

Height gaps versus Spectral gaps

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Ravello, June 11 2013

Growth of groups

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- For example **Gromov's theorem** says that if $|S^n|$ grows at most polynomially in n , then Γ is virtually nilpotent (= has a nilpotent subgroup of finite index).
- new proofs by Hrushovski (2009), Breuillard-Green-Tao (2011) related to new developments on **approximate groups** involving model-theoretic ideas...
- If Γ has exponential growth, we may want to consider the rate of growth:

$$\rho_S := \lim_{n \rightarrow \infty} \frac{1}{n} \log |S^n|$$

When Γ is a linear group we have the following conjecture:

Conjecture (Growth Gap Conjecture)

There is $\varepsilon = \varepsilon(d) > 0$ such that for every field K and finite set $S \subset \mathrm{GL}_d(K)$, either $\rho_S = 0$ and $\langle S \rangle$ is virtually nilpotent, or

$$\rho_S := \lim_{n \rightarrow \infty} \frac{1}{n} \log |S^n| > \varepsilon.$$

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Theorem (B '08)

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- It also holds if K is of positive characteristic, so the key case is $K = \overline{\mathbb{Q}}$.

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- The conjecture reduces to the case when $d = 2$ and $S = S(x)$ is as follows:

$$S(x) := \left\{ \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} x & 0 \\ 0 & 1 \end{pmatrix} \right\}$$

where $x \in \overline{\mathbb{Q}}$ is an algebraic unit.

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In fact we have the following inequality:

$$[\mathbb{Q}(x) : \mathbb{Q}] \cdot h(x) \geq \rho_{S(x)}$$

where $h(x)$ is the absolute Weil height of x .

$$h(x) := \frac{1}{[\mathbb{Q}(x) : \mathbb{Q}]} \sum_{\mathfrak{v}} n_{\mathfrak{v}} \log^+ |x|_{\mathfrak{v}}$$

In particular, we see that:

The growth gap conjecture implies the Lehmer conjecture.

Definition (Amenable group)

A discrete group Γ is said to be **amenable** if there is a sequence $f_n \in \ell^2(\Gamma)$ of unit vectors such that $\|\gamma \cdot f_n - f_n\|_{\ell^2(\Gamma)}$ tends to 0 as $n \rightarrow +\infty$.

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Examples of

- amenable groups: \mathbb{Z}^d , solvable groups, finite groups, groups with sub-exponential growth, etc.
- non amenable groups: free groups (von Neumann), large Burnside groups (Adian), non virtually solvable subgroups of GL_n (Tits alternative), arithmetic groups in semisimple Lie groups, etc.

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- Equivalently, a group Γ is non-amenable if for some (every) generating set S the associated *Kazhdan constant* $\kappa_S := \kappa(S, \ell^2(\Gamma))$ is positive.

$$\kappa_S := \inf_{f \in \ell^2(\Gamma) \setminus \{0\}} \left\{ \max_{s \in S} \frac{\|\pi(s)f - f\|}{\|f\|} \right\} > 0$$

Amenability vs. Growth

Non amenable groups have exponential growth, indeed they satisfy the following *linear isoperimetric inequality*:

For every finite subset $A \subset \Gamma$ and finite generating set S ,

$$|AS| \geq \left(1 + \frac{\kappa_S^2}{2}\right)|A|$$

[just take $f = \mathbf{1}_A$ the indicator function of A .] In particular:

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$$\rho_S := \lim_{n \rightarrow \infty} \frac{1}{n} \log |S^n| \geq 1 + \frac{\kappa_S^2}{2}$$

So non-amenability implies exponential growth.

Theorem (B '08)

There is $\varepsilon = \varepsilon(d) > 0$ such that for every field K and every finite subset $S \subset \mathrm{GL}_d(K)$ such that $\langle S \rangle$ is non amenable,

$$\kappa_S > \varepsilon$$

Remark: this builds on earlier work with T. Gelander (partial uniformity: in S only, not K).

Question: Does this relate to a height lower bound as in the solvable case ?

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Answer: Yes

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Let $h(g)$ be a height function on $M_{d,d}(\overline{\mathbb{Q}})$, e.g. if $g \in M_{d,d}(K)$ and K is a number field with local degrees $n_v := [K_v : \mathbb{Q}_v]$,

$$h(g) := \frac{1}{[K : \mathbb{Q}]} \sum_{v \in V_K} n_v \log^+ \|g\|_v$$

Let \mathbb{A}_K be the **ring of adèles** of K .

