remark

There exists an alternative way of passing from \widehat{X} to $B_X(\mathbb{F})$, using imaginaries (from the lattice sorts).

The topological link to actual Berkovich spaces

Proposition

1. *The map $\pi : \widehat{X}(F^{\max}) \twoheadrightarrow B_X(\mathbb{F})$ is continuous and closed. In particular, if $F = F^{\max}$, it is a homeomorphism.*
2. *Let X and Y be \mathbb{F} -definable subsets of some $V \times \Gamma_\infty^m$, and let $g : \widehat{X} \rightarrow \widehat{Y}$ be continuous and \mathbb{F} -prodefinable.
Then there is a (unique) continuous map $\tilde{g} : B_X(\mathbb{F}) \rightarrow B_Y(\mathbb{F})$ such that $\pi \circ g = \tilde{g} \circ \pi$ on $\widehat{X}(F^{\max})$.*
3. *If $H : I \times \widehat{X} \rightarrow \widehat{X}$ is a strong deformation retraction, so is $\tilde{H} : I(\mathbb{R}_\infty) \times B_X(\mathbb{F}) \rightarrow B_X(\mathbb{F})$.*
4. *$B_X(\mathbb{F})$ is compact iff \widehat{X} is definably compact.*

Remark

The proposition applies in particular to V^{an} .

The main theorem phrased for Berkovich spaces

Theorem

Let V be a quasiprojective variety defined over F , and let $X \subseteq V \times \Gamma_\infty^m$ be an \mathbb{F} -definable subset.

Then there is a strong deformation retraction

$$H : I(\mathbb{R}_\infty) \times B_X(\mathbb{F}) \rightarrow B_X(\mathbb{F})$$

onto a subspace Z which is homeomorphic to a finite simplicial complex.

Topological tameness for Berkovich spaces I

Theorem (Local contractibility)

Let V be quasi-projective and $X \subseteq V \times \Gamma_\infty^m \mathbb{F}$ -definable. Then $B_X(\mathbb{F})$ is locally contractible, i.e. every point has a basis of contractible open neighbourhoods.

Proof.






- ▶ There is a basis of open sets given by 'semi-algebraic' sets, i.e., sets of the form $B_{X'}(\mathbb{F})$ for $X' \subseteq X$ \mathbb{F} -definable.
- ▶ So it is enough to show that any $a \in B_X(\mathbb{F})$ is contained in a contractible subset.
- ▶ Let H and \mathbf{Z} be as in the theorem, and let $H_e(a) = a' \in \mathbf{Z}$. As \mathbf{Z} is a finite simplicial complex, it is locally contractible, so there is $a' \subseteq W$ with $W \subseteq \mathbf{Z}$ open and contractible.
- ▶ The properties of H imply that $H_e^{-1}(W)$ is contractible.

Topological tameness for Berkovich spaces II

Here is a list of further tameness results:

Theorem

1. *If V quasiprojective and $X \subseteq V \times \Gamma_\infty^m$ vary in a definable family, then there are only finitely many homotopy types for the corresponding Berkovich spaces. (We omit a more precise formulation.)*
2. *If $B_X(\mathbb{F})$ is compact, then it is homeomorphic to $\varprojlim_{i \in I} \mathbf{Z}_i$, where the \mathbf{Z}_i form a projective system of subspaces of $B_X(\mathbb{F})$ which are homeomorphic to finite simplicial complexes.*
3. *Let $d = \dim(V)$, and assume that F contains a countable dense subset for the valuation topology. Then $B_V(\mathbb{F})$ embeds homeomorphically into \mathbb{R}^{2d+1} (Hrushovski-Loeser-Poonen).*

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