Lemma

The function $g \mapsto e^{-C[K:\mathbb{Q}]h(g)}$ is in $L^2(\mathrm{SL}_d(\mathbb{A}_K))$ if $C > d^2$.

But $\langle S \rangle$ is discrete in $\mathrm{GL}_d(\mathbb{A}_K)$, so in restriction to $\langle S \rangle$ this function is in $\ell^2(\langle S \rangle)$.

Corollary (Lehmer-type bound)

For some $c = c(d) > 0$, if $S \subset \mathrm{GL}_d(K)$ for some number field K ,

$$\widehat{h}(S) := \lim_{m \rightarrow +\infty} \frac{1}{m} h(S^m) > \frac{c(d)}{[K:\mathbb{Q}]^{\kappa_S^2}}$$

- Here for $A \subset \mathrm{GL}_d(K)$, $h(A) := \max_{g \in A} h(g)$.
- Proof of Corollary: Jensen's inequality.

The normalized height $\widehat{h}(S)$

$$\widehat{h}(S) := \lim_{m \rightarrow +\infty} \frac{1}{m} h(S^m)$$

Basic properties:

- $\widehat{h}(S^n) = n\widehat{h}(S)$,
- $\widehat{h}(S) = 0 \Leftrightarrow \langle S \rangle$ quasi unipotent
- $\widehat{h}(gSg^{-1}) = \widehat{h}(S)$ if $g \in \mathrm{GL}_d(\overline{\mathbb{Q}})$.

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On this variety it is comparable (up to multiplicative and additive constants) to an ordinary height function (e.g. Procesi (1970's) gave generators for the ring of invariants: $\mathrm{tr}(w(s_1, \dots, s_k))$, $w \in F_k$, $|w| \leq C(d, k)$.)

Height gap theorem

Theorem (Bogomolov-type theorem, B. 08)

There is $\varepsilon = \varepsilon(d) > 0$ such that if $S \subset \mathrm{GL}_d(\overline{\mathbb{Q}})$ is a finite subset generating a non virtually solvable subgroup, then

$$\widehat{h}(S) > \varepsilon$$

The previous theorem that $\kappa_S > c(d) > 0$ is a consequence of this.

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- The proof makes use of local estimates for the joint displacement of S on the symmetric spaces and Bruhat-Tits building associated to reductive groups at each place. Bilu's equidistribution theorem and Zhang's Bogomolov-type theorem on tori are also ingredients.
- The proof that $\kappa_S > c(d) > 0$ goes by finding two words of bounded length with letters in S , which are generators of a free subgroup.

Using similar ideas, one can give the following generalisation of the uniform non-amenability result:

Theorem (B. 2013)

Let \mathbf{G} be a semisimple \mathbb{Q} -group. There is $\varepsilon = \varepsilon(\mathbf{G}) > 0$ such that if $S \subset \mathbf{G}(\overline{\mathbb{Q}})$ is a finite set generating a Zariski dense subgroup Γ and $\Gamma' \leq \Gamma = \langle S \rangle$ a subgroup which is not Zariski-dense (e.g. $\Gamma' = \{1\}$), then

$$\kappa_S(\ell^2(\Gamma/\Gamma')) > \varepsilon$$

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The uniformity here allows to prove new spectral gaps, e.g. for groups of toral automorphisms (Bekka-Guivarch).

Spectral gaps for finite groups and expanders

If G is a finite group with generating set S , define:

$$\kappa(G, S) := \inf_{f \in \ell_0^2(G)} \max_{s \in S} \frac{\|sf - f\|_2}{\|f\|_2}$$

The only difference with the previously defined κ_S is that we work in the orthogonal of constants in $\ell^2(G)$.

This quantity is closely related to the first non zero eigenvalue $\lambda_1(\mathcal{G})$ of the combinatorial laplacian on the Cayley graph of $\mathcal{G} = \mathcal{G}(G, S)$ of G :

$$\frac{1}{|S|} \lambda_1(\mathcal{G}) \leq \kappa(G, S)^2 \leq \lambda_1(\mathcal{G})$$

Definition (Expander)

A Cayley graph $\mathcal{G} = \mathcal{G}(G, S)$ is said to be an ε -expander if $\lambda_1(\mathcal{G}) > \varepsilon$.

A family of Cayley graphs $\mathcal{G}_{n \geq 0} = \mathcal{G}(G_n, S_n)$ is said to be a family of expanders if there is $\varepsilon > 0$ such that $\lambda_1(\mathcal{G}_n) > \varepsilon$ for all $n \geq 0$.

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- first appears in a somewhat different form in a paper of Kolmogorov and Barzdin about random graphs.
- first explicit construction by Margulis (1970s). E.g. take $G_n = \text{SL}(3, \mathbb{Z}/n\mathbb{Z})$ and $S_n = S \bmod n$, for a fixed generating set S of $\text{SL}(3, \mathbb{Z})$. A consequence of Kazhdan's property (T) .
- Lubotzky (1990's) asks: which Cayley graphs are expanders ? How does this relate to the group structure ?

Spectral gaps for finite groups and expanders

A big breakthrough came with the work of Bourgain-Gamburd (2005) who worked with Cayley graphs of $SL(2, \mathbb{Z}/p\mathbb{Z})$, p a prime, and partly reduced Lubotzky's question to classifying **approximate subgroups** of $SL(2, \mathbb{Z}/p\mathbb{Z})$.

Definition (approximate subgroup)

A finite subset A of a group G is said to be a K -approximate subgroup of G if $A = A^{-1}$, $1 \in A$ and there is a subset $X \subset G$ of cardinality $\leq K$ such that

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The upshot is: there are no non-trivial generating approximate subgroups of $\mathbf{G}(\mathbb{F}_q)$. They are either very small ($|A| = O(K^{O(1)})$) or very large ($|\mathbf{G}(\mathbb{F}_q)|/|A| = O(K^{O(1)})$).

Spectral gaps for finite groups and expanders

The Bourgain-Gamburd method then yields:

Theorem (Super-strong approximation)

Suppose \mathbf{G} is a semisimple \mathbb{Q} -group, and $S \subset \mathbf{G}(\mathbb{Q})$ is a finite subset s.t. $\langle S \rangle$ is Zariski dense. Then $\mathcal{G}_p := \mathcal{G}(\mathbf{G}(\mathbb{Z}/p\mathbb{Z}), S_p)$, where $S_p = S \bmod p$, is a family of expanders.

That S_p generates $\mathbf{G}(\mathbb{Z}/p\mathbb{Z})$ for all but finitely many p 's is the strong-approximation theorem of Matthews-Vaserstein-Weisfeiler and Nori (also Hrushovski-Pillay).

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Open problem: is the family of all Cayley graphs of $\mathbf{G}(\mathbb{Z}/p\mathbb{Z})$ a family of expanders ?

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[B-Gamburd 2010: yes for SL_2 and a family of primes with density 1].

A characterization of weak expansion

Building on work of Hrushovski, B-Green-Tao proved in 2011 a structure theorem for approximate subgroups of arbitrary groups: they are contained in at most $O_K(1)$ translates of a finite-by-nilpotent subgroup.

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Here is a consequence for non (weak) expanders (answers a question of Ellenberg-Hall-Kowalski):

Theorem (BGT)

Let $\varepsilon > 0$. Assume that G is a finite group and $\mathcal{G} = \mathcal{G}(G, S)$ is a Cayley graph of G such that

$$\lambda_1(\mathcal{G}) \leq \frac{1}{|G|^\varepsilon},$$

then G has a quotient of size $\geq |G|^{\varepsilon/2}$ which has a nilpotent subgroup of index $\leq C(\varepsilon)$.

A differential geometric inequality of Li-Yau relates the gonality $\gamma(U)$ of an algebraic curve U to the its λ_1 (when the curve is viewed as a hyperbolic surface):

$$\frac{1}{8\pi} \lambda_1(U) \cdot \text{vol}(U) \leq \gamma(U)$$

$\gamma(U)$ is the smallest degree of a non-constant meromorphic function on U .

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Another basic geometric comparison principle, due to Brooks and Burger states that if U' is a finite cover of U , then

$$\lambda_1(U') \geq c(U) \cdot \lambda_1(\mathcal{G}(\text{Gal}(U'|U)))$$

where $\mathcal{G}(\text{Gal}(U'|U))$ is the Cayley-Schreier graph of the covering group.

On the field of meromorphic functions, the natural height is the degree. So the Li-Yau inequality can be interpreted as giving a height lower bound in terms of a spectral gap.

Indeed, using the super-strong-approximation theorem above (more precisely its extension to square free modulus by Peter Varju), and the Brooks-Burger principle, one obtains:

Theorem (Ellenberg-Hall-Kowalski)

Let $A \rightarrow U$ be an abelian scheme whose monodromy in Sp_{2g} is Zariski-dense. Then the compositum of all fields of meromorphic functions on the covers U_ℓ associated to the ℓ -torsion of A (ℓ prime) has the Bogomolov property.

Ellenberg's question

A field has the **Bogomolov property** if there is a uniform positive lower bound on the (absolute) height of every element, which is not a root of unity.

Examples: totally real numbers (Schinzel), \mathbb{Q}^{ab} (Amoroso-Zannier), $\mathbb{Q}(E_{tors})$ E elliptic curve over \mathbb{Q} (Habegger).

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Ellenberg question: Let $F|\mathbb{Q}$ be a Galois extension such that the family of all Cayley graphs of all finite quotients of $Gal(F\mathbb{Q}^{ab}|\mathbb{Q}^{ab})$ is an expander family. Does F have the Bogomolov property ?

A spectral criterion for Salem numbers

A **Salem number** is an algebraic integer $\alpha \in \mathbb{R}$, $\alpha > 1$, all of whose conjugates lie inside the unit disc with at least one on the unit circle.

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Salem's conjecture: The set of Salem numbers is bounded away from 1.

Rk: This is a special case of the Lehmer conjecture.

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In the early 1980's Sury gave a beautiful geometric reformulation of Salem's conjecture:

Sury: **Salem's conjecture holds iff there is a uniform lower bound on the systole of arithmetic surfaces.**

A spectral criterion for Salem numbers

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An *arithmetic surface* is a quotient $\Sigma := \mathbb{H}^2/\Gamma$, where \mathbb{H}^2 is the hyperbolic plane, and Γ is a lattice in $PSL_2(\mathbb{R})$ commensurable to some an arithmetic group $\mathbf{G}(\mathcal{O}_K)$, (K a number field, \mathbf{G} a K -form of PGL_2).

The *systole* is the length of the shortest geodesic in \mathbb{H}^2/Γ .

Rk. Sury's surface is congruence.

A spectral criterion for Salem numbers

The systole of a hyperbolic surface Σ is related to its the Cheeger constant $h(\Sigma)$ and to the first eigenvalue of the Laplacian $\lambda_1(\Sigma)$.

$$h(\Sigma) := \inf_{A \subset \Sigma} \frac{L(\partial A)}{\min\{\text{area}(A), \text{area}(\Sigma \setminus A)\}}$$

Cheeger-Buser inequality:

$$\frac{1}{4}h(\Sigma)^2 \leq \lambda_1(\Sigma) \leq c(h(\Sigma) + h(\Sigma)^2)$$

For congruence arithmetic surfaces (i.e. those containing a congruence subgroup) we have:

Theorem (Selberg, Vigneras)

If Σ is a congruence arithmetic surface, then

$$\lambda_1(\Sigma) \geq \frac{3}{16}$$

A spectral criterion for Salem numbers

Observation (Breuillard-Deroin)

Salem's conjecture holds iff given some (or any) $d \geq 2$ there is $c(d) > 0$ such that

$$\lambda_1(\tilde{\Sigma}) \geq \frac{c(d)}{\text{vol}(\tilde{\Sigma})}$$

for every d -cover $\tilde{\Sigma}$ of a congruence surface.

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for every d -cover $\tilde{\Sigma}$ of a congruence surface.

- In one direction the point is that a congruence surface with small systole yields a 2-cover $\tilde{\Sigma}$ with $h_1(\tilde{\Sigma}) \cdot \text{vol}(\tilde{\Sigma})$ small.
- In the other direction, it turns out (B-D 2013) that Cheeger's inequality can be improved for d -covers $\tilde{\Sigma}$ of a surface (even any manifold) Σ :

$$\lambda_1(\tilde{\Sigma}) \geq \frac{1}{Cd^2} h(\tilde{\Sigma}) \sqrt{\lambda_1(\Sigma)}$$

So this together with Selberg-Vigneras concludes.

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- **Further question:** More generally can one interpret the full Lehmer conjecture in spectral terms ?

Thank you